# The electrocatalytic ORR activity of nanostructured manganese oxides in aprotic media

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#### Abstract

In this work nanostructured manganese oxides were synthesized and characterized with respect to their electrocatalytic activities for the oxygen reduction reaction (ORR) in aprotic media. The carbon substrate used for the electrochemical measurements shows an ORR pathway, which results in an electron transfer subsequent to a chemical reaction. The intermediate species  $O_{ads}$  being generated during this process, is supposed to enhance the decomposition of aprotic electrolytes.  $Mn_3O_4$  is catalytically active for this mechanism, whereas  $\alpha$ - $Mn_2O_3$  catalyzes a direct electrochemical reduction of  $O_2$ , which results in the formation of the desired final product  $Li_2O_2$ . Based on the obtained mechanistic insights conclusions on the morphological and structural properties of an ideal ORR electrocatalyst are drawn.

#### Kurzfassung

In dieser Arbeit wurden nanostrukturierte Manganoxide synthetisiert und auf ihre elektrokatalytische Aktivität für die Sauerstoffreduktionsreaktion (ORR) in aprotischen Elektrolyten untersucht. Das Kohlenstoff-Substrat für die elektrochemischen Messungen führt zu einem ORR-Mechanismus, bei dem die Sauerstoff-Reduktion nach einer chemischen Reaktion erfolgt. Von dem hierbei entstehenden Zwischenprodukt  $O_{ads}$  wird angenommen, dass es zu einer Zersetzung von aprotischen Elektrolyten beiträgt.  $Mn_3O_4$  ist für diesen katalytisch aktiv, wohingegen  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub> eine Mechanismus direkte elektrochemische Reduktion von  $O_2$  katalysiert, die zur Bildung des gewünschten Endprodukts  $Li_2O_2$  führt. Auf Grundlage dieser Ergebnisse werden Rückschlüsse auf die morphologischen und strukturellen Eigenschaften eines idealen ORR-Katalysators gezogen.

## FOR THOSE ABOUT TO ROCK

Für meine indonesischen Mädels

"Wer soll dir verraten, welches Unheil durch deine Erfindungen verhindert wurde? Zehn Katastrophen oder zwanzig oder auch nur eine einzige, das Verhinderte bleibt dir ewig verborgen, nur was du angerichtet hast, ist sichtbar."

(Jurek Becker, Jakob der Lügner)

"Probleme sind dazu da, um sich zu vermehren, ... wenn man nicht hinguckt, mal 'n Moment."

(Helge Schneider, Kaktist)

## Table of contents

Index of	figures	iv
Index of	schemes	'ii
Index of	tables	iii
1. Intr	oduction	. 1
2. Fun	damentals	3
2.1. Lit	thium/air batteries	. 3
2.2. Th	ne ORR/OER processes in the aprotic Li/air system	. 6
2.3. Ap	protic electrolyte solvents	. 9
2.4. Ele	ectrochemical kinetics and catalysis	13
2.4.1.	Electrochemical theory	13
2.4.2.	Catalysts for the Li/air system	19
2.4.3.	Manganese oxides	21
3. Exp	erimental part2	23
3.1. Ma	aterials	23
3.2. Ma	anganese oxide synthesis	24
3.2.1.	Mixed-phase manganese oxide particles	24
3.2.2.	Pure-phase manganese oxide particles	24
3.3. Mo	orphological and structural analysis	25
3.3.1.	Electron microscopy	25
3.3.2.	X-ray diffraction (XRD) and <i>in situ</i> -XRD	26
3.3.3. calorii	Thermogravimetric analysis (TGA) and differential scanning metry (DSC)	28
3.3.4.	$N_2$ adsorption-desorption	28

3.4. Electrochemical analysis of the catalytic activity
3.4.1. Electrode preparation
3.4.2. Electrochemical measurements
4. Results & Discussion
4.1. Synthesis and characterization of the manganese oxides
4.1.1. Mixed-phase manganese oxides
4.1.1.1. Mn(II) glycolate precursor by polyol synthesis
4.1.1.2. Mixed-phase $Mn_3O_4/Mn_5O_8$ by calcination
4.1.2. Nanostructured pure-phase manganese oxides
4.1.2.1. $Mn(II)$ glycolate precursor by a modified polyol synthesis38
4.1.2.2. The formation of various $Mn_xO_y$ phases during heating41
4.1.2.3. $Mn_xO_y$ species by calcination at different temperatures46
4.1.2.4. The formation of pure-phase $Mn_5O_8$ by calcination
4.1.2.5. Morphological and structural characterization of the $Mn_3O_4$ ,
${\rm Mn}_5{\rm O}_8$ and $\alpha{\rm -Mn}_2{\rm O}_3$ compounds
4.1.3. Conclusion
4.2. Characterization of the electrochemical system
4.2.1. Electrolyte characterization
4.2.2. The impact of model electrode properties on the ORR processes .70
4.2.3. The impact of electrode rotation on the ORR processes
4.2.4. Conclusion
4.3. The effect of the $\rm Mn_xO_y$ catalysts on the ORR processes
4.3.1. The ORR activities at a scan rate of $100 \text{ mV/s}$ 81
4.3.2. The ORR mechanisms at a scan rate of $100 \text{ mV/s}$ 87
4.3.2.1. The ORR processes on the carbon electrode surfaces
4.3.2.2. The ORR processes in the presence of $\alpha$ -Mn <sub>2</sub> O <sub>3</sub> 94

4.3.2.3. The ORR processes in the presence of $Mn_3O_4$ or $Mn_5O_8$
4.3.3. Conclusion
4.4. The scan rate dependence of the observed ORR processes 103
4.4.1. The scan rate dependence of the ORR mechanisms 103
4.4.1.1. The ORR processes on GC and $\alpha\text{-}\mathrm{Mn_2O_3/C}$
4.4.1.2. The ORR processes on VC and $Mn_5O_8/C$
4.4.1.3. The ORR processes on $Mn_3O_4/C$
4.4.2. The scan rate dependence of the ORR activities
4.4.3. Conclusion
5. Conclusion & Outlook 121
References

## Index of figures

Figure 2.1: Four types of Li/air cells with (a) aprotic, (b) aqueous, (c) hybrid
(aprotic/aqueous) and (d) solid-state electrolytes
Figure 2.2: Galvanostatic discharge and charge curves of a $\rm Li/O_2$ cell
Figure 2.3: Example of (a) a CV measurement and (b) the cathodic (ORR)
scan
Figure 2.4: The influence of an applied overpotential on the activation energy
barrier for an electrochemical reaction
Figure 2.5: Example of a Tafel plot of the ORR region observed during a CV measurement
Figure 2.6: Metal prices and abundance (relative to Si abundance) of the
chemical elements in Earth's upper continental crust
Figure 3.1: (a) Schematic representation and (b) photo of the setup in the
Ar-filled glove box
Figure 4.1:(a) XRD pattern and (b) TEM image of the $Mn(II)$ glycolate
particles after synthesis at 200°C for 50 min
Figure 4.2: XRD pattern of the mixed-phase $\rm Mn_xO_y$ product obtained after
calcination at 400°C for 2 h in $\mathrm{O}_2$ atmosphere
Figure 4.3: TEM images of the $Mn_3O_4/Mn_5O_8$ particles
Figure 4.4: Powder XRD pattern of Mn(II) glycolate particles after synthesis
at 170°C for (a) 1 h and (b) 7 h
Figure 4.5: (a) SEM and (b), (c) TEM images of the $Mn(II)$ glycolate
precursor synthesized at 170°C for 7 h

Figure 4.6: In situ-XRD patterns recorded during the heating of the
Mn(II) glycolate precursor from 35°C to 700°C in an $\rm O_2$ flow41
Figure 4.7: TGA/DSC measurements recorded during the heating of the
Mn(II) glycolate precursor to 700°C in Ar and ${\rm O_2/Ar}$ (1:2) atmospheres43
Figure 4.8: Powder XRD patterns of the $Mn_xO_y$ products obtained after
calcination at temperatures between 320°C and 550°C for 2 h47
Figure 4.9: $Mn_xO_y$ low-angle reflection intensities detected at different
calcination temperatures in Ar (black) and $\mathrm{O}_2$ atmospheres (red)48
Figure 4.10: In situ-XRD patterns during constant heating of the $Mn(II)$
glycolate precursor at 400°C for 350 min in an $\rm O_2$ flow
Figure 4.11: XRD pattern of $Mn_5O_8$ obtained by calcination at 400°C for 5 h
in an $\mathrm{O}_2$ atmosphere
Figure 4.12: Schemes of the unit cells of the $Mn_xO_y$ species of interest for the
ORR investigations
Figure 4.13: TEM images of the (a) $Mn_3O_4$ , (b) $Mn_5O_8$ and (c), (d) $\alpha$ - $Mn_2O_3$
particles
Figure 4.14: (a) $N_2$ adsorption-desorption isotherms and (b) the
corresponding pore size distributions of $Mn_3O_4$ , $Mn_5O_8$ and $\alpha$ - $Mn_2O_3$
Figure 4.15: LSV measurements recorded in different Ar-saturated
electrolytes
Figure 4.16: CV measurements recorded in different $O_2$ -saturated electrolytes
Figure 4.17: CV measurements recorded with GC and Nafion <sup>®</sup> -coated GC71
Figure 4.18: Nicholson/Shain plots for GC and GC-N73

Figure 4.19: Nicholson/Shain plots for GC with (a) $\omega = 1000$ rpm and
(b) $\omega = 200 \text{ rpm} \dots 78$
Figure 4.20: CV measurements recorded with different working electrodes82
Figure 4.21: Representative Tafel plots for different working electrodes 85
Figure 4.22: Nicholson/Shain plots for the VC-based electrodes
Figure 4.23: Variation of the $n^*$ values with the applied scan rate 106
Figure 4.24: Variation of the Tafel slopes with the applied scan rate 107
Figure 4.25: Variation of the (a) Tafel slopes and (b) onset potentials with
the applied scan rate
Figure 4.26: Variation of the peak potentials with the scan rate 116
Figure 4.27: Variation of the (a) apparent rate constants $k_{app}^{0}$ and (b) scan
rate-normalized $k^{0}_{_{\rm app}}$ with the scan rate

## Index of schemes

Scheme 2.1: Representation of an aprotic $\text{Li}/\text{O}_2$ cell	8
Scheme 4.1: Idealized model for the investigated $Mn_xO_y/C$ electrodes	89
Scheme 4.2: Different ORR mechanisms detected for different working	
electrodes at $\nu = 100 \text{ mV/s}$	92
Scheme 4.3: ORR mechanisms suggested to take place on the surface of	
$\alpha$ -Mn <sub>2</sub> O <sub>3</sub> /C	96
Scheme 4.4: ORR mechanisms suggested to take place on the surface of	
$Mn_3O_4/C$	99
Scheme 4.5: ORR mechanisms detected at various scan rates	104

### Index of tables

Table 2.1: Comparison of the theoretical properties of aqueous and aprotic
Li/air systems
Table 3.1: Chemicals used for $Mn(II)$ glycolate synthesis; gases used for
calcination and TGA/DSC as well as electrochemical measurements. *23 $$
Table 3.2: Chemicals used for electrode preparation and electrochemical
measurements.*
Table 4.1: Loss of sample masses detected during the TGA measurements
and attributed processes in Ar and $\mathrm{O_2/Ar}$ atmospheres
Table 4.2: Crystalline $Mn_xO_y$ phases obtained after calcination at
temperatures between 320°C and 550°C in Ar and $\mathrm{O}_2$ atmospheres
Table 4.3: Summary of the properties of the $\rm Mn_xO_y$ samples of interest for
the ORR investigations
Table 4.4: Comparison of the ORR potentials and ORR/OER potential gaps
for the different electrolytes
Table 4.5: Comparison of ORR potentials and kinetic parameters for GC and
GC-N
Table 4.6: Potentials and kinetic parameters obtained from CV
measurements recorded with a GC at $\nu = 100 \ {\rm mV/s}$ with different rotational
frequencies
Table 4.7: ORR peak and onset potentials for the different electrode
materials
Table 4.8: ORR/OER potential differences and electric charge ratios for the
different electrode materials

Table 4.9: Partial current densities $j_0$ and apparent reaction rate constants
$k^{0}_{app}$ for the different electrode materials
Table 4.10: Tafel slopes and $\alpha n$ values derived thereof for the different
electrode materials
Table 4.11: Potentials and kinetic parameters for GC and VC at
$\nu = 100 \text{ mV/s}$ with $\omega = 0 \text{ rpm}$ and $\omega = 200 \text{ rpm}$
Table 4.12: Potentials and kinetic parameters for $\alpha$ -Mn <sub>2</sub> O <sub>3</sub> /C at
$\nu = 100 \text{ mV/s}$ with $\omega = 0 \text{ rpm}$ and $\omega = 200 \text{ rpm}$
Table 4.13: Potentials and kinetic parameters for $Mn_3O_4/C$ and $Mn_5O_8/C$ at
$\nu = 100 \text{ mV/s}$ with $\omega = 0 \text{ rpm}$ and $\omega = 200 \text{ rpm}$
Table 4.14: Overview of the final products proposed to be generated during
the diffusion-controlled ORR processes observed for GC and $\alpha\text{-}\mathrm{Mn_2O_3/C108}$
Table 4.15: Overview of the final products proposed to be generated during
the ORR processes observed for VC and $Mn_5O_8/C$ 110
Table 4.16: Overview of the final products proposed to be generated during
the diffusionless ORR processes observed for $Mn_3O_4/C$

#### 1. Introduction

The interest in the secondary Li/air battery has grown rapidly over the past two decades, as it exhibits a theoretical specific energy of more than 3,400 Wh/kg[1,2]. Even by taking into account the weight of the cell practically achievable components, the energy density isaround 1,700 Wh/kg, which equals that of gasoline and is well beyond those of conventional lithium ion (160 Wh/kg), Ni metal hydride (50 Wh/kg) and Zn/air cells (350 Wh/kg)[2–5]. These large energy densities are due to the very light-weight cell components, i.e., Li metal as anode and porous carbon as cathode material. The use of a Li metal anode has the further advantage, that lithium is the most electronegative metal, which – in combination with the active (reacting) species oxygen  $(O_2)$  – results in a high cell voltage.

Despite the relevance of the Li/air system surprisingly little is known about the actual mechanisms of the discharge (oxygen reduction, ORR) and charge (oxygen evolution, OER) reactions. Information about the discharge and charge mechanisms, however, is needed to overcome the major drawback of the Li/air system: the low electric efficiency due to large discharge and charge overpotentials. In order to reduce these overpotentials (and thus increase the electric efficiency) the impact of possible catalysts was investigated in a vast number of studies over the past years. Despite this large effort of scientific research, the knowledge obtained about active catalysts is rather small. A main reason for this is the fact that until 2012, most of the studies about the Li/air battery were conducted with electrolytes based on organic carbonate solvents, which were adopted from the Li ion system and considered to be suitable for the Li/air system. As these electrolytes are not stable, the majority of investigations about the reaction mechanisms in this system were rather reports on the decomposition of electrolytes. A stable electrolyte has not been found yet, although some solvents are proposed to exhibit sufficient stability under laboratory conditions. The lack of a stable electrolyte led to a decreased interest of the major industries in the Li/air system. Therefore, most of the recent investigations are conducted by simply scanning whole classes of suitable solvents in order to find a stable electrolyte. Many of these studies are done by galvanostatic cycling, from which no or only little insight into the reaction mechanisms  $\operatorname{can}$ be obtained. Regarding the recent research on electrocatalysts for the Li/air system, a similar scientific approach is observed. The majority of catalytic investigations were (and still are) conducted (i) by galvanostatic cycling, i.e., give no mechanistic insight, and (ii) in several different electrolytes, which makes a comparison in terms of reaction mechanisms hardly possible. More detailed electrochemical studies on ORR/OER catalysts and/or reaction mechanisms, on the other hand, are mainly conducted in aqueous media, for which the results are hardly comparable to those obtained in aprotic media.

This work provides insight into the ORR reaction mechanisms observed in the presence of three nanostructured manganese oxide  $(Mn_xO_y)$  catalysts in an aprotic electrolyte, which is stable under the working conditions. The catalytic ORR activities of these compounds are investigated by comparison to those of carbon reference materials. Prior to the electrochemical analysis, the synthesis of the particles is presented. The influence of different process parameters on the sizes and morphologies of the obtained particles is discussed as well as the formation of different  $Mn_xO_y$  phases, which is observed during heat treatment by *in situ* investigations.

#### 2. Fundamentals

In this chapter, the currently discussed types of the Li/air system and their respective advantages and disadvantages will be introduced. As this work investigates the aprotic Li/air battery, the different reactions occurring during discharge and charge in non-aqueous electrolytes and a short overview of several electrolyte solvents are presented. The electrochemical basics used for the investigation of the electrocatalytic activities are provided together with a brief summary of the most promising catalysts for the Li/air battery.

#### 2.1. <u>Lithium/air batteries</u>

Currently mainly four types of Li/air cells are under investigation, which are named based on the class of electrolytes used in the system (see Figure 2.1 on the next page): (a) the aprotic, (b) the aqueous, (c) the hybrid aprotic/aqueous and (d) the solid-state Li/air system.

The least investigated of these different Li/air cells is the solid-state Li/air system (see Figure 2.1.d), which is due to the fact that up to now, no solid electrolyte exhibits sufficient Li<sup>+</sup> ion conductivity. Improvements with regard to energy density and power density are still due for this cell type, which makes it less attractive as an alternative to Li ion batteries.

The main advantage of the aqueous over the aprotic system (see Figure 2.1.a and Figure 2.1.b, respectively) is that moisture in the air, which is provided on the cathode side, will not result in side reactions or cell failure. However, the aqueous electrolyte has the disadvantage, that the contact of water with of the Li metal anode has to be prevented by a protective layer, which decreases the volumetric and gravimetric capacity. This is also the case for the hybrid Li/air system (see Figure 2.1.c)[5].



Figure 2.1: Four types of Li/air cells with (a) aprotic, (b) aqueous, (c) hybrid (aprotic/aqueous) and (d) solid-state electrolytes[5].

Another disadvantage of the aqueous Li/air system is a more than 30% lower theoretical energy density in comparison to that of the aprotic system (see Table 2.1 on the next page), which is due to (i) several possible electrochemical and chemical reaction pathways and (ii) the low solubility of the discharge product LiOH in the aqueous electrolyte[6].

As the aprotic Li/air cell (Figure 2.1.a) is the most-promising system in terms of energy density and possible applications, this work focuses on the effect of ORR catalysts in non-aqueous electrolytes.

	Discharge product	Cell voltage / V	Specific density / Wh/kg	Energy density / Wh/L
Aprotic	Li <sub>2</sub> O <sub>2</sub>	3.0	3,505	3,436
Aqueous	LiOH	3.2	$3,\!582$	2,234

**Table 2.1:** Comparison of the theoretical properties of aqueous and aprotic Li/air systems<sup>[1]</sup>.

Despite the advantages of the aprotic Li/air battery, several unsolved problems still prevent an industrial application of this system. One of the most prominent disadvantages is the occurrence of large overpotentials, which are obtained during discharge and especially recharge, making the system quite inefficient (see Figure 2.2).



Figure 2.2: Galvanostatic discharge and charge curves of a Li/O<sub>2</sub> cell. Cell setup: Li metal anode, Vulcan<sup>®</sup> carbon powder as active cathode material; electrolyte: 1 M Li<sup>+</sup>/dimethyl-sulfoxide (DMSO);  $p(O_2) = 2$  bar; specific discharge/charge current  $i = \pm 100 \,\mu\text{A/cm}^2$ ; the dashed grey line indicates the reversible formation potential of lithium peroxide (Li<sub>2</sub>O<sub>2</sub>)  $E^0$  vs. Li/Li<sup>+</sup> = 2.96 V[7,8];  $\eta_{\text{dis}}$  and  $\eta_{\text{chg}}$  are the overpotentials observed during discharge and charge with respect to  $E^0$ .

The galvanostatic discharge/charge curves of a Li/O<sub>2</sub> cell depicted in Figure 2.2 show the main problems, which prevent the aprotic Li/air system from its practical application. During cycling large overpotentials  $\eta_{\rm dis}$  and  $\eta_{\rm chg}$  of the ORR and OER processes are obtained, which results in a low voltage efficiency  $\mu_{\rm E} = \frac{E_{\rm dis}}{E_{\rm chg}}$ . The large charge overpotentials furthermore lead to a

decomposition of the aprotic electrolyte solvent at too high potentials (highlighted green in Figure 2.2).

In order to solve these problems much research focusing on ORR/OER electrocatalysts and the screening of stable electrolyte solvents was carried out during the past years. The fundamentals of the reaction processes, suitable electrolyte solvents and possible catalysts for the aprotic system will be described in the following sections.

#### 2.2. <u>The ORR/OER processes in the aprotic Li/air system</u>

The oxygen reduction reaction (ORR) is the discharge reaction taking place at the cathode side of the Li/air cell, for which different mechanisms have been suggested for aprotic media. Based on results from cyclic voltammetry (CV) measurements Laoire *et al.* proposed the following sequence of possible cathode reactions[9,10]:

$$0_2 + \mathrm{Li}^+ + \mathrm{e}^- \to \mathrm{Li}0_2 \tag{2.1}$$

$$\mathrm{LiO}_2 + \mathrm{Li}^+ + \mathrm{e}^- \to \mathrm{Li}_2\mathrm{O}_2 \tag{2.2}$$

$$2\operatorname{LiO}_2 \to \operatorname{Li}_2\operatorname{O}_2 + \operatorname{O}_2 \tag{2.3}$$

$$\text{Li}_2\text{O}_2 + 2\,\text{Li}^+ + 2\,\text{e}^- \to 2\,\text{Li}_2\text{O}$$
 (2.4)

During discharge a one-electron reduction of oxygen  $(O_2)$  to superoxide  $(\text{LiO}_2, \text{ eq. } (2.1))$  is followed by a further one-electron reduction and/or a

of  $LiO_2$  to lithiumchemical disproportionation peroxide  $(Li_2O_2,$ eqs. (2.2)-(2.3), which has been detected as a discharge product in porous carbon electrodes [11–13]. This mechanism was confirmed by in situ-surfaceenhanced Raman spectroscopy (SERS) and in situ-differential electrochemical mass spectroscopy (DEMS), which revealed lithium superoxide  $(LiO_2)$  as an intermediate product during the ORR in non-aqueous solvents[14]. Furthermore, this study provided evidence for the subsequent chemical disproportionation of  $LiO_2$  to  $Li_2O_2$  (eq. (2.3))[14]. A further two-electron reduction of  $Li_2O_2$  takes place at lower potentials and results in the formation of lithium oxide ( $Li_2O$ , eq. (2.4)). Although a discharge to  $Li_2O$  could increase the theoretical specific energy of the aprotic Li/air system by about 800 Wh/kg[15], the electrochemically irreversible Li<sub>2</sub>O formation would result in larger charge overpotentials. Therefore,  $Li_2O_2$  (with a reversible formation potential of  $E^0 = 2.96 \text{ V}[7,16]$ ) is generally considered as the desired discharge product [3, 10, 17].

During recharge (oxygen evolution reaction, OER)  $\text{Li}_2\text{O}_2$  is oxidized *via* a direct two-electron step to  $\text{O}_2$  without the formation of a  $\text{LiO}_2$  intermediate[14]. Hence, the OER does not proceed *via* a reverse reaction pathway of the ORR (eqs. (2.1)-(2.3)). The desired ORR and OER processes are depicted in Scheme 2.1 (see next page).

The ORR processes discussed above (eqs. (2.1)-(2.3) and Scheme 2.1) are considerably influenced by the nature of the electrode and catalyst surfaces, i.e., the number of active sites as well as physicochemical properties, such as O<sub>2</sub> adsorption enthalpies.



Scheme 2.1: Representation of an aprotic  $\text{Li}/\text{O}_2$  cell. The ORR processes (red arrows) are shown for the formation of the desired discharge product  $\text{Li}_2\text{O}_2$  (eqs. (2.1)-(2.3)); the charge reaction (OER) is shown by the green arrow.

A dependence of the reduction mechanism and the discharge product on the respective catalysts was suggested in a CV study investigating glassy carbon and polycrystalline noble metal electrodes (Au and Pt) in aprotic organic electrolytes suggested [18]. A theoretical study suggested that the reduction pathway to  $\text{Li}_2\text{O}_2$  via the rate-determining formation of  $\text{LiO}_2$  (eqs. (2.1)-(2.3)) is catalyzed by electrode materials with low oxygen adsorption strength, e.g., carbon[19]. The first ORR step is assumed to be the formation of superoxide radicals as weakly adsorbed species, which are solvated by solvent molecules of the used electrolyte. The  $\text{O}_2^-$  radicals subsequently react with  $\text{Li}^+$ , thus forming adsorbed  $\text{LiO}_2[10]$ . Furthermore, the authors of the theoretical study suggested an alternative ORR mechanism to take place in the presence of electrode materials and catalysts with a large number of active sites and/or

large oxygen chemisorption energies, such as Pt. Here,  $O_2$  is dissociatively adsorbed on the surface (eq. (2.5)) and subsequently reduced to  $[\text{LiO}]_{\text{ads}}$ species (eq. (2.6)), from which a stoichiometric equivalent to  $\text{Li}_2O_2$  is generated by another electron transfer (eq. (2.7))[19].

$$0_2 \to 2 \ 0_{ads} \tag{2.5}$$

$$\mathbf{O}_{ads} + \mathrm{Li}^+ + \mathrm{e}^- \to [\mathrm{LiO}]_{ads} \ (\mathrm{rds}) \tag{2.6}$$

$$[\text{LiO}]_{\text{ads}} + \text{O}_{\text{ads}} + \text{Li}^+ + \text{e}^- \rightarrow [\text{LiO}]_{2,\text{ads}}$$
(2.7)

The adsorbed oxygen atoms produced in the first chemical step of this mechanism (eq. (2.5)) were suggested to enhance the decomposition of dimethyl ether-based electrolytes[20]. Based on DFT and experimental studies it was proposed that although the first reduction product on Au metal might be  $\text{LiO}_2$  (eq. (2.1)), it will finally form  $[\text{LiO}]_{n,\text{ads}}$  species[21–23]. These compounds were also shown to be the energetically favored products on Pt metal and proposed to be further reduced to  $[\text{Li}_2\text{O}]_{n,\text{ads}}$  species on Au as well as Pt surfaces[21–23]. Therefore, the current investigation of cathode substrate materials and catalysts focuses on compounds with low oxygen adsorption enthalpies, which are active for the non-dissociative ORR mechanism (eqs. (2.1)-(2.3))[19]. An overview of the currently investigated catalysts for the Li/air system is given in section 2.4.2.

#### 2.3. Aprotic electrolyte solvents

One of the major limitations of the aprotic Li/air system is the electrochemical stability of the non-aqueous electrolytes. The search for a stable electrolyte is complicated by the very different properties a suitable solvent has to exhibit. The most important of these properties are (i) stability against Li metal (on the anode side), (ii) stability against the  $O_2^{-1}$ 

radicals produced during the ORR (on the cathode side) and (iii) low volatility for long-term applications.

Further properties of suitable solvents include a high  $O_2$  solubility and the stabilization of the  $LiO_2$  intermediate to prevent a direct formation of  $Li_2O_2$ or even Li<sub>2</sub>O. In an early approach Laoire *et al.* investigated possible electrolyte solvents with respect to their capability of  $\text{LiO}_2$  stabilization[10]. This study was conducted by considering the HSAB (hard and soft acids and bases) concept established by Pearson[24]. In short, this theory ascribes acidic or basic properties to chemical species based on their strengths for electron acceptance (Lewis acidity). This theory can be applied to the ORR processes as follows: the Li<sup>+</sup> ions generated during discharge are strong electrophils, i.e., hard Lewis acids due to their small ion radii and consequently large charge densities. Therefore, Li<sup>+</sup> will preferably react with the strong nucleophils  $O_2^{2-}$  and  $O^{2-}$ , which results in the generation of lithium peroxide  $(\text{Li}_2\text{O}_2)$  and lithium oxide  $(\text{Li}_2\text{O})$ . The desired  $\text{LiO}_2$  intermediate, however, is formed with the weak nucleophil  $O_2^{-}$ . Hence, it is important to use an electrolyte solvent with *basic* properties to decrease the *acidity* of the  $Li^+$  ions, e.g., by formation of  $[Li^+(solvent)_n]$  complexes. The decreased acidity of the Li<sup>+</sup> ions resulting from such a solvation increases the affinity to  $O_2^-$  and results in stabilized LiO<sub>2</sub> intermediates in the electrolyte. The Lewis basicity required for a suitable solvent is defined by the Gutmann (electron) donor number (DN). Laoire et al. investigated the effect of the DN of different electrolyte solvents on the reversibility of the ORR/OER potentials observed in CV measurements[10]. In short, it was concluded that the higher the DN of a solvent, the more readily Li<sup>+</sup> is solvated, i.e., the first reduction product  $LiO_2$  is better stabilized by the solvent molecules. The stabilization of  $LiO_2$  by electrolyte solvents, which are still used in current research[12,14,25,26], was reported to decrease in the order: dimethylsulfoxide (DMSO, DN: 29.8) > acetonitrile (MeCN, DN: 14.1) > dimethyl ether (DME, DN: 20.0) > tetraethyleneglycol dimethylether (TEGDME, DN: 16.6)[10].

CV studies using MeCN as electrolyte solvent, on the other hand, showed  $\text{Li}_2\text{O}_2$  formation without any detection of the  $\text{LiO}_2$  intermediate[27–29]. Furthermore, the ORR potential was reported to be considerably lower in MeCN- compared to DMSO-based electrolytes[29].

However, the initially mentioned physical and chemical solvent properties have to be considered, too, e.g., a possible limitation of the cell capacity by high volatility, which is the case for DME. Despite this considerable disadvantage, studies using DME were and still are carried out under laboratory conditions, which is due to the solvent's stability towards  $O_2^$ radicals[11,30].

Regarding a low volatility, one class of materials should be mentioned, namely ionic liquids – and here especially the commonly used compound 1butyl-1-methylpyrrolidinium bis(trifluoromethanesulfonyl)imide (BMPTFSI). Ionic liquids are of major interest for the Li/air system, as they exhibit low volatility, high thermal as well as (electro)chemical stability and low flammability[31–37]. Furthermore, their structures exhibit separated charges due to their salt-like character, i.e., negative charges in the anions and positive charges in cations, which leads to a good stabilization of the  $\text{LiO}_2$ intermediate. A major drawback of ionic liquids, however, is the high viscosity, which limits the mobility of the solvated  $\text{Li}^+$  ions in the electrolyte[38].

The electrolytes most commonly used for investigations concerning the Li/air system are based on the solvents TEGDME and DMSO.

TEGDME is a long-chain ether, which, besides its stability in the presence of Li metal, exhibits a low volatility due to its large molecular weight compared to the short-chain ether DME. Furthermore, TEGDME was reported to be stable against chemically generated  $\text{LiO}_2[39]$ . In the same study, however, the polyvinylidene fluoride (PVdF) binder material of the carbon electrode was observed to decompose during cycling[39].

DMSO is the solvent, in which for the first time the superoxide radical  $O_2^{-1}$  was found to be stable by Sawyer and Roberts[40]. Furthermore, it is widely regarded as suitable solvent for the use in Li/air battery investigations under laboratory conditions[27,41,42]. Subsequent to galvanostatic cycling in DMSO-based electrolytes several groups reported the presence of toroidally shaped Li<sub>2</sub>O<sub>2</sub> particles, which were detected by scanning electron microscopy (SEM) and atomic force microscopy (AFM) on the surface of discharged electrodes[43,44]. A recent *in situ*-infrared (IR) study showed the electrochemical stability of a Li<sup>+</sup>/DMSO electrolyte at potentials as low as 1.9 V vs. Li/Li<sup>+</sup> during CV measurements in the presence of  $O_2$ [45]. Here, a decomposition of DMSO to DMSO<sub>2</sub> was reported only at potentials > 4.2 V vs. Li/Li<sup>+</sup> during the charge reaction[45]. Further insight into the behavior of the different electrolyte solvents is given in section 4.2.1.

#### 2.4. <u>Electrochemical kinetics and catalysis</u>

#### 2.4.1. <u>Electrochemical theory</u>

The methods used in this study for the electrochemical investigations are linear sweep voltammetry (LSV) and cyclic voltammetry (CV). For both methods, a potential change is applied at a specific rate (scan rate  $\nu$ ) in one direction, and the electrochemical reactions are detected by a change of the current density signal. Hence, in case of a potential sweep in the cathodic (negative) direction (which is used for the observation of the ORR processes in this work), negative current densities are observed. For CV measurements the sweep is reversed at a potential at least 0.06 V below the peak potential  $E_{\text{peak}}$  to allow a detailed evaluation of the measurement (see Figure 2.3 on the next page)[46]. During the reversed sweep information about the anodic (OER) processes can be obtained, i.e., the oxidation of the products generated during the cathodic scan.

Important parameters for LSV and CV measurements are the onset and peak potentials,  $E_{\text{onset}}$  and  $E_{\text{peak}}$ , which indicate the start and the end of a kinetically controlled reaction, respectively (see Figure 2.3.b for an example of the cathodic reaction). The overpotentials  $\eta$  obtained during galvanostatic cycling (see Figure 2.2) are observed in CV measurements as the difference between the applied potential E, at which the reactions are observed, and the redox potential of reversible  $\text{Li}_2\text{O}_2$  formation  $E^0$  (see Figure 2.3.a). At potentials  $\langle E_{\text{peak,ORR}}$  in the cathodic direction (and potentials  $\rangle E_{\text{peak,OER}}$  in the anodic direction), the kinetics of the ORR (and OER) processes are sufficiently fast. Here, the transport of the educts to the active electrode surface is the rate-determining process, which results in the observation of diffusion-limited current densities  $j_{\text{lim}}$  (see Figure 2.3.b).



Figure 2.3: Example of (a) a CV measurement and (b) the cathodic (ORR) scan. Working electrode: Vulcan<sup>®</sup> carbon powder; electrolyte: 1 M Li<sup>+</sup>/DMSO;  $\nu = 100 \text{ mV/s}$ ;  $\omega = 200 \text{ rpm}$ ; the dashed grey line denotes the reversible Li<sub>2</sub>O<sub>2</sub> formation potential  $E^0$  vs. Li/Li<sup>+</sup> = 2.96 V[7,8]; in (a)  $\eta_{\text{ORR}}$  and  $\eta_{\text{OER}}$  are the overpotentials with respect to  $E^0$ , at which the ORR and OER reactions are observed during the cathodic and anodic scans, respectively; in (b) the parameters  $E_{\text{onset}}$ ,  $E_{\text{peak}}$  and  $j_{\text{im}}$  are shown (for definitions, see text).

The initial one-electron transfer resulting in the respective intermediate ORR product (see eqs. (2.1) and (2.6)) takes place at the start of the cathodic reaction, so that information about these reactions can be obtained at potentials close to  $E_{\text{onset}}$ .

For the kinetic investigation of the initial electron transfer the "cathodic quantity"  $\alpha n[47]$  has to be determined, which consists of the charge transfer

coefficient  $\alpha$  and the number of electrons transferred during the ratedetermining step *n*. The value of  $\alpha$  in the range from 0 ... 1 is defined as the relative change of the activation energy  $\Delta G^{\#}$  (which is needed to form the activated complex of an electrochemical reaction) resulting from a change of the applied overpotential  $\eta$ , i.e.,  $\alpha = \frac{d\Delta G^{\#}}{d\eta}$ .



Figure 2.4: The influence of an applied overpotential on the activation energy barrier for an electrochemical reaction [48]. Cathodic direction on the reaction coordinate from left to right; (a) influence of an applied (positive) overpotential  $\eta = E - E^0$  on the standard free energy  $\Delta G$  of the educts (O + e) and the activation energy  $\Delta G^{\#}_{0c}$  needed for the reaction to the product (R); (b) detailed view of the potential curve intersections for  $E^0$  and an applied potential E, showing the standard free energies  $\Delta G$  of the activated complexes for the respective potentials and the meaning of the charge transfer coefficient  $\alpha$ .

Figure 2.4 (see previous page) depicts the influence of the applied potential E on the standard free energies  $\Delta G$  of the reactants (O + e), the activated complex (the potential curve intersections in Figure 2.4.b) and the product (R). The increase of the cathodic activation energy barrier by the application of a positive overpotential  $\eta = (E - E^0)$  is shown in Figure 2.4, i.e., the application of a negative overpotential results in a decrease of the cathodic activation energy by:

$$\Delta G_{\rm c}^{\#} = \Delta G_{\rm 0c}^{\#} + \alpha n \cdot \mathbf{F} \cdot \eta \tag{2.8}$$

with the activation energy for the formation of the activated complex in the cathodic direction  $\Delta G^{\#}_{0c}$ , the activation energy for the formation of the activated complex in the cathodic direction at the applied overpotential  $\Delta G^{\#}_{c}$ , the Faraday constant F and the number of electrons transferred during the reaction *n*. As this equation is only applicable for a one-electron transfer (n = 1)[48,49], the charge transfer coefficients  $\alpha$  for the reaction intermediates of eqs. (2.1) and (2.6) can be determined at low overpotentials immediately after the start of the reaction, i.e., close to the onset potential  $E_{\text{onset}}$ . Therefore, the cathodic part of the Butler-Volmer equation, which describes the dependence of the obtained negative current densities *j* on the negative overpotential  $\eta$ , can be applied for the ORR processes:

$$j = -j_0 \cdot \exp\left(-\frac{\alpha n \cdot F}{R \cdot T}\eta\right)$$
(2.9)

with the molar gas constant R, the temperature T and the partial current density for the cathodic (ORR) processes  $j_0$ , which is a direct descriptor for the kinetic activity of an electrode surface.  $j_0$  and  $\alpha$  can be obtained by plotting  $\alpha$  vs.  $\ln|j|$  in so-called Tafel plots. These show linearity in the overpotential region of -0.05 V to -0.30 V, depending on the electrode material. An example is given in Figure 2.5 (see next page).



Figure 2.5: Example of a Tafel plot of the ORR region observed during a CV measurement. The dashed red line indicates the fit of the linear Tafel region, from which the intercept at  $\eta = 0$  V (green box) and the Tafel slope (red box) can be obtained.

The reciprocal value of the exponential term in eq. (2.9) equals the Tafel slope, from which the value of  $\alpha$  can be determined (see also red box in Figure 2.5):

$$\frac{\mathrm{d}\eta}{\mathrm{dln}[j]} = -\frac{\mathrm{R} \cdot T}{\alpha r \mathrm{F}} \tag{2.10}$$

It has to be pointed out again, that the Butler-Volmer equation is only applicable for one-electron transfers, although two-electron transfers are expected during the reduction of  $O_2$  to  $Li_2O_2$ . These, however, will not take place in single steps, which is highly improbable due to a large activation energy barrier for simultaneous two-electron transfers[50,51]. Two or more consecutive one-electron transfers, on the other hand, can be indirectly deduced from the Tafel plots, if improbably low Tafel slopes are obtained[47,49,52]: rate-determining one-electron transfers without any preceding steps result in a Tafel slope of about 118 mV/dec, whereas Tafel slopes < 118 mV/dec indicate electron transfers preceding the ratedetermining reduction step[49,53,54]. Tafel slopes > 118 mV/dec are attributed to either (i) a preceding chemical dissociation or (ii) a subsequent chemical combination as well as (iii) an electrode transfer through an oxide layer[49]. In order to avoid confusion, the values obtained from the Tafel slopes will be referred to as "cathodic quantities"  $\alpha n$  in the following sections, as these will directly be used for the investigation of the ORR mechanisms.

Furthermore, information about the kinetic activity of an electrode material can be obtained from the Tafel plots. The intercept of the linear fit of the Tafel slope with  $\eta = 0$  V gives the value of  $\ln j_0$ , from which the partial current density  $j_0$  is obtained (see green box in Figure 2.5). From  $j_0$  – dependent only on the activity of the electrode material under otherwise similar reaction conditions – the apparent reaction rate constant  $k_{app}^0$  for the reduction reaction can be determined by:

$$j_0 = n \cdot \mathbf{F} \cdot C_{02} \cdot k_{\mathrm{app}}^0 \tag{2.11}$$

with the oxygen concentration in the electrolyte  $C_{02}$  (in aprotic media usually the solubility of  $O_2$  in the respective solvent is used[9,10,55]).

The dependence of the current density on the scan rate, which was found by Randles and Ševčík, provides insight into the rate limitation of electrochemical mechanisms[56,57]. As the Randles/Ševčík relationship is only applicable for reversible processes, the ORR processes investigated in this work have to be evaluated with the semi-empirical relationship for quasiand irreversible reactions reported by Nicholson and Shain[58]. This is based on the Randles/Ševčík relationship and makes use of the cathodic quantities obtained from the Tafel slopes:

$$j_{\text{peak}} = 2.69 \cdot 10^5 \cdot C_{\text{O2}} \cdot \sqrt{(\alpha n) \cdot \nu \cdot D_{\text{O2}}} \cdot n^*$$
(2.12)

with the cathodic peak current density  $j_{\text{peak}}$ , the diffusion coefficient of  $O_2$  in the electrolyte  $D_{O2}$  and the descriptor for the rate limitation of the reaction
$n^{*}[47,48,59]$ . As the peak current density  $j_{\text{peak}}$  is defined as the end of the kinetically controlled and hence the start of the diffusion-limited reaction, a linear relationship of  $\frac{dj_{\text{peak}}}{d\sqrt{v}} = 1 = n^{*}$  indicates diffusion control of the ORR processes, whereas  $n^{*} = 2$  points to diffusionless processes and/or preceding chemical reactions[60]. Therefore, the rate limitation of the ORR processes is described by the  $n^{*}$  values obtained from eq. (2.12)[9,10,27,59].

#### 2.4.2. <u>Catalysts for the Li/air system</u>

As already mentioned in section 2.2, research on appropriate ORR/OER catalysts focuses on materials with low oxygen adsorption enthalpies to avoid a dissociative  $O_2$  adsorption and the generation of highly reactive  $O_{ads}$  species, which are formed in the presence of noble metals, such as Ir, Ru, Pd, and Pt[19–23,61–65]. Here, transition metals (e.g., Mn, Fe, Co and Ni) are considered as interesting alternatives[66]. Many of these elements show multiple stable valences resulting in a large number of oxides, whose general advantages include high abundance and low cost (see Figure 2.6 on the next page).

Several perovskite and transition metal oxide catalysts, such as LaBO<sub>3</sub> (B = Ni, Fe, Mn, Cr), Zr/CeO<sub>x</sub>, RuO<sub>2</sub>, Co<sub>3</sub>O<sub>4</sub>, CaMnO<sub>3</sub> and MnO<sub>2</sub>, have been reported to show electrocatalytic activities comparable those of noble metals in aqueous and ether-based electrolytes[68–75]. Furthermore, recent X-ray absorption spectroscopy (XAS) and *in situ*-infrared (IR) spectroscopy studies suggested, that the presence of different oxidation states of transition metals, e.g.,  $Cr^{z+}$  and  $Ir^{z+}$  in Cr,  $Cr_2O_3$  and LaCrO<sub>3</sub> as well as  $IrO_2$  catalysts increases the catalytic activities for the OER process in ether-based and aqueous electrolytes, respectively[68,76]. Several reports, on the other hand, raise doubt about the need of electrocatalysts in the Li/air system – especially regarding the ORR processes[20,77]. McCloskey *et al.* observed the decomposition of a DME-based electrolyte to proceed during discharge of a Li/air cell in the presence of Au, Pt and  $MnO_2$  catalysts rather than ORR processes[20].



Figure 2.6: Metal prices and abundance (relative to Si abundance) of the chemical elements in Earth's upper continental crust[67]. The metal price data were taken from www.metalprices.com on September 2, 2005.

In order to clarify the different results on the impact of catalysts for the Li/air system, this work investigates the catalytic ORR activities of different  $Mn_xO_y$  compounds, as this class of materials has been shown to exhibit interesting catalytic properties in the Li/air system[17,78–80]. The results presented in these studies, however, were obtained with instable electrolytes, i.e., electrolyte decomposition was observed rather than ORR/OER processes. Therefore, a re-evaluation of the ORR activities of  $Mn_xO_y$  catalysts in a stable electrolyte is carried out in this work.

### 2.4.3. <u>Manganese oxides</u>

Manganese oxides exist in various morphologies, which contain  $Mn^{z+}$  ions of different valence states, e.g.,  $Mn^{II}$ ,  $Mn^{III}$  and  $Mn^{IV}$ , corresponding to stable  $Mn_xO_y$  compounds, such as MnO,  $Mn_3O_4$ ,  $Mn_2O_3$  and  $MnO_2[81]$ . Many  $Mn_xO_y$ phases consist of tunnel structures built from  $MnO_6$  octahedra. These structures provide several advantages, e.g., an easier access of reactants to the active reaction sites (provided by the tunnels) and a better electron transport in the oxide structure (due to the presence of metal[O]<sub>6</sub> octahedra). The latter point has been shown to be of advantage for the ORR/OER activities of pyrochlore catalysts (which exhibit  $RuO_6$  octahedra) in aqueous and ether-based systems[82–85].

Furthermore, oxygen vacancies in  $Mn_xO_y$  structures with could result in an increase of the catalytic activities for reduction and oxidation reactions, as a non-stoichiometric amount of lattice oxygen results in variable oxidation states of metal cations within the oxide structure[87]. Here, an especially interesting species is  $Mn_3O_4$ , as it has the same space group as the anatase structure of TiO<sub>2</sub> ( $I4_1/amd$ )[86]. TiO<sub>2</sub> is known to be a highly active catalyst and support material for several gas phase reactions due to surface Ti<sup>4+</sup>/Ti<sup>3+</sup> redox centers and oxygen vacancies inside the structure[87–91].

These properties (different  $Mn^{z+}$  oxidation states,  $MnO_6$  octahedra and a possible presence of oxygen vacancies) led to an increased interest in  $Mn_xO_y$ compounds for applications as ORR/OER catalysts in Li/air systems[78,80,92,93].

Additionally, the advantages of manganese oxides can be enhanced by nanostructuring, which has been shown in recent studies focusing on the various morphologies of  $MnO_2$ . These compounds were found to be active ORR/OER catalysts in pure form as well as in combination with graphene and different noble metals in aqueous and aprotic electrolytes[94–100]. Other nanostructured  $Mn_xO_y$  species have been widely synthesized as, e.g., mesoporous crystals, nanoparticles, nanodiscs and nanorods[101–105]. Up to now, however, their catalytic ORR/OER activities have mostly been investigated in aqueous systems.

A useful method to synthesize nanoscale  $Mn_xO_y$  compounds is the calcination process, for which a suitable precursor material is needed[106–109]. Whereas there exist many synthetic routes yielding  $Mn_xO_y$  particles on a nanometer scale[110], e.g., precipitation and solvothermal syntheses, these methods require long reaction times up to 24 h and subsequent drying procedures of up to 2 days[103,111–115]. A synthesis *via* oxidation of manganese metal nanoparticles by gas condensation has to be followed by annealing in O<sub>2</sub>-containing atmospheres in order to obtain different  $Mn_xO_y$  species[116].

Here, the calcination route studied within this work is an advantageous alternative, because the morphology as well as the size of the precursor material are conserved during the oxidation process. This is of special interest, when nanoscale precursor particles are used. Furthermore, a calcination in the absence of  $O_2$ , e.g., in inert Ar atmosphere, can prevent the complete oxidation of the resulting  $Mn_xO_y$ , so that the lattice oxygen density is decreased, which can result in a certain amount of oxygen vacancies. Further advantages of this process include a relatively short synthesis time of about 1 h to 5 h and the fact that one precursor material can be used to obtain several different products by a variation of the calcination conditions, such as temperature, time and gas atmosphere. Even more, pure-phase  $Mn_5O_8$ (whose catalytic ORR activity in aprotic media is investigated for the first time in this work) has up to now only been reported to be obtained by calcination processes[116–121].

# 3. Experimental part

# 3.1. <u>Materials</u>

Table 3.1: Chemicals used for Mn(II) glycolate synthesis; gases used for calcination and TGA/DSC as well as electrochemical measurements.\*

	Abbreviation	Purity	Company
Manganese(II) acetate	Mada	> 0007 mms	Carl-Roth
tetrahydrate	$\operatorname{MIIAC}_2$	> 99%, pure	
Ethylene glycol	EG	> 99.5%, p.a.	
Tetraethyleneglycol	TEG	99%	Sigma-Aldrich
Argon	Ar	5.0	T :
Oxygen	$O_2$	6.0	Linde

\*All chemicals were used without further purification.

	Abbreviation	Purity	Company	
Ethanol	EtOH	analytical reagent- grade	Fisher Scientific	
Vulcan <sup>®</sup> XC72R carbon	VC	n.a.	Cabot	
Lithium bis(trifluoro- methylsulfonyl)imide Acetonitrile	LiTFSI MeCN	reagent- grade > 99.9%	Merck KGaA	
10wt% Nafion <sup>®</sup> /water	-	n.a.		
Dimethyl-sulfoxide	DMSO	anhydrous, $\geq 99.9\%$	Sigma	
Tetraethyleneglycol dimethylether	TEGDME	99%	AIGHCII	
1-Butyl-1-methylpyrrolidinium bis(trifluoromethylsulfonyl)imide	BMPTFSI	99%	io-li-tec	

**Table 3.2:** Chemicals used for electrode preparation and electrochemicalmeasurements.\*

\*All chemicals were used without further purification.

#### 3.2. <u>Manganese oxide synthesis</u>

#### 3.2.1. <u>Mixed-phase manganese oxide particles</u>

The synthesis of Mn(II) glycolate was done by a polyol process reported by Liu *et al.*[108]. In short, 5 mg manganese(II) acetate tetrahydrate (MnAc<sub>2</sub>) were dissolved in 50 mL ethyleneglycol (EG) and 5 mL tetraethyleneglycol (TEG). The solution was stirred and simultaneously heated up to 200°C with a heating rate of about 6 K/min. During heating the solution turned brown at a temperature of about 115°C. After about 15 min of constant heating at 200°C a white precipitate appeared, indicating the formation of the precursor particles. Following further 35 min of stirring at 200°C the product was cooled down to room temperature. The white solid was centrifuged and redispersed in ethanol several times to remove any remaining impurities. Subsequently, the product was dried at room temperature for 2 h in an Ar flow.

The obtained precursor was calcined at 400°C for 2 h in an  $O_2$  flow of 50 NL/h to obtain crystalline  $Mn_3O_4/Mn_5O_8$  particles.

#### 3.2.2. <u>Pure-phase manganese oxide particles</u>

The synthesis of the Mn(II) glycolate precursor was modified by a decrease of the synthesis temperature and an increase of the heating rate in order to obtain smaller precursor particles for the calcination to nanostructured manganese oxides  $(Mn_xO_y)$ .

In a typical reaction, 1 mmol (0.246 g) manganese(II) acetate tetrahydrate  $(MnAc_2)$  was mixed with 3 mmol (3 mL) tetraethyleneglycol (TEG) and added to 30 mL ethyleneglycol (EG) in a three-neck round-bottom flask. The

stirred solution was heated up to  $170^{\circ}$ C with a heating rate of about 7.5 K/min. Upon heating, the solution started to turn brown at a temperature of about 110°C, and after further heating for about 1 h at 170°C a white precipitate appeared, which disappeared again after 1 h (for an XRD analysis of the intermediate product, see Figure 4.4). The solution was stirred for another 4 h at 170°C until a white precipitate appeared again, which indicated the formation of the Mn(II) glycolate particles. The product was stirred for another hour at 170°C to complete the reaction and subsequently cooled down to room temperature. The white powder was centrifuged and washed at least five times with ethanol to remove any impurities. Subsequently, the white product was dried at room temperature for 2 h in an Ar flow.

The obtained Mn(II) glycolate powder was calcined at 350°C and at 550°C for 2 h in an Ar flow of 50 NL/h to yield  $Mn_3O_4$  nanoparticles and mesoporous  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub> particles, respectively. Nanoparticles of Mn<sub>5</sub>O<sub>8</sub> were obtained by precursor calcination at 400°C for 5 h in an O<sub>2</sub> flow of 50 NL/h.

#### 3.3. Morphological and structural analysis

#### 3.3.1. <u>Electron microscopy</u>

The sizes and morphologies of the precursor as well as  $Mn_xO_y$  particles were characterized by transmission electron microscopy (TEM) using a Tecnai G2 microscope with an acceleration voltage of 200 kV and a Zeiss EM 902A microscope with an acceleration voltage of 80 kV. The samples for TEM measurements were prepared by depositing a drop of an ethanol dispersion of the powder on a carbon-coated copper grid and drying at room temperature. Scanning electron microscopy (SEM) was carried out with an Oxford INCA system employing a PentaFET Precision INCA X-act detector integrated into the Hitachi S-3200N microscope. The sample was prepared by depositing an ethanol dispersion of the sample onto an Al substrate and drying at room temperature.

#### 3.3.2. <u>X-ray diffraction (XRD) and in situ-XRD</u>

For X-ray diffraction (XRD), a PANalytical X'Pert Pro MPD diffractometer was used operating with Cu K<sub> $\alpha$ </sub> radiation (wavelength  $\lambda_{Cu} = 0.15418$  nm), Bragg-Brentano  $\theta$ -2 $\theta$  geometry and a goniometer radius of 240 mm. Samples for XRD measurements were prepared by placing the powder onto low-background silicon sample holders. The XRD measurements were conducted in ambient atmosphere. The crystallite sizes  $d_{crystallite}$  of the samples were calculated from all assigned reflections with the Scherrer equation:

$$d_{\text{crystallite}} = \frac{K \cdot \lambda_{\text{Cu}}}{B \cdot \cos \theta}$$
(3.1)

with the Bragg angle  $\theta$  and the dimensionless Scherrer constant K, which is dependent on the particle shape. For rectangular and spherical particles values of K = 0.83 and K = 1.20 were used, respectively[122]. B is the broadening of the reflection at half the maximum intensity (full width at half maximum, FWHM) in radians after subtracting the instrumental reflection broadening  $B_i$ :

$$B = \sqrt{\text{FWHM}^2 - B_i^2} \tag{3.2}$$

The instrumental reflection broadening of the employed diffractometer  $B_i$  was determined on the basis of the Caglioti/Paoletti/Ricci model[123,124] by other members of the group as given by:

$$B_{\rm i} = \sqrt{-0.005260679 \cdot \tan^2\theta + 0.012269341 \cdot \tan\theta + 0.018837553} \quad (3.3)$$

The lattice constants were obtained from assigned diffraction reflections with the Bragg equation, as given in eqs. (3.4)-(3.8):

$$n\lambda_{\rm Cu} = 2d \cdot \sin\theta \tag{3.4}$$

with an integer n and the interplanar distance of the lattice planes, at which the X-rays are scattered, d. The lattice constants (a, b, c) of the different compounds were calculated with the following equations for the respective compound structures (space groups):

$$d = \sqrt[-2]{\frac{4}{3} \cdot \left(\frac{h^2 + hk + k^2}{a^2}\right) + \frac{l^2}{c^2}}$$
(3.5)

for the trigonal structure of Mn(II) glycolate  $(P\bar{3}m1)$ ,

$$d = \sqrt[-2]{\frac{h^2 + k^2}{a^2} + \frac{l^2}{c^2}}$$
(3.6)

for the tetragonal structure of  $Mn_3O_4$  ( $I4_1/amd$ ),

$$d = \sqrt[-2]{\left(\frac{h^2}{a^2} + \frac{l^2}{c^2} - \frac{2 \cdot h l \cdot \cos\beta}{a \cdot c}\right)^{-1} \cdot \sin\beta + \frac{k^2}{b^2}}$$
(3.7)

for the monoclinic structure of  $Mn_5O_8$  (C2/m), and

$$d = \sqrt[-2]{\frac{h^2 + k^2 + l^2}{a^2}} \tag{3.8}$$

for the cubic structure of  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub> ( $Ia\overline{3}$ ).

In situ-XRD measurements were carried out with the same experimental setup using a high temperature chamber from Anton Paar (HTK 1200N). The temperature profile measurements were recorded in an  $O_2$  flow during the heating of the powder sample from 25°C to 700°C with a heating rate of 2 K/min. The time profile measurements were conducted during constant heating of the powder sample at 400°C for 350 min in an  $O_2$  flow, which had previously been heated from 25°C to 400°C with a heating rate of 18 K/min in an  $O_2$  flow. For these experiments the powder samples were placed on a corundum sample holder. The thermal expansion was corrected automatically during the measurements.

# 3.3.3. <u>Thermogravimetric analysis (TGA) and differential</u> scanning calorimetry (DSC)

Thermogravimetric analysis (TGA) and differential scanning calorimetry (DSC) were carried out with a Netzsch STA 449 F3 Jupiter thermo-analysis system. The samples were deposited in  $Al_2O_3$  crucibles and heated from 35°C to 700°C with a heating rate of 2 K/min in an  $O_2/Ar$  (1:2) and an Ar gas flow of 40 NmL/min, respectively.

#### 3.3.4. $N_2$ adsorption-desorption

The porosity of the  $Mn_xO_y$  powders was determined by  $N_2$  adsorptiondesorption measurements. Prior to the measurement, the material was kept under vacuum at 180°C for 18 h to remove any residual gas and moisture from the sample. The adsorption-desorption isotherms were measured with a Quantachrome Nova 2000E at 77 K. The Brunauer-Emmet-Teller (BET) method was used to determine the complete inner surfaces and the Barrett-Joyner-Halenda (BJH) method for the mesopore surface analysis as well as for the determination of the pore size distributions.

#### 3.4. <u>Electrochemical analysis of the catalytic activity</u>

#### 3.4.1. <u>Electrode preparation</u>

The catalyst/carbon ink for the powder electrodes was prepared by mixing and grinding 90 mg Vulcan<sup>®</sup> XC72R carbon powder (VC) with 10 mg of the respective  $Mn_xO_y$  powder. 14.4 mg of this active material was dispersed in 2.5 mL ethanol and ultrasonicated for 20 min. As binder material, 0.5 mL of 0.1wt.% Nafion<sup>®</sup>/water solution were added to2.5 mLof  $_{\mathrm{the}}$  $\mathbf{a}$ catalyst/carbon dispersion and ultrasonicated for another 20 min.Subsequently, a  $10 \ \mu l$  drop of the ink was applied on a glassy carbon (GC) disc and dried at 80°C for 12 h. The 10wt.% catalyst loading of the prepared  $Mn_vO_v/C$  electrodes equals 4.8 µg per electrode and 24.4 µg/cm<sup>2</sup>.

#### 3.4.2. <u>Electrochemical measurements</u>

For electrochemical measurements Reference 600 and Reference 3000 (Gamry Instruments) potentiostats were used. The measurements were carried out with a rotating disc electrode (RDE) setup in a glove box with Ar atmosphere at ambient temperature (see Figure 3.1 on the next page).

For the electrochemical setup GC discs (d = 0.5 cm, Pine Research Instrumentation, electrode model no. AFE3T050GC) and VC-coated GC discs as well as 10% Mn<sub>x</sub>O<sub>y</sub>/C-coated GC discs served as working electrodes (WE). Polished Ag wires and Pt discs were used as reference electrodes (RE) and counter electrodes (CE), respectively.



Figure 3.1: (a) Schematic representation and (b) photo of the setup in the Ar-filled glove box. (1) Glass container with the electrolyte, (2) WE: the RDE finger with the working electrode (GC disc), (3) CE: the counter electrode (Pt disc), and (4) RE: the reference electrode (Ag wire).

The investigation of the various electrolytes were carried out with 1 M LiTFSI solutions of MeCN, TEGDME, BMPTFSI, DMSO and a solvent mixture of BMPTFSI/DMSO (1:100). All other electrochemical measurements were conducted with 1 M LiTFSI/DMSO as electrolyte.

For all electrochemical experiments an amount of 5 mL electrolyte was used, which was saturated with Ar or  $O_2$  for 25 min prior to the start of the measurement.

The linear sweep voltammetry (LSV) and cyclic voltammetry (CV) measurements were recorded at potentials within the range of  $-1 \text{ V vs. Ag/Ag}^+$  in the cathodic direction to  $1.1 \text{ V vs. Ag/Ag}^+$  in the anodic direction. The experiments were conducted at scan rates in the range of 1 mV/s to 1000 mV/s with rotational frequencies of 0 rpm, 200 rpm and 1000 rpm. The parameters used for the different investigations are given in sections 4.2 to 4.4 for the respective experiments. All data, which are subject

to discussion in these sections, were obtained from the voltammetry measurements after subtracting the capacitive currents obtained in Arsaturated electrolyte for the respective electrode materials.

Each electrochemical measurement was carried out with a newly prepared electrode and repeated at least five times. All data calculated from electrochemical measurements are given as mean values in this work, as electrochemical data obtained with non-noble materials are known to show significant deviations in different measurements [125].

# 3. Experimental part

#### 4. Results & Discussion

#### 4.1. Synthesis and characterization of the manganese oxides

In order to obtain different nanostructured  $Mn_xO_y$  compounds by calcination, suitable precursor nanoparticles were synthesized. Here, polyol processes provide access to a wide range of particle diameters and morphologies by several adjustable synthesis parameters, e.g., reaction time and temperature, which has already been shown for Mn-Fe, Co and Mn alkoxides[117,126]. Therefore, a polyol process reported by Liu *et al.* was used to generate Mn(II) glycolate precursor particles[108]. This section contains results already published elsewhere[105,127].

#### 4.1.1. <u>Mixed-phase manganese oxides</u>

#### 4.1.1.1. Mn(II) glycolate precursor by polyol synthesis

The Mn(II) glycolate particles synthesized in ethylene glycol at 200°C for 50 min were obtained as a white powder, which was characterized by XRD and TEM analysis.

Figure 4.1.a (see next page) depicts the XRD pattern of the obtained product. The low-angle reflections at  $2\theta \approx 11^{\circ}$  and  $2\theta \approx 22^{\circ}$  (see red lines in Figure 4.1.a) are typical for the trigonal brucite-type structure of Mn(II) glycolate (space group  $P\bar{3}m1$ ) and were also observed by Liu *et al.*[108].

The TEM image in Figure 4.1.b depicts the Mn(II) glycolate particles, of which the majority shows circular shapes with diameters in the range of 40 nm to 80 nm (examples are highlighted blue in Figure 4.1.b). Furthermore, elongated particles with nanorod-like shapes and diameters between 20 nm and 30 nm as well as lengths between 100 nm and 180 nm are visible in the TEM image (examples are highlighted green in Figure 4.1.b).



Figure 4.1:(a) XRD pattern and (b) TEM image of the Mn(II) glycolate particles after synthesis at 200°C for 50 min. Red lines in (a) denote reflections previously reported by Liu *et al.*[108]; the reflections in (a) are assigned according to data from the literature[128].

The particles observed in Figure 4.1.b are by more than one order of magnitude smaller compared to those of the Mn(II) glycolate precursor obtained by Liu *et al.*[108]. As no detailed information about the heating conditions during the precursor synthesis was given by Liu *et al.*, a possible explanation for the smaller particle sizes obtained here might be a larger heating rate. As Liu *et al.* reported the formation of Mn<sub>2</sub>O<sub>3</sub> particles in the  $\mu m$  range by precursor calcination[108], the smaller Mn(II) glycolate nanoparticles obtained here are considered promising precursors for the generation of Mn<sub>x</sub>O<sub>y</sub> compounds in the *nm range*.

## 4.1.1.2. Mixed-phase $Mn_3O_4/Mn_5O_8$ by calcination

The precursor nanoparticles were calcined in  $O_2$  atmosphere at 400°C for 2 h to yield  $Mn_xO_y$  particles, which were characterized by XRD and TEM analysis.



Figure 4.2: XRD pattern of the mixed-phase  $Mn_xO_y$  product obtained after calcination at 400°C for 2 h in  $O_2$  atmosphere. The literature patterns of  $Mn_3O_4$  and  $Mn_5O_8$ , which are present in the product, are depicted at the top; blue crosses (+) denote reflections confirming the presence of  $Mn_5O_8$ .

Figure 4.2 (bottom) depicts the X-ray diffractogram of the product obtained after calcination at 400°C for 2 h in  $O_2$  atmosphere. The literature XRD patterns of  $Mn_3O_4$  and  $Mn_5O_8$  show that the obtained  $Mn_xO_y$  product consists of these two compounds (see Figure 4.2). Here, the phase of  $Mn_5O_8$  is only detected by the comparably low intensities of the reflections at 21.7°,  $39^{\circ}$  and  $47^{\circ}$  (see blue crosses in Figure 4.2). An explanation for the obtained phase mixture might be (i) the complete oxidation of the Mn(II) glycolate precursor to Mn<sub>3</sub>O<sub>4</sub> with (ii) a subsequent incomplete oxidation of Mn<sub>3</sub>O<sub>4</sub> to Mn<sub>5</sub>O<sub>8</sub>. Feitknecht proposed the oxidation to Mn<sub>5</sub>O<sub>8</sub> to proceed *via* a two-phase seed growth mechanism[118]. This is an oxidation process, which starts at the Mn<sub>3</sub>O<sub>4</sub> particle edges and proceeds along the Mn<sub>3</sub>O<sub>4</sub>/Mn<sub>5</sub>O<sub>8</sub> phase boundary, until the Mn<sub>3</sub>O<sub>4</sub> phase is completely oxidized. The low intensities of the Mn<sub>5</sub>O<sub>8</sub> reflections hence could derive from an incomplete particle oxidation due to the short calcination time. The time-dependence of this oxidation process is investigated with *in situ*-XRD measurements in section 4.1.2.4.



Figure 4.3: TEM images of the  $Mn_3O_4/Mn_5O_8$  particles. Highlighted areas: examples for nanorods (red), circular (blue) and hexagonally shaped particles (green).

Figure 4.3 depicts TEM images of the  $Mn_3O_4/Mn_5O_8$  particles, which exhibit plate-like (highlighted green and blue) and to some extent rod-like structures (highlighted red). Some of the plate-like particles have hexagonal shapes (highlighted green), but mostly circular plates were obtained (highlighted blue). Most of the particles in both TEM images have diameters and/or lengths in the  $\mu$ m range. Hence, the desired generation of  $Mn_xO_y$  nanoparticles by calcination of the nanosized Mn(II) glycolate precursor was not achieved. However, the different particle morphologies of the precursor material (e.g., circular and rod-like shapes) are still observed in the oxide product.

As an influence of the heating conditions during the Mn(II) glycolate synthesis on the precursor particle diameters was suggested in the previous section, a modification of the polyol process is presented in the next section. Furthermore, the subsequent calcination of the Mn(II) glycolate particles yielding different  $Mn_xO_y$  compounds is discussed in combination with an extensive study on the influence of different calcination temperatures and gas atmospheres on the obtained products.

#### 4.1.2. <u>Nanostructured pure-phase manganese oxides</u>

The polyol synthesis of the Mn(II) glycolate precursor presented in section 4.1.1.1 was modified in order to obtain smaller precursor particles. For the modified procedure the reaction temperature was decreased from 200°C to 170°C by a simultaneous increase of the heating rate from 6 K/min to 7.5 K/min.

Subsequent to the characterization of the Mn(II) glycolate particles in this section, the formation of several  $Mn_xO_y$  species by precursor calcination at different temperatures and in different atmospheres is discussed in order to obtain information about  $Mn_xO_y$  phase formations and transformations. Furthermore, this section presents an investigation of the structural and morphological properties of the pure-phase  $Mn_xO_y$  compounds, which are investigated as ORR electrocatalysts in section 4.3.

#### 4.1.2.1. Mn(II) glycolate precursor by a modified polyol synthesis

The polyol process reported by Liu *et al.* was modified to yield suitable Mn(II) glycolate precursor particles for the thermal decomposition to nanostructured  $Mn_xO_y$  by calcination[108].

After constant heating at 170°C for 1 h a white precipitate appeared. This product was identified by X-ray diffraction as Mn(II) glycolate containing large impurities of the dehydrated educt (Mn(II) acetate dihydrate) and the side product manganese oxalate ( $MnC_2O_4$ , see Figure 4.4.a on the next page). In order to obtain the pure Mn(II) glycolate precursor, constant heating was continued at 170°C until a white precipitate appeared again. The XRD pattern of the product obtained after 7 h is depicted in Figure 4.4.b (see next page), which can be assigned to the trigonal brucite-type structure (P3m1) of glycolate[108,117,128]. A mean Scherrer crystallite size Mn(II)of 17 nm  $\pm$  8 nm was calculated for the Mn(II) glycolate particles (eq. (3.1)). Inorganic compounds with the same (brucite-type) crystal structure, such as  $Mg(OH)_2$ ,  $Co(OH)_2$ ,  $Ca(OH)_2$  and  $Ni(OH)_2$ , exhibit lattice constants a = 3.1 Å ... 3.6 Å and c = 4.6 Å ... 4.9 Å. For the Mn(II) glycolate presented here, the Mn-Mn distance (corresponding to lattice constant a) was calculated to be 3.2 Å from the (110) reflection (eq. (3.5)), which is in good agreement with the findings of Sun et al. [128]. The interlayer distance along the [001] direction is 8.2 Å (eq. (3.5)). This value corresponds to the lattice constant c and is consistent with literature studies, which reported lattice constants of c = 8.3 Å and c = 8.27 Å for Mn glycolate and Co glycolate, respectively[128,129].



Figure 4.4: Powder XRD pattern of Mn(II) glycolate particles after synthesis at 170°C for (a) 1 h and (b) 7 h. In (a) reflections of the side products manganese acetate dihydrate (ICDD 00-056-0183) and manganese oxalate (ICDD 00-032-0646) are denoted by circles (o) and asterisks (\*), respectively; in (b) black labels denote literature assignments[128] and red labels calculated reflection assignments for the brucite-type structure with lattice constants a = b = 3.2 Å and c = 8.2 Å.

Sun *et al.* proposed that the Mn(II) glycolate structure widening in the c direction (the distance between the Mn-O sheets in the ab planes) is due to the long-chain ethylene glycolate anions interconnecting the ab planes of the unit cell[128]. In contrast to the synthesis presented here, however, Sun *et al.* did not use tetraethyleneglycol (TEG) in their polyol process[128]. As TEG anions being part of the structure would result in lattice constants c > 8.2 Å for the Mn(II) glycolate presented in this work, it is assumed that TEG molecules only act as stabilizing ligands to the Mn(II) glycolate particles. This stabilization and the modified synthesis conditions (temperature and

heating rate) are considered to be the reasons for the by one order of magnitude smaller crystallite sizes compared to those of the Mn(II) glycolate particles presented in the previous section and reported in other studies[117,128].

The morphology of the as-synthesized Mn(II) glycolate was investigated by SEM and TEM analysis.



Figure 4.5: (a) SEM and (b), (c) TEM images of the Mn(II) glycolate precursor synthesized at 170°C for 7 h. (a) shows hollow spheres held by ligand shells, which can be seen in (b) to break open and contain (c) the Mn(II) glycolate nanoparticles.

Figure 4.5.a depicts SEM images of spherical Mn(II) glycolate particles with diameters  $\leq 1 \ \mu m$ . The broken outer shells of some spheres visible in the SEM image (highlighted by white frames) show, that the spherical particles are hollow. Such a broken sphere is depicted in the TEM image in Figure 4.5.b, which reveals that the spheres are in fact agglomerates of rectangular Mn(II) glycolate nanoparticles with diameters below 15 nm (Figure 4.5.c). The observed particle sizes are in good agreement with the calculated Scherrer crystallite sizes obtained from the XRD pattern (see Figure 4.4.b).

The impact of the reduction of the precursor particle sizes by another order of magnitude (compared to those obtained in section 4.1.1.1) on the morphologies of the  $Mn_xO_y$  compounds produced by thermal decomposition processes is presented in the following sections.

# 4.1.2.2. The formation of various $Mn_xO_y$ phases during heating

The temperature dependence of  $Mn_xO_y$  phase formation and transformation processes was investigated by *in situ*-X-ray diffraction in  $O_2$  atmosphere during heating of the Mn(II) glycolate precursor particles from 35°C to 700°C with a heating rate of 2 K/min (see Figure 4.6).



Figure 4.6: In situ-XRD patterns recorded during the heating of the Mn(II) glycolate precursor from 35°C to 700°C in an O<sub>2</sub> flow. The denoted reflections indicate the presence of Mn(II) glycolate ( $\Box$ ), Mn<sub>3</sub>O<sub>4</sub> (\*), Mn<sub>5</sub>O<sub>8</sub> (+) and  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub> (o); heating rate: 2 K/min.

All species expected to be generated during the oxidation process show reflections in the  $2\theta$  region from 17.6° to 23.8°, which was monitored during the measurement: 21.5° (Mn(II) glycolate,  $\Box$ )[108], 18.0° (Mn<sub>3</sub>O<sub>4</sub>, \*)[130], 18.1° and 21.7° (Mn<sub>5</sub>O<sub>8</sub>, +)[119], and 23.2° ( $\alpha$ -Mn<sub>2</sub>O<sub>3</sub>, o)[131].

The reflection of Mn(II) glycolate at 21.5° is observed in the temperature range between 35°C and 185°C. At this temperature a simultaneous decrease of the background intensity is observed in the diffraction patterns (visible as a horizontal line with a change of the background color from green to blue in Figure 4.6). This intensity decrease is attributed to the temperaturedependent decomposition of the organic ligands and anions by oxidation. The  $Mn_3O_4$  reflection at  $18.0^{\circ}$  evolves at about  $185^{\circ}C$  immediately after the Mn(II) glycolate reflection has vanished. The decreasing intensity of the  $Mn_3O_4$  reflection at 18.0° is accompanied by the appearance of the  $Mn_5O_8$ reflection at 21.7° at about 350°C. Simultaneously, the intensity of the  $Mn_5O_8$ reflection at 18.1° increases. These observations are attributed to a slow oxidation process of  $Mn_3O_4$  to  $Mn_5O_8$ . The  $Mn_3O_4$  reflection at  $18.0^{\circ}$ disappears at about 440°C, indicating the complete oxidation of  $Mn_3O_4$  to  $Mn_5O_8$ . Both reflections assigned to  $Mn_5O_8$  (at 18.1° and 21.7°) disappear at 550°C, subsequent to the appearance of the intense  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub> reflection at  $23.2^{\circ}$  at a temperature of about 530°C. This observation is attributed to the phase transformation of  $Mn_5O_8$  to  $\alpha$ - $Mn_2O_3$ .

Hence, in  $O_2$  atmosphere  $Mn_3O_4$  is present at temperatures between 185°C and 440°C,  $Mn_5O_8$  between 350°C and 550°C and  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub> at temperatures above 530°C.

The oxidation of  $Mn_3O_4$  to the metastable  $Mn_5O_8$  phase rather than to  $Mn_2O_3$  has previously been suggested to take place during the heating of  $Mn_3O_4$  particles at temperatures between 250°C and 550°C in atmospheres containing > 5%  $O_2[118]$ . The reduction process of  $Mn_5O_8$  to  $\alpha$ - $Mn_2O_3$  in  $O_2$ -

containing atmospheres was observed by several groups at temperatures  $> 500^{\circ}C[113,120,131].$ 



Figure 4.7: TGA/DSC measurements recorded during the heating of the Mn(II) glycolate precursor to 700°C in Ar and  $O_2/Ar$  (1:2) atmospheres. Gas atmospheres: Ar (black) and  $O_2/Ar$  (1:2) (red); heating rate: 2 K/min; gas flow: 40 Nml/min; (b) detailed view of the TGA (red) and DSC measurements (blue) in an  $O_2/Ar$  (1:2) atmosphere in a temperature range of 230°C to 500°C.

The temperature-dependent oxidation processes of Mn(II) glycolate to the various  $Mn_xO_v$  species observed in the *in situ*-XRD patterns in (oxidizing)  $O_2$ 

atmosphere (see Figure 4.6) were further investigated by thermogravimetric analysis (TGA) and differential scanning calorimetry (DSC). Figure 4.7 (see previous page) shows a comparison of TGA/DSC measurements recorded during the heating of the Mn(II) glycolate samples to 700°C in an  $O_2/Ar$  (1:2) flow (red) to those recorded in a pure Ar flow (black).

A summary of the processes concluded from the observations made in the TGA/DSC measurements (Figure 4.7) and *in situ*-XRD patterns (Figure 4.6) is given in Table 4.1.

T / °C	$m \ / \ \%$		Processes		
	$\mathbf{Ar}$	O <sub>2</sub> /Ar (1:2)	Ar	O <sub>2</sub> /Ar (1:2)	
30 - 150	- 2.1		Water loss from the sample		
150 - 200	- 5.7 - 36.8	- 44	Ligand decomposition -	Ligand & anion	
200 - 250				decomposition	
250 - 300		+ 0.5		$Mn_3O_4$ oxidation to	
300 - 350			Organic anion	$\mathrm{Mn}_{5}\mathrm{O}_{8}$ & $\alpha$ - $\mathrm{Mn}_{2}\mathrm{O}_{3}$	
350 - 400		-1.6	decomposition	Mr. O. reduction to	
400 - 450			(& $Mn_xO_y$	$MII_5O_8$ reduction to	
450 - 500			formation)	0-1111 <sub>5</sub> 0 <sub>8</sub>	

Table 4.1: Loss of sample masses detected during the TGA measurements and attributed processes in Ar and  $O_2/Ar$  atmospheres.

Ar atmosphere. Subsequent to the initial water loss, the mass loss of 5.7% detected during the heating to a temperature of  $320^{\circ}$ C is attributed to the decomposition of organic TEG and EG ligands, whose boiling points are in the temperature range of  $150^{\circ}$ C to  $320^{\circ}$ C. A further mass loss of about 37% up to  $450^{\circ}$ C is probably due to the decomposition of the organic EG anions of the Mn(II) glycolate, which was suggested for Ti(IV) glycolate by Jiang *et al.*[132]. During this decomposition process Mn(II) glycolate is

assumed to be oxidized to  $Mn_xO_y$  similar to the proposal made in the aforementioned study on TiO<sub>2</sub>[132].

 $O_2/Ar$  atmosphere. A mass loss of about 44% attributed to the decomposition of the organic species of the precursor is observed at much lower temperatures between 150°C and 250°C (see Figure 4.7.a). This process was observed at 185°C in the *in situ*-XRD measurement in combination with an immediate oxidation to  $Mn_3O_4$  in  $O_2$  atmosphere (see Figure 4.6). The temperature delay of this process with respect to the results from the *in situ*-XRD measurements is most probably due to the smaller  $O_2$  partial pressure in the gas atmosphere used for the TGA measurement. Hence, a clear assignment of the weight loss to specific processes cannot be made, as the decomposition of organic ligands and anions from the precursor and the oxidation to  $Mn_3O_4$  take place simultaneously.

For the investigation of the processes occurring subsequent to the large mass loss a detailed view of the temperature region from 230°C to 500°C is depicted in Figure 4.7.b. Here, a small mass increase of 0.54% detected between 250°C and 330°C is accompanied by a DSC signal of an exothermal phase transformation at 270°C, which indicates an oxidation of  $Mn_3O_4$  to  $Mn_5O_8$ . This is in good agreement with the formation of the  $Mn_5O_8$  phase observed at about 350°C in the *in situ*-XRD measurements (see Figure 4.6) The expected mass gain of 5.59% resulting from a complete oxidation of  $Mn_3O_4$  to  $Mn_5O_8$ , however, is ten times larger, which means that  $Mn_3O_4$  is only partially oxidized to  $Mn_5O_8$ . This assumption is supported by a recent study, which suggested that heating rates between 1.2 K/min and 2.5 K/min could lead to a direct oxidation of  $Mn_3O_4$  to  $\alpha$ -Mn\_2O\_3 rather than to  $Mn_5O_8$ in an O<sub>2</sub> atmosphere[114].

However, the subsequent mass loss of 1.60% from 330°C to 480°C as well as the DSC signal of an exothermal phase transformation at 480°C indicate the reduction of  $Mn_5O_8$  to  $\alpha$ - $Mn_2O_3$ . This mass loss is lower than the calculated value of 2.03% for a complete conversion of  $Mn_5O_8$  to  $\alpha$ - $Mn_2O_3$ , which indicates that less  $\alpha$ - $Mn_2O_3$  is formed from  $Mn_5O_8$  than expected. Hence, it is concluded that in an  $O_2/Ar$  atmosphere  $\alpha$ - $Mn_2O_3$  is generated partially from  $Mn_5O_8$  and partially by direct oxidation from  $Mn_3O_4$ .

The mass increase and decrease in oxidizing atmospheres between 250°C and 500°C was proposed to be due to slow seed crystal oxidation[118]. Here,  $Mn_3O_4$  nanoparticles with surface areas of more than 10 m<sup>2</sup>/g are suggested to be oxidized to  $Mn_5O_8$  with a subsequent formation of  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub>[117,118]. Therefore, these observations give first indications of a formation of  $Mn_3O_4$  nanoparticles by annealing of the Mn(II) glycolate particles.

#### 4.1.2.3. $Mn_xO_v$ species by calcination at different temperatures

In order to obtain different  $Mn_xO_y$  compounds the precursor was calcined at temperatures between 320°C and 550°C in pure Ar or  $O_2$  atmospheres for 2 h. X-ray diffractograms of the resulting  $Mn_xO_y$  compounds and the respective reference patterns are shown in Figure 4.8 (see next page).

The dependence of the low-angle reflection intensities of the different  $Mn_xO_y$  species (blue marks in Figure 4.8) on the calcination temperature in oxidizing and neutral gas atmospheres is depicted in Figure 4.9 (see p. 48). It has to be pointed out, however, that the reflection intensities do not give quantitative insight, but only indicate the formation and presence of the different  $Mn_xO_y$  compounds in the products.



Figure 4.8: Powder XRD patterns of the  $Mn_xO_y$  products obtained after calcination at temperatures between 320°C and 550°C for 2 h. Gas atmospheres: Ar (black) and  $O_2$  (red); gas flow: 50 NL/h; reference patterns are depicted at the top for  $Mn_3O_4$ ,  $Mn_5O_8$  and  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub>; asterisk (\*), cross (+) and circle (o) mark the respective lowangle reflections observed in the *in situ*-XRD measurements (see Figure 4.6).

The low-angle reflection intensities of the different  $Mn_xO_y$  species obtained at different calcination temperatures (see Figure 4.9) show that at low calcination temperatures of  $320^{\circ}$ C and  $350^{\circ}$ C  $Mn_{3}O_{4}$  is the only species present in both atmospheres. In an Ar atmosphere this does not change up to a calcination temperature of  $400^{\circ}$ C. The presence of  $Mn_{5}O_{8}$ , however, is indicated at  $400^{\circ}$ C in an oxidizing atmosphere by the appearance of a reflection at  $21.7^{\circ}$  and a simultaneous stronger intensity increase of the reflection at about  $18^{\circ}$  (assigned to  $Mn_{3}O_{4}$  and  $Mn_{5}O_{8}$ ) compared to that observed in an Ar atmosphere.



Figure 4.9:  $Mn_xO_y$  low-angle reflection intensities detected at different calcination temperatures in Ar (black) and  $O_2$  atmospheres (red). Intensities of the reflections, which indicate the presence of  $Mn_3O_4$  (\*),  $Mn_5O_8$  (+) and  $\alpha$ - $Mn_2O_3$  (o) (taken from Figure 4.8).

The  $Mn_5O_8$  phase is obtained up to a calcination temperature of 500°C only in O<sub>2</sub> atmospheres. It is, however, not present as a pure phase at any of the temperatures between 400°C and 500°C. At a calcination temperature of 500°C a product mixture of  $Mn_5O_8$  and  $\alpha$ - $Mn_2O_3$  (identified by the reflection at 23.2°) is obtained in an O<sub>2</sub> atmosphere. After calcination at 450°C in an Ar atmosphere  $\alpha$ - $Mn_2O_3$  is present in a product mixture with  $Mn_3O_4$ . A pure phase of  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub> is obtained by annealing at 500°C and 550°C in Ar atmospheres as well as at 550°C in an O<sub>2</sub> atmosphere.

**Table 4.2:** Crystalline  $Mn_xO_y$  phases obtained after calcination at temperatures between 320°C and 550°C in Ar and O<sub>2</sub> atmospheres. Mean Scherrer crystallite sizes  $d_{\text{crystallite}}$  (calculated from all assigned reflections with eq. (3.1)) and lattice parameters (calculated from the (101) and (004) reflections of  $Mn_3O_4$  with eq. (3.6) as well as the (400) reflection of  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub> with eq. (3.8)) are given for the purephase products.

$T / ^{\circ}\mathrm{C}$	Gas	$Mn_xO_y$ phases	Lattice constants / Å	$d_{ m crystallite} \ / \ { m nm}$	
320 — O	A m	$Mn_3O_4$	a = 5.72	$11 \pm 3$	
	Aľ		c = 9.38		
	0	$\mathrm{Mn}_3\mathrm{O}_4$	a = 5.75	$38 \pm 11$	
	$O_2$		c = 9.47		
<b>350</b> Ar O <sub>2</sub>	Δr	$Mn_3O_4$	a = 5.69	$10 \pm 3$	
	AI		c = 9.44		
	0	Mn O	a = 5.74	$25 \pm 10$	
	$O_2$		c = 9.47	$50 \pm 10$	
400 A	Δm	$\mathrm{Mn}_3\mathrm{O}_4$	a = 5.73	0 1 2	
	Af		c = 9.39	$9\pm 2$	
	$O_2$	$\mathrm{Mn}_3\mathrm{O}_4,\mathrm{Mn}_5\mathrm{O}_8$			
450	Ar	$\alpha\text{-}\mathrm{Mn}_2\mathrm{O}_3,\mathrm{Mn}_3\mathrm{O}_4$			
400	$\mathrm{O}_2$	$\mathrm{Mn}_3\mathrm{O}_4,\mathrm{Mn}_5\mathrm{O}_8$			
500	Ar	$\alpha$ -Mn <sub>2</sub> O <sub>3</sub>	a = 9.41	$27 \pm 4$	
	$O_2$	$Mn_5O_8$ , $\alpha$ - $Mn_2O_3$			
FF0	Ar	$\alpha$ -Mn <sub>2</sub> O <sub>3</sub>	a = 9.40	$34 \pm 5$	
000	$O_2$	$\alpha$ -Mn <sub>2</sub> O <sub>3</sub>	a = 9.41	$44 \pm 12$	

The influence of the calcination temperatures and gas atmospheres on the structural properties of the different  $Mn_xO_y$  products obtained under the different calcination conditions are discussed below. The lattice constants and

crystallite sizes presented in Table 4.2 (see previous page) were obtained from the XRD patterns depicted in Figure 4.8.

 $Mn_3O_4$ . The tetragonal  $Mn_3O_4$  phase (ICDD 01-075-1560,  $I4_1/amd$ ) is observed in the powder XRD patterns after calcination at temperatures between 320°C and 450°C in both atmospheres. It is, however, obtained as a pure phase only at temperatures up to 400°C in Ar and up to 350°C in  $O_2$ (see Figure 4.8 and Table 4.2).

The lattice parameters and crystallite sizes of the pure  $Mn_3O_4$  samples obtained by calcination at 320°C and 350°C (see Table 4.2) seem to be independent of the calcination *temperature* but dependent on the calcination *atmosphere*. The  $Mn_3O_4$  phases generated in neutral atmospheres exhibit crystallite sizes of only about 30% compared to those obtained in the presence of  $O_2$ . Furthermore, the lattice constants of the  $Mn_3O_4$  products calcined in Ar atmospheres are smaller at all temperatures compared to those obtained by calcination in oxidizing atmospheres. This is suggested to be due to oxygen vacancies, as in a neutral atmosphere the oxygen for the precursor oxidation to  $Mn_3O_4$  can only be supplied by the decomposing organic species of the Mn(II) glycolate particles.

The smaller standard deviations of the lattice constants of pure-phase  $Mn_3O_4$  obtained at calcination temperatures of 320°C and 350°C in oxidizing atmospheres (see Table 4.2) indicate completely occupied oxygen sites in the  $Mn_3O_4$  structure. The contraction of the lattice constants proposed for  $Mn_3O_4$  obtained by calcination in Ar, has previously also been attributed to oxygen vacancies and disorders in the structure of TiO<sub>2</sub>, which has the same space group as  $Mn_3O_4[91]$ .

 $\alpha$ -Mn<sub>2</sub>O<sub>3</sub>. Cubic  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub> (ICDD 00-041-1442,  $Ia\overline{3}$ ) is obtained after calcination at temperatures between 450°C and 550°C in Ar and between 500°C and 550°C in O<sub>2</sub>. Pure-phase  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub>, however, is only obtained after

calcination at temperatures of 500°C and 550°C in Ar and at 550°C in  $O_2$ atmospheres (see Table 4.2). The observation of the  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub> phase after calcination at 500°C in the presence of  $O_2$  supports the results of the TGA/DSC measurements (see Figure 4.7.b), which show a generation of  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub> from Mn<sub>5</sub>O<sub>8</sub> in an O<sub>2</sub> atmosphere at a temperature of about 480°C. This is attributed to an additional time dependence of the phase transformation of Mn<sub>5</sub>O<sub>8</sub> to  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub>, which was also suggested by Dimesso *et al.*[116]. In their report,  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub> was proposed to be the minor phase next to that of Mn<sub>5</sub>O<sub>8</sub> after calcination at 400°C in air for 1 h but the major phase after a prolonged calcination time of 5 h.

The lattice constants of the pure-phase  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub> products obtained by calcination at 500°C and 550°C seem to be independent of the calcination temperature and the calcination atmosphere (see Table 4.2). Hence, the absence of O<sub>2</sub> in the calcination atmosphere does not lead to an amount of oxygen vacancies in the  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub> structure, which is large enough to significantly change the lattice constants. The Scherrer crystallite sizes, however, indicate a *temperature* dependence of the  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub> particle sizes in Ar atmospheres, which was not the case for Mn<sub>3</sub>O<sub>4</sub>. At 550°C the crystallite diameter of the pure-phase  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub> obtained in an oxidizing atmosphere is about 30% larger compared to that of the  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub> phase obtained in the absence of O<sub>2</sub> (see Table 4.2).

Hence, an oxidizing calcination atmosphere is suggested to result in larger  $Mn_3O_4$  as well as  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub> crystallites.

 $Mn_5O_8$ . No pure phase of monoclinic  $Mn_5O_8$  (ICDD 00-039-1218, C2/m) is obtained by calcination at temperatures between 320°C and 550°C in Ar and  $O_2$  atmospheres for 2 h. However, after calcination at temperatures between 400°C and 500°C in oxidizing atmospheres  $Mn_5O_8$  is detected as a fraction of the obtained product mixtures. The calcination process of the Mn(II) glycolate precursor yielding purephase  $Mn_5O_8$ , which has not yet been investigated as an ORR electrocatalyst in aprotic media, is presented in the next section.

#### 4.1.2.4. The formation of pure-phase $Mn_5O_8$ by calcination

The results discussed in the preceding sections show that the conditions to obtain pure-phase  $Mn_5O_8$  are (i) an O<sub>2</sub>-containing calcination atmosphere (see TGA measurements in Figure 4.7) and (ii) calcination temperatures between  $350^{\circ}C$  and  $530^{\circ}C$  (see *in situ*-XRD patterns in Figure 4.6). In order to investigate the time dependence of the  $Mn_5O_8$  formation from  $Mn_3O_4$ , which was already proposed in section 4.1.1.2, time profile *in situ*-XRD measurements were conducted at  $400^{\circ}C$  in an O<sub>2</sub> atmosphere.

The *in situ*-XRD patterns depicted in Figure 4.10 (see next page) show the time-dependent oxidation of  $Mn_3O_4$  (reflection at  $18.0^\circ$ , \*)[130] to  $Mn_5O_8$  (reflections at  $18.1^\circ$  and  $21.7^\circ$ , +)[119]. After an initial heating of Mn(II) glycolate to 400°C at 18 K/min in an  $O_2$  flow the temperature was kept constant for 350 min.

The reflection at  $18.0^{\circ}$  observed in Figure 4.10 and the absence of a reflection at  $21.5^{\circ}$  indicate an already complete oxidation of Mn(II) glycolate to Mn<sub>3</sub>O<sub>4</sub> at the start of the measurement. The Mn<sub>5</sub>O<sub>8</sub> reflection at  $21.7^{\circ}$  appears after about 40 min. The oxidation process of Mn<sub>3</sub>O<sub>4</sub> to Mn<sub>5</sub>O<sub>8</sub> is also observed by a steady shift of the reflection at  $18.0^{\circ}$  to  $18.1^{\circ}$ , which starts after 25 min and ends after about 150 min of the measurement (see the white arrow in the red square in Figure 4.10). This is due to decreasing Mn<sub>3</sub>O<sub>4</sub> (18.0°) and increasing Mn<sub>5</sub>O<sub>8</sub> reflection intensities (18.1°), until the reflection at  $18.0^{\circ}$  (Mn<sub>3</sub>O<sub>4</sub>) disappears completely after about 150 min of constant

heating at 400°C. This observation is in good agreement with the mixedphase  $Mn_3O_4/Mn_5O_8$  products obtained after calcination at 400°C for 2 h in  $O_2$  flows presented in sections 4.1.1.2 and 4.1.2.3 (see XRD patterns in Figure 4.2 and Figure 4.8).



Figure 4.10: In situ-XRD patterns during constant heating of the Mn(II) glycolate precursor at 400°C for 350 min in an  $O_2$  flow. From the start until the end of the measurement the denoted reflections indicate the presence of  $Mn_3O_4$  (\*) and  $Mn_5O_8$  (+); the white arrow indicates the shift of the reflection at 18.0° to 18.1°; for further information, see text.

Starting at 150 min until the end of the measurement the reflection is constantly detected at  $18.1^{\circ}$  indicating the end of the oxidation process. The presence of  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub> was observed by the intense reflection at 23.2° in the *temperature*-dependent *in situ*-XRD measurements (see Figure 4.6) despite increasing background reflection intensities with increasing  $2\theta$  (see the background color shift from blue to green in Figure 4.6 and Figure 4.10). In the *time*-dependent *in situ*-XRD patterns recorded at 400°C, however, a reflection of  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub> at 23.2° is not detected (see Figure 4.10). The results presented here show that calcination at 400°C in an oxidizing atmosphere for 150 min to 350 min yields pure Mn<sub>5</sub>O<sub>8</sub> phase without any  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub> formation.

Based on these observations the Mn(II) glycolate precursor was calcined at  $400^{\circ}$ C for 300 min in O<sub>2</sub> atmosphere to yield pure-phase Mn<sub>5</sub>O<sub>8</sub>. The XRD pattern of the obtained product is depicted in Figure 4.11.



Figure 4.11: XRD pattern of  $Mn_5O_8$  obtained by calcination at 400°C for 5 h in an  $O_2$  atmosphere. Gas flow: 50 NL/h; the reference pattern of  $Mn_5O_8$  is depicted at the top.

From the XRD pattern mean Scherrer crystallite sizes of 22 nm  $\pm$  5 nm were calculated for Mn<sub>5</sub>O<sub>8</sub> with eq. (3.1). The lattice parameters obtained for the monoclinic product (ICDD 00-039-1218) are a = 10.40 Å, b = 5.73 Å and c = 4.87 Å with  $\beta = 109.6^{\circ}$  (eq. (3.7)), which is in good agreement with data
from the literature  $(a = 10.34 \text{ Å}, b = 5.72 \text{ Å} \text{ and } c = 4.85 \text{ Å} \text{ with} \beta = 109.25^{\circ})[119].$ 

# 4.1.2.5. Morphological and structural characterization of the $Mn_3O_4$ , $Mn_5O_8$ and $\alpha$ - $Mn_2O_3$ compounds

This section investigates the morphological properties of the pure  $Mn_5O_8$ compound presented in the previous section as well as those of  $Mn_3O_4$  and  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub> obtained by calcination in Ar atmospheres at 350°C and at 550°C (see section 4.1.2.3), respectively. These  $Mn_3O_4$  and  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub> compounds are of interest for catalytic investigations because of their small crystallite sizes calculated from the XRD reflections (see Table 4.2). The presence of structural oxygen vacancies deduced from the comparably small lattice constants (see section 2.4.3) might further increase the catalytic activity of  $Mn_3O_4$ . The unit cells of the above-mentioned  $Mn_xO_y$  species constructed by using the respective lattice constants are depicted in Figure 4.12 (see next page).

For the analysis of the morphologies, particle sizes and active surface areas of the  $Mn_xO_y$  compounds TEM and  $N_2$  adsorption-desorption measurements were carried out, which are discussed in the following.



Figure 4.12: Schemes of the unit cells of the  $Mn_xO_y$  species of interest for the ORR investigations. The unit cells represent (a)  $Mn_3O_4$  (Ar, 350°C, 2 h), (b)  $Mn_5O_8$  (O<sub>2</sub>, 400°C, 5 h) and (c)  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub> (Ar, 550°C, 2 h) and were constructed from the lattice parameters obtained from XRD measurements (given in Table 4.2).

The particle sizes of the  $Mn_3O_4$  and  $Mn_5O_8$  compounds observed in the TEM images (see Figure 4.13.a and b on the next page, respectively) are in

good agreement with the Scherrer crystallite sizes calculated from the XRD patterns (see Table 4.2 for comparison).



Figure 4.13: TEM images of the (a)  $Mn_3O_4$ , (b)  $Mn_5O_8$  and (c), (d)  $\alpha$ - $Mn_2O_3$  particles. The different types of pores detected in  $N_2$  adsorption-desorption measurements (see Figure 4.14) are highlighted by red circles, nanoparticles of the  $Mn_3O_4$  sample in (a) are highlighted by orange circles.

 $Mn_3O_4$  consists of a network of nanoparticles with diameters below 10 nm (see orange circles in Figure 4.13.a) and voids between the particles of about the same size (see red circles in Figure 4.13.a).

A comparable observation is made for  $Mn_5O_8$ . Here, however, the nanoparticles with diameters of up to 40 nm are stacked in layers, which results in a densely packed agglomerate with small voids (< 10 nm) between the particles (see red arrows in Figure 4.13.b). This is attributed to the increased temperature and duration of the calcination process. Here, the particle growth resulting from oxidizing calcination atmospheres, which was discussed for the  $Mn_3O_4$  and  $\alpha$ - $Mn_2O_3$  species in section 4.1.2.3, might be another reason for the formation of  $Mn_5O_8$  particle agglomerates.

 $\alpha$ -Mn<sub>2</sub>O<sub>3</sub>, on the other hand, consists of splinter-like particles in the µm range (ca. 0.5 – 2 µm in Figure 4.13.c and d) with a large amount of pores. These pores show (at least) two size distributions with diameters (i) in the range of 2 nm to 8 nm and (ii) larger than 15 nm (see red circles in Figure 4.13.d). The Scherrer crystallite sizes of 34 nm ± 5 nm calculated from the XRD patterns of  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub> (see Table 4.2 and Figure 4.8) are obviously not in good agreement with the large particle diameters. Considering the large amount of pores observed in the particles, the calculated Scherrer crystallite sizes are suggested to result from the crystallite domains between the pore walls, i.e., are attributed to the *mean distances* between the pores. This assumption is in good agreement to the pore distances observed in the TEM images (see Figure 4.13.d).

From the isotherms obtained by  $N_2$  adsorption-desorption measurements specific BET surface areas of 302 m<sup>2</sup>/g, 30 m<sup>2</sup>/g and 20 m<sup>2</sup>/g were calculated for Mn<sub>3</sub>O<sub>4</sub>, Mn<sub>5</sub>O<sub>8</sub> and  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub>, respectively (see Figure 4.14.a on the next page). The porosity of the  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub> particles observed in the TEM images (see Figure 4.13.c and d) is confirmed by the adsorption-desorption hysteresis behavior of the corresponding N<sub>2</sub> adsorption-desorption isotherms (blue in Figure 4.14.a). Hysteresis behavior is also detected for the Mn<sub>3</sub>O<sub>4</sub> and Mn<sub>5</sub>O<sub>8</sub> nanoparticles, although no pores are observed in the TEM images of these compounds (see Figure 4.13.a and b). Therefore, two different definitions of porosity have to be applied for the different  $Mn_xO_y$  species, which will be discussed in the following.



Figure 4.14: (a)  $N_2$  adsorption-desorption isotherms and (b) the corresponding pore size distributions of  $Mn_3O_4$ ,  $Mn_5O_8$  and  $\alpha$ - $Mn_2O_3$ .  $Mn_3O_4$  (black),  $Mn_5O_8$  (red) and  $\alpha$ - $Mn_2O_3$  (blue); in (a) the black arrow denotes adsorption-desorption hysteresis behavior for the example of the  $Mn_3O_4$  sample, which indicates porosity; in (b) the ranges of the most prominent pore size distributions are denoted by dotted lines.

 $Mn_3O_4$  and  $Mn_5O_8$ . For the  $Mn_3O_4$  compound the pore size distribution is in the range of 3 nm to 20 nm with a mean pore diameter of 8.2 nm (see dotted black line in Figure 4.14.b). The porosity of the  $Mn_3O_4$  nanoparticles can be explained by considering the voids observed in the particle network (see red circles in the TEM image given in Figure 4.13.a) as the "pores" detected in the  $N_2$  adsorption-desorption measurements. The same "void pore" definition can be applied to the  $Mn_5O_8$  sample exhibiting pore sizes between 3 nm and 5 nm with a comparably small mean pore size of 4.2 nm (see dotted red line in Figure 4.14.b). For this compound, void diameters < 10 nm between the particles are observed in the particle agglomerate (see red arrows in the TEM image in Figure 4.13.b).

 $\alpha$ -Mn<sub>2</sub>O<sub>3</sub>. Pore size distributions between 3 nm and 5 nm as well as 10 nm and 80 nm with a mean diameter of 32.6 nm are obtained for the  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub> compound (see dotted blue lines in Figure 4.14.b), which is in good agreement with observation two pore size distributions in the TEM images (see Figure 4.13.d). Because  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub> does not consist of nanoparticles, these pores are attributed to a mesoporosity of the splinter-like particles rather than to voids in a nanoparticle network.

Mesoporosity has previously been reported for circular and hexagonally shaped  $Mn_2O_3$  particles obtained by calcination at temperatures above  $600^{\circ}C[131,133]$ . Ren *et al.* suggested the mesopores to derive from a sequence of processes, which include  $Mn_5O_8$  nanoparticle growth, re-arrangement and merging during the transformation to  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub>[131]. As the Mn<sub>5</sub>O<sub>8</sub> phase was not observed after calcination in a Ar atmosphere used for the synthesis of the  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub> particles, the pores in the  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub> compound presented here are attributed to derive from comparable growth, re-arrangement and merging processes of  $Mn_3O_4$  nanoparticles.

# 4.1.3. <u>Conclusion</u>

In summary, a polyol synthesis yielded rectangular Mn(II) glycolate nanoparticles with diameters of 17 nm  $\pm$  8 nm, which is the first time that particles of this compound with sizes below 100 nm have been reported. This is attributed to the use of the stabilizing TEG ligands in combination with modified reaction conditions, i.e., a larger heating rate and a decreased temperature compared to those used in other reports.

In situ-XRD measurements showed a sequence of time- and temperaturedependent phase transformations during the heating of the Mn(II) glycolate particles in  $O_2$  atmosphere, which results in the formation of  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub> by oxidation of Mn<sub>3</sub>O<sub>4</sub> via Mn<sub>5</sub>O<sub>8</sub>. Structural and morphological investigations revealed the dependence of the lattice constants and particle sizes of the Mn<sub>x</sub>O<sub>y</sub> species on the presence of O<sub>2</sub> in the calcination atmosphere as well as on the calcination temperatures. Based on the insights obtained from these measurements several manganese oxides could be synthesized by the calcination of Mn(II) glycolate particles in neutral and oxidizing atmospheres at different temperatures. The calcination process yielded Mn<sub>3</sub>O<sub>4</sub> and Mn<sub>5</sub>O<sub>8</sub> nanoparticles as well as mesoporous  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub> particles with large surface areas as a result of the small precursor particle diameters.

The most promising species for an electrocatalytic investigation are the  $Mn_3O_4$  and  $\alpha$ - $Mn_2O_3$  particles due to the presence of oxygen vacancies in the former compound and the mesoporous character of the latter.

A summary of the structural and morphological properties of the different  $Mn_xO_y$  species is given in Table 4.3.

	) 8		
	$Mn_{3}O_{4}$	$Mn_5O_8$	$\alpha$ -Mn <sub>2</sub> O <sub>3</sub>
Treatment	Ar, 2 h, 350 °C	$\mathrm{O_2},5$ h, 400 $^{\circ}\mathrm{C}$	Ar, 2 h, 550 °C
$d_{ m crystallite}$ / nm	$10 \pm 3$	$22 \pm 5$	500 - 2000
$d_{ m pore} \ / \ { m nm}$	$3-20~({ m voids})$	$3-5~({ m voids})$	3-5;10-80
$A_{ m BET}~/~{ m m^2/g}$	302	30	20
Lattice const. / Å	a = 5.69;	a = 10.40;	a = 9.40
	c = 9.44	b = 5.73;	
		c = 4.87;	
		$\beta = 109.6^{\circ}$	
Space group	I4 <sub>1</sub> /amd	C2/m	Ia3
$\sigma_{\! m bulk} \ / \ (\Omega{\cdot} m cm)^{-1}$	$1.56 - 6.25 \cdot 10^{-9} [134]$	n.a.	$\sim 2.5 \cdot 10^{-6} [134]$

**Table 4.3:** Summary of the properties of the  $Mn_xO_y$  samples of interest for the ORR investigations in section 4.3; bulk material conductivities  $\sigma_{\text{bulk}}$  of the different species (taken from the literature) are given for use in section 4.3.

As catalytic activity can be enhanced by a larger active area resulting from small particle diameters and/or mesoporosity, the combination of polyol and calcination processes is proposed to be a suitable synthesis for active  $Mn_xO_y$ materials. Furthermore, the synthesis of different  $Mn_xO_y$  species *via* one route has the substantial advantage that any synthesis-caused impact on the catalytic activities can be excluded, so that the electrochemical investigation captures only the material properties of the different manganese oxides.

### 4.2. Characterization of the electrochemical system

Prior to the investigation of the catalytic ORR activities of the  $Mn_xO_y$  compounds presented in section 4.3, preliminary linear sweep voltammetry (LSV) and cyclic voltammetry (CV) measurements are presented in this section. These investigations concern (i) the suitability of various electrolytes for the electrochemical measurements based on their electrochemical stabilities and ORR/OER reversibilities, and the impact of (ii) the electrode preparation as well as (iii) the application of different experimental setup parameters.

### 4.2.1. <u>Electrolyte characterization</u>

This section presents the investigation various aprotic liquids being commonly used as solvents in aprotic electrolytes for the Li/air system. Furthermore, a solvent mixture of BMPTFSI/DMSO (1:100) is investigated with the intention of utilizing the ionic liquid's advantages by decreasing its high viscosity. The different solvents are tested with lithium bis(trifluoromethylsulfonyl)imide (LiTFSI) as conducting salt for their applicability in the experimental setup with regard to their electrochemical stabilities in the required potential window as well as their reversibility properties regarding the ORR/OER processes.

The LSV measurements depicted in Figure 4.15 (see next page) show the electrochemical stabilities of the different electrolytes in the potential window of  $2 \text{ V} \dots 4 \text{ V}$  vs. Li/Li<sup>+</sup> (dashed grey lines), which is required for the observation of ORR and OER processes. In this potential range similar stabilities are observed for all investigated electrolytes with only slightly





Figure 4.15: LSV measurements recorded in different Ar-saturated electrolytes. v = 100 mV/s;electrolytes (1 M LiTFSI in): WE: GC: MeCN (black line), **TEGDME** (red line), **BMPTFSI** (green line), DMSO (blue line) and BMPTFSI/DMSO (1:100) (dotted blue line); the potential window vs. Li/Li<sup>+</sup> required for the ORR/OER processes is marked by the dashed grey lines. (Note: the comparably high current densities in the potential range of 2 V ... 4 V vs. Li/Li<sup>+</sup> are most probably due to a slight contamination with oxygen.)

An exception to the observation of an only slight current density increase at E > 4 V vs. Li/Li<sup>+</sup> is the BMPTFSI/DMSO-based electrolyte, for which decomposition processes are observed by exponentially increasing positive currents at potentials  $\geq 4.2$  V vs. Li/Li<sup>+</sup> (anodic scan, marked by (1) in Figure 4.15). This might be due to a decomposition of DMSO in the solvent mixture, as it is in good agreement with a study reporting DMSO<sub>2</sub> formation during galvanostatic cycling at potentials  $\geq 4.2$  V [45]. For the pure DMSO-based electrolyte, however, such a strong current density increase is not

observed during anodic LSV. These observations indicate a negative influence of BMPTFSI on the stability of DMSO in the solvent mixture. During the cathodic LSV scans exponentially increasing *negative* currents at  $E \leq 1.3$  V vs. Li/Li<sup>+</sup> are observed for the BMPTFSI/DMSO-, pure DMSOand MeCN-based electrolytes (marked by (2) in Figure 4.15).

Hence, the potential window of  $1.3 \text{ V} \dots 4.2 \text{ V}$  vs. Li/Li<sup>+</sup> is the largest possible range for the electrochemical measurements with these electrolytes. The potentials were kept within a range of  $1.7 \text{ V} \dots 4 \text{ V}$  vs. Li/Li<sup>+</sup> for the investigation of the ORR processes in this work. Thus, any decomposition of the aforementioned electrolytes during the measurements can be excluded in the discussion of the following sections.

The CV measurements recorded with the same  $O_2$ -saturated electrolytes at different scan rates are depicted in Figure 4.16 (see next page). Examples of the observed onset and peak potentials,  $E_{onset}$  and  $E_{peak}$ , are given in Figure 4.16.a for the MeCN-based electrolyte. The potential of reversible  $Li_2O_2$  formation is indicated by the dashed grey line. An electrode rotational frequency of  $\omega = 1000$  rpm was applied to enhance the reactant transport to and from the electrode surface. Nevertheless, a limitation of the observed ORR/OER processes by mass transport is observed for all electrolytes by significant shifts of the peak potentials  $E_{peak}$  in the negative (ORR) and the positive directions (OER) with increasing scan rate (see dotted grey arrows in Figure 4.16). This effect is caused by fast potential sweeps in systems with insufficient reactant transport properties to the reactive site, e.g., low Li<sup>+</sup> ion mobility and/or  $O_2$  solubility.



Figure 4.16: CV measurements recorded in different  $O_2$ -saturated electrolytes. 1 M LiTFSI in: MeCN (black line), TEGDME (red line), BMPTFSI (green line), DMSO (blue line) and BMPTFSI/DMSO (1:100) (dotted blue line); scan rates  $\nu$ : (a) 10 mV/s, (b) 100 mV/s and (c) 1000 mV/s;  $\omega = 1000$  rpm; the dashed grey line denotes the reversible formation potential of Li<sub>2</sub>O<sub>2</sub>  $E^0[7]$ ; in (a) examples for onset and peak potentials  $E_{\text{onset}}$  and  $E_{\text{peak}}$  are given for MeCN by dotted black lines; the shift of  $E_{\text{peak}}$  with increasing scan rates is indicated by dotted grey arrows.

Another result of fast potential sweeps is the increase of the cathodic current densities with the scan rate (compare scales of the y axes in Figure 4.16), resulting from more reduction processes, which take place during the same period of measurement time. Furthermore, owing to the short scan time, the relatively slow *chemical* reactions of the ORR processes are suppressed in favor of the faster *reduction* processes, which leads to the detection of increasing current with increasing scan rates.

Table 4.4 (see next page) gives a summary of the ORR potentials and ORR/OER potential gaps observed for the various electrolytes at different scan rates. These potentials indicate the start of kinetically controlled reactions ( $E_{\text{onset}}$ ) and the start of diffusion-controlled, i.e., mass transport-limited, potentials ( $E_{\text{peak}}$ ). From these values a general trend of decreasing ORR potentials with increasing scan rate is observed for all electrolytes (see also dotted grey arrows in Figure 4.16). This is due to an insufficient reactant transport to the active electrode surface at high scan rates.

Regarding the different solvents, the DMSO-based electrolyte exhibits the highest ORR potentials, i.e., lowest overpotentials  $\eta$ , at every scan rate (highlighted yellow in Table 4.4). Furthermore, the smallest scan rate dependence of the ORR processes is observed for this solvent with a shift of  $E_{\text{onset}}$  and  $E_{\text{peak}}$  of about -0.06 V and -0.10 V, respectively, from 10 mV/s to 1000 mV/s. This indicates the best reactant transport properties of DMSO in comparison to all other solvents. The lowest ORR onset potentials are observed for the BMPTFSI-based electrolyte at every scan rate (highlighted grey in Table 4.4). For this solvent  $E_{\text{peak}}$  was not obtained at E > 1.9 V vs Li/Li<sup>+</sup> during the CV measurements at any scan rate. This is proposed to be due to the high viscosity in combination with a too strong Li<sup>+</sup> ion mobility and leads to a limitation of the reactant transport to the reaction site.

**Table 4.4:** Comparison of the ORR potentials and ORR/OER potential gaps for the different electrolytes obtained from the CV measurements depicted in Figure 4.16. Important values concerning the discussion of the ORR processes are highlighted yellow (favorable) and grey (unfavorable).

v /		$E_{ m onset}$	$E_{ m peak}$	$\Delta E_{ m onset}$ / V	$\Delta E_{ m peak}$ / V
mV/s	$1~{\rm M}$ LiTFSI in	$E{ m vs.}{ m Li}/{ m Li^+}$ / V			
	${ m MeCN}$	$2.52\pm0.01$	$2.22\pm0.01$	$0.62\pm0.03$	$1.13\pm0.03$
	TEGDME	$2.44\pm0.02$	$2.02\pm0.01$	$0.59\pm0.03$	$1.16\pm0.01$
10	BMPTFSI	$2.42 \pm 0.04$	< 1.90	$0.64\pm0.08$	-
10	DMSO	$2.69 \pm 0.01$	$2.53 \pm 0.01$	$0.36 \pm 0.01$	$0.69 \pm 0.01$
	BMPTFSI/	$2.62 \pm 0.01$	$9.42 \pm 0.01$	$0.40 \pm 0.01$	$0.75 \pm 0.01$
	DMSO (1:100)	$2.02 \pm 0.01$	$2.43 \pm 0.01$	$0.40 \pm 0.01$	$0.75 \pm 0.01$
100	${ m MeCN}$	$2.44\pm0.01$	$1.98\pm0.04$	$0.73\pm0.03$	$1.48\pm0.14$
	TEGDME	$2.37 \pm 0.03$	$1.93\pm0.01$	$0.75 \pm 0.07$	$1.45\pm0.05$
	BMPTFSI	$2.35\pm0.03$	< 1.90	$0.75 \pm 0.07$	-
	DMSO	$2.69 \pm 0.02$	$2.43 \pm 0.05$	$0.42\pm0.02$	$0.95 \pm 0.07$
	BMPTFSI/	$9.56 \pm 0.01$	$9.26 \pm 0.01$	$0.51 \pm 0.01$	$0.02 \pm 0.04$
	DMSO (1:100)	$2.30 \pm 0.01$	$2.30 \pm 0.01$	$0.51 \pm 0.01$	0.93 ± 0.04
	MeCN	$2.36\pm0.05$	$1.94\pm0.01$	$0.81\pm0.14$	$1.58\pm0.10$
1000	TEGDME	$2.27\pm0.08$	< 1.90	$0.87\pm0.11$	-
	BMPTFSI	$2.27 \pm 0.05$	< 1.90	$1.01\pm0.26$	-
	DMSO	$2.63 \pm 0.01$	$2.43 \pm 0.01$	<mark>0.52 ± 0.03</mark>	$1.00 \pm 0.05$
	BMPTFSI/	$951 \pm 0.01$	$2.90 \pm 0.01$	0 56 1 0 00	$1.19 \pm 0.19$
	DMSO (1:100)	$2.01 \pm 0.01$	$2.29 \pm 0.01$	0.30 ± 0.08	$1.12 \pm 0.12$

As a result of the highest scan rate dependence of  $E_{\text{peak}}$  (with a shift of more than -0.2 V from  $\nu = 10 \text{ mV/s}$  to  $\nu = 1000 \text{ mV/s}$ ), which was obtained for TEGDME and MeCN (see dotted grey arrows in Figure 4.16), the ORR peak for the TEGDME-based electrolyte was not detected at E > 1.9 V during the CV measurement at  $\nu = 1000 \text{ mV/s}$ . The ORR potentials obtained for the electrolyte based on BMPTFSI/DMSO are larger than those observed for the MeCN-, TEGDME- and BMPTFSI-based electrolytes, but lower than those obtained for pure LiTFSI/DMSO. Hence, the addition of BMPTFSI to DMSO seems to have a negative impact on the ORR kinetics.

The ORR/OER peak and onset potential gaps  $\Delta E_{\text{peak}}$  and  $\Delta E_{\text{onset}}$  show a similar trend regarding the above discussion of the different electrolytes. Here, the DMSO-based electrolyte shows the best ORR/OER reversibility (highlighted yellow in Table 4.4), with  $\Delta E$  being smaller by  $\geq 0.28$  V compared to those obtained for the MeCN-, TEGDME- and BMPTFSI-based electrolytes at all scan rates. The BMPTFSI/DMSO mixture exhibits ORR/OER reversibilities superior to those obtained for MeCN, TEGDME and pure BMPTFSI and comparable to those observed in DMSO-based electrolyte at high scan rates (highlighted yellow in Table 4.4). This result is surprising, as the largest scan rate dependence of the potential gaps, i.e., the least ORR/OER reversibility with increasing scan rates, is observed for the pure BMPTFSI-based electrolyte. As discussed before, this is most probably also due to the coordination of the Li<sup>+</sup> ions by the large TFSI<sup>-</sup> anions, which limits the Li<sup>+</sup> ion mobility in the BMPTFSI solvent.

In conclusion, the DMSO-based electrolyte exhibits (i) the most favorable ORR kinetics due to the best reactant transport properties (based on the scan rate dependence of  $E_{\text{onset}}$  and  $E_{\text{peak}}$ ) and (ii) the highest reversibility of the ORR/OER processes (based on the potential gaps  $\Delta E$ ). An addition of BMPTFSI to DMSO does not have the desired positive effect on the ORR kinetics and/or the ORR/OER reversibility, which is most probably due to a too low Li<sup>+</sup> ion mobility in the presence of BMPTFSI.

# 4.2.2. <u>The impact of model electrode properties on the ORR</u> processes

For a correct interpretation of the electrochemical measurements, the preparation of the electrodes is investigated in more detail. Therefore, the influence of Nafion<sup>®</sup>, which was used as binder material for the preparation of the powder electrodes is discussed in this section.

Figure 4.17 (see next page) shows the influence of Nafion<sup>®</sup> on the ORR and  $\mathrm{CV}$ measurements OER by recorded inO<sub>2</sub>-saturated processes LiTFSI/DMSO at different scan rates without electrode rotation. Here, the results obtained with a Nafion<sup>®</sup>-coated GC disc (GC-N) are compared to those observed with an untreated GC electrode. An obvious influence of Nafion<sup>®</sup> on the ORR processes is observed at scan rates of 10 mV/s and 100 mV/s, at which lower current densities are obtained with GC-N. This is attributed to the insulating layer of Nafion<sup>®</sup> on the surface of the GC-N electrode. At an increased scan rate of 1000 mV/s the current densities of the reduction and oxidation processes are similar for both electrodes. This observation indicates that the electrode surface does not influence the number of transferred electrons during the reaction  $(j_{\text{peak}})$ . Hence, CV measurements recorded at large scan rates without electrode rotation detect reactant transport-limited ORR processes.

A comparison of the peak potentials  $E_{\text{peak}}$  shows that, whereas the ORR peaks observed for GC-N are located at lower potentials than those for GC, the OER peak potentials are comparable for both electrodes. Hence, the Nafion<sup>®</sup> binder only influences the ORR processes. At  $\nu = 1000 \text{ mV/s}$  the difference between the ORR peak potentials for GC and GC-N is comparably small, which is another indication of a reaction limitation by a too slow mass transport to the electrode surface at large scan rates.



Figure 4.17: CV measurements recorded with GC and Nafion<sup>®</sup>-coated GC. GC (black) and Nafion<sup>®</sup>-coated GC (GC-N, grey); electrolyte: O<sub>2</sub>-saturated 1 M LiTFSI/DMSO;  $\omega = 0$  rpm; scan rates  $\nu$ : (a) 10 mV/s, (b) 100 mV/s and (c) 1000 mV/s; dotted black lines denote peak potentials, blue arrows show the differences between the peak potentials of GC and GC-N.

Further data obtained from the CV are given in Table 4.5 (see next page). The onset potentials  $(E_{\text{onset}})$  shown in Table 4.5 are relatively constant at all scan rates for both electrodes. However,  $E_{\text{onset}}$  for GC-N is significantly lower compared to those for GC at all scan rates. This is reflected in the apparent rate constants  $k_{\text{app}}^{0}$  obtained for GC (highlighted yellow in Table 4.5), which

are seven- and threefold larger than those for GC-N at  $\nu = 10 \text{ mV/s}$  and  $\nu = 1000 \text{ mV/s}$ , respectively. Only at  $\nu = 100 \text{ mV/s}$  the  $k_{\text{app}}^0$  is twofold larger for GC-N (highlighted yellow in Table 4.5), which can be explained by the detection of different initial electron transfers indicated by the the Tafel slopes at  $\nu = 100 \text{ mV/s}$ .

The Tafel slope of 115 mV/dec obtained for GC (highlighted yellow in Table 4.5) is close to the theoretical value of an ideal electrode surface with 118 mV/dec for a one-electron transfer. A comparable Tafel slope was also presented in a recent study on the ORR in aprotic media and proposed to indicate a one-electron reduction of  $O_2$  to  $\text{LiO}_2$ , which is subsequently solvated by DMSO molecules[10].

**Table 4.5:** Comparison of ORR potentials and kinetic parameters for GC and GC-N. The data were obtained from Tafel plots of the CV measurements shown in Figure 4.17; important values concerning the discussion of the ORR activity and mechanism are highlighted yellow (favorable) and grey (unfavorable).

		10  mV/s	$100 \mathrm{~mV/s}$	1000  mV/s
E = T; T; + V	$\mathbf{GC}$	$2.70\pm0.01$	$2.67\pm0.01$	$2.66\pm0.01$
$E_{\text{onset}}$ VS. LI/LI / V	GC-N	$2.64\pm0.03$	$2.62\pm0.01$	$2.63\pm0.01$
$E = \mathbf{U} \mathbf{I} \mathbf{I} \mathbf{I} \mathbf{I} \mathbf{I} \mathbf{I}$	GC	$2.56\pm0.01$	$2.53\pm0.01$	$2.46\pm0.01$
$E_{\rm peak}$ VS. L1/L1 / V	GC-N	$2.48\pm0.03$	$2.37\pm0.01$	$2.39\pm0.01$
Tafel slope / mV/dec	GC	$88 \pm 2$	<mark>115 ± 1</mark>	$164 \pm 4$
	GC-N	$76 \pm 1$	$171 \pm 2$	$166\pm2$
αn	$\mathbf{GC}$	$0.67\pm0.01$	$0.51\pm0.01$	$0.36\pm0.01$
	GC-N	$0.78\pm0.01$	$0.35 \pm 0.01$	$0.36\pm0.01$
$k^{0}_{ m app} \; / \; 10^{\text{-5}} \; { m cm/s}$	GC	$0.37\pm0.01$	$0.54\pm0.05$	$18.97 \pm 0.13$
	GC-N	$0.05\pm0.01$	$1.24 \pm 0.04$	$6.18 \pm 0.05$

The Tafel slope of 171 mV/dec for GC-N is significantly larger at  $\nu = 100 \text{ mV/s}$  (highlighted grey in Table 4.5). Possible explanations for this

observation are the detection of rate-determining electron transfers (i) through an oxide layer, (ii) preceding chemical steps or (iii) following chemical steps[49]. As this is only the case for GC-N and not for GC, the apparent rate constants  $k_{app}^{0}$  at  $\nu = 100$  mV/s describe activities for different ORR pathways and thus are hardly comparable.

The discussion of these results is continued below with the observations made in the Nicholson/Shain plots for GC and GC-N (see Figure 4.18).



Figure 4.18: Nicholson/Shain plots for GC and GC-N. GC-N (grey circles) and GC (black circles); the plots show the influence of Nafion<sup>®</sup> on the rate limitation of the ORR processes ( $n^*$ ) given in the respective diagrams; the different values of  $\alpha n$  obtained from CV measurements on GC and GC-N at different scan rates are taken from Table 4.5.

From the Nicholson/Shain plots depicted in Figure 4.18 the  $n^*$  values – indicating the rate limitation of the ORR process – can be determined.

 $\nu = 10 \text{ mV/s}$ . The very low Tafel slope of GC-N (76 mV/dec, highlighted grey in Table 4.5) points to the detection of consecutive two-electron transfers. The low current densities compared to those obtained for GC observed in the CV (see Figure 4.17.a) and the value of  $n^* \approx 1.2$  from the Nicholson/Shain plot (see Figure 4.18.a) indicate the detection of a minor contribution of electron transfers with preceding chemical steps. Hence, the major part of the ORR is proposed to generate Li<sub>2</sub>O<sub>2</sub> by reduction and chemical disproportionation of LiO<sub>2</sub> (eqs. (2.1)-(2.3)), whereas the dissociative mechanism yielding [LiO]<sub>n,ads</sub> species (eqs. (2.5)-(2.7)) only plays a minor role in the observed ORR processes.

For GC, the larger Tafel slope of 88 mV/dec compared to that obtained for GC-N (see Table 4.5) indicates less detection of two-electron transfers. The Nicholson/Shain plot gives  $n^* = 1$  (see Figure 4.18.b), i.e., an ORR process with a fast electron transfer, which is not preceded by any chemical reactions. Hence, a Li<sub>2</sub>O<sub>2</sub> formation from LiO<sub>2</sub> is also proposed to take place on GC at v = 10 mV/s, whereas the generation of [LiO]<sub>n,ads</sub> by the dissociative ORR mechanism observed for GC-N does not take place.

 $\nu = 100 \text{ mV/s}$ . The Tafel slope of GC-N (171 mV/dec, highlighted grey in Table 4.5) as well as the low cathodic current densities observed in the CV (see Figure 4.17.b) indicate initial electron transfers including chemical steps. The Nicholson/Shain plot depicted in Figure 4.18.d (grey circles) gives  $n^* \approx 1.4$ , i.e., mixed diffusion-controlled and diffusionless ORR processes. This leads to the conclusion, that beside the O<sub>2</sub> reduction to LiO<sub>2</sub> and its further reduction (and chemical disproportionation) to Li<sub>2</sub>O<sub>2</sub> (eqs. (2.1)-(2.3)), a dissociative adsorption of O<sub>2</sub> and the formation of [LiO]<sub>n,ads</sub> products (eqs. (2.5)-(2.7)) takes place on the surface of GC-N.

For GC the almost ideal Tafel slope of 115 mV/dec (highlighted yellow in Table 4.5) and the larger current densities in the CV (see Figure 4.17.b)

point to a formation of  $\text{LiO}_2$  and a further reduction to  $\text{Li}_2\text{O}_2$ (eqs. (2.1)-(2.2)). This assumption is confirmed by  $n^* = 1$  obtained from the Nicholson/Shain plot (see Figure 4.18.c) indicating fast electron transfers and a diffusion control of the ORR processes. Also at this scan rate no formation of  $[\text{LiO}]_{n.ads}$  species is detected.

 $\nu = 1000 \text{ mV/s}$ . For both electrodes – GC and GC-N – (i) comparable Tafel slopes of ~ 165 mV/dec indicate similar initial electron transfers (highlighted grey in Table 4.5), (ii) comparable current densities point to similar reactant transport limitations (see Figure 4.17.c) and (iii) similar  $n^*$  values of ~ 1.3 (GC) and ~ 1.4 (GC-N) show mixed diffusion-controlled and diffusionless ORR processes (see Nicholson/Shain plots in Figure 4.18.d). Hence, it is suggested that LiO<sub>2</sub> and Li<sub>2</sub>O<sub>2</sub> (eqs. (2.1)-(2.3)) are generated next to [LiO]<sub>n,ads</sub> (eqs. (2.5)-(2.7)) on both electrodes. As this observation was already made for GC-N at  $\nu = 100 \text{ mV/s}$ , the Nafion<sup>®</sup> coating is proposed to increase the probability of the undesired [LiO]<sub>n,ads</sub> formation at low scan rates.

The results discussed in the above section show the formation of  $\text{LiO}_2$  by an initial one-electron transfer on GC at  $\nu = 100 \text{ mV/s}$ . Therefore, further measurements were conducted at this scan rate, which is commonly used for CV measurements and thus allows comparison with data from the literature[10,52,55].

However, as the Nafion<sup>®</sup> binder was found to have a significant impact on the ORR kinetics and the ORR mechanism, the kinetic activities of the different  $Mn_xO_y/C$  electrodes are hardly comparable to that of GC. Because commercially available Vulcan<sup>®</sup> carbon powder (VC) is used as substrate material for the catalytic investigations, pure VC/Nafion<sup>®</sup> electrodes are used as reference material in addition to GC for a better comparison of the electrocatalytic ORR activities investigated in sections 4.3 and 4.4.

### 4.2.3. The impact of electrode rotation on the ORR processes

The results discussed in the previous section showed that without electrode rotation at large scan rates the influence of the electrode surface on the ORR processes becomes negligible due to a mass transport limitation of the reactions. Therefore, the influence of the rotational frequency  $\omega$  on the ORR processes observed during the CV measurements is investigated in this section. The rotational frequencies commonly used in the literature are around  $\omega = 1000$  rpm. However, the powder electrodes used for the investigation of the catalytic activities of the manganese oxides in this work only allow the use of  $\omega = 200$  rpm without any material leaching upon rotation. Therefore, CV measurements were conducted with GC electrodes at  $\nu = 100$  mV/s with rotational frequencies of 0 rpm, 200 rpm and 1000 rpm. The potentials and parameters obtained from the Tafel plots of the CV measurements are summarized in Table 4.6.

**Table 4.6:** Potentials and kinetic parameters obtained from CV measurements recorded with a GC at  $\nu = 100 \text{ mV/s}$  with different rotational frequencies. Important values concerning the discussion of the ORR activity and mechanism are highlighted yellow (favorable) and grey (unfavorable).

ω /	$E_{ m onset}$ / V	<i>E</i> <sub>neak</sub> / V	Tafel slope /	αn	$k^0_{\rm app}$ /
$\mathbf{rpm}$	-Onset 7	peak /	$\mathrm{mV/dec}$		$10^{-5} \mathrm{~cm/s}$
0	$2.67\pm0.01$	$2.53\pm0.01$	$115 \pm 1$	$0.51\pm0.01$	$0.54\pm0.01$
200	$2.77\pm0.03$	$2.56\pm0.03$	$104 \pm 2$	$0.57 \pm 0.02$	$3.30\pm2.14$
1000	$2.79\pm0.02$	$2.43 \pm 0.05$	$109 \pm 3$	$0.54 \pm 0.02$	$\frac{6.40 \pm 1.62}{2}$

The data given in Table 4.6 show the influence of the rotational frequency on the parameters of the ORR processes observed during CV measurements. Here, a by ca. 0.12 V lower onset potential  $E_{\text{onset}}$  is observed with  $\omega = 0$  rpm (highlighted grey in Table 4.6) in comparison to that obtained with  $\omega = 1000$  rpm (highlighted yellow in Table 4.6), which is the rotational frequency commonly used for CV studies. Hence, the activity of comparable electrode surfaces (i.e., comparably active electrodes) seems lower, when the electrode is not rotated, i.e., the reactant transport is not enhanced. This is reflected in the by one order of magnitude smaller apparent reaction rate constant  $k_{app}^{0}$  obtained during the measurement with  $\omega = 0$  rpm (highlighted grey in Table 4.6) compared to that calculated for  $\omega = 1000$  rpm (highlighted yellow in Table 4.6).

The CV recorded with  $\omega = 200$  rpm, on the other hand, results in  $E_{\text{onset}}$  and  $k_{\text{app}}^0$  values, which are comparable to those obtained with  $\omega = 1000$  rpm. As the results of the CV measurements without electrode rotation (highlighted grey in Table 4.6) are considerably influenced by the mass transport limitation, the discussion of the impact of the rotational frequency will be continued only for the measurements conducted with electrode rotation.

The  $\alpha n$  values given in Table 4.6 were used for Nicholson/Shain plots to determine the rate limitation of the ORR processes observed with rotational frequencies of  $\omega = 200$  rpm and  $\omega = 1000$  rpm (see Figure 4.19 on the next page).

The Nicholson/Shain plot for GC with  $\omega = 1000$  rpm is depicted in Figure 4.19.a. Here, a value of  $n^* = 1$  for a fast electron transfer is observed indicating a diffusion-controlled formation of LiO<sub>2</sub> and Li<sub>2</sub>O<sub>2</sub> compounds (eqs. (2.1)-(2.3)). This was also reported by Laoire *et al.* with  $\alpha = 0.5$  for a one-electron transfer (n = 1), during CV measurements with GC under the same reaction conditions[10].

Figure 4.19.b depicts the Nicholson/Shain plot for GC with  $\omega = 200$  rpm, from which also a diffusion control of the ORR processes is observed with  $\alpha = 0.57$ , n = 1 and  $n^* = 1$ .



Figure 4.19: Nicholson/Shain plots for GC with (a)  $\omega = 1000$  rpm and (b)  $\omega = 200$  rpm. The plots show the influence of the rotational frequency on the rate-determining factor of the ORR (given by  $n^*$ ); the different values of  $\alpha n$  obtained from CV measurements at  $\nu = 100$  mV/s are taken from Table 4.6.

In summary, the electrochemical results obtained with a rotational frequency of 200 rpm used for the investigations of the electrocatalytic ORR activities of the  $Mn_xO_y$  compounds are proposed to be comparable to those reported in literature CV studies using  $\omega = 1000$  rpm.

# 4.2.4. <u>Conclusion</u>

LiTFSI/DMSO is the electrolyte of choice for the CV investigations of the electrocatalytic ORR activities presented in the next section. Compared to other electrolyte solvents, DMSO shows (i) good electrochemical stability in the required potential window, (ii) the most favorable ORR kinetics and (iii) the best efficiency regarding the ORR/OER overpotentials.

Different reaction mechanisms were deduced from CV measurements for GC and Nafion<sup>®</sup>-coated GC (GC-N), which showed a considerable influence of the Nafion<sup>®</sup> binder used for powder electrode preparation on the observed ORR processes. Therefore, GC and additionally Vulcan<sup>®</sup> carbon powder (VC, which also serves as substrate material for the  $Mn_xO_y$  catalysts) are used as reference materials for the discussion of the ORR processes observed for the  $Mn_xO_y/C$  electrodes.

Further CV measurements showed that the application of the maximum rotational frequency for the use of  $Mn_xO_y/C$  and VC powder electrodes ( $\omega = 200$  rpm) and the rotational frequency commonly used in the literature ( $\omega = 1000$  rpm) result in comparable ORR activities and mechanisms. This allows a relation of the measurements presented in the next sections to data from the literature.

# 4. Results & Discussion

# 4.3. The effect of the $Mn_xO_v$ catalysts on the ORR processes

This section presents the investigation of the electrocatalytic ORR activities of the  $Mn_{v}O_{v}$  compounds by CV measurements. These were conducted with 10wt% Mn<sub>x</sub>O<sub>y</sub>/carbon powder electrodes in 1 M LiTFSI/DMSO with a of  $\omega = 200$  rpm in frequency  $\mathbf{a}$ potential rotational range of 2.2 V ... 3.9 V vs. Li/Li<sup>+</sup>. The ORR activities (obtained from the onset and peak potentials observed in the CV as well as from the kinetic evaluation of the Tafel plots) are compared to those of the carbon reference materials GC and Vulcan<sup>®</sup> carbon powder (VC). The CV measurements were recorded with a scan rate of  $\nu = 100 \text{ mV/s}$ , which is fast enough to detect both, the (potential-dependent) electrochemical and (time-dependent) chemical formation of the desired  $\text{Li}_2\text{O}_2$  product from  $\text{LiO}_2$ .

As most of the research on catalysts for the Li/air system is done by galvanostatic cycling, from which mechanistic insight can only be obtained by simultaneous *in situ* detection of the products, e.g., *in situ*-DEMS or -IR spectroscopy, information about the ORR processes generating these products is rare. Here, a discussion of the ORR mechanisms concluded from the CV measurements gives further insight into the origins of the kinetic activities of the different electrode materials. This chapter contains results already published elsewhere[135].

### 4.3.1. The ORR activities at a scan rate of 100 mV/s

The potential scan rate of 100 mV/s is the scan rate commonly used for CV measurements in aqueous systems and was most recently used in a study on noble metal catalysts in Li<sup>+</sup>/DMSO[52,55]. This section investigates the ORR activities of the  $Mn_xO_y$  catalysts by CV measurements recorded at

 $\nu = 100 \text{ mV/s}$ . Examples of cyclic voltammograms are shown in Figure 4.20 comparing the  $10 \text{wt}\% \text{ Mn}_{x}\text{O}_{y}/\text{C}$  electrodes to the GC and VC reference materials.



Figure 4.20: CV measurements recorded with different working electrodes. GC (dotted grey line), VC (solid grey line) as well as 10 wt% catalyst/C powder mixture electrodes of  $\text{Mn}_3\text{O}_4$  (black line),  $\text{Mn}_5\text{O}_8$  (red line) and  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub> (blue line);  $\nu = 100 \text{ mV/s}$ ;  $\omega = 200 \text{ rpm}$ .

It has to be mentioned here, that the discussion of the catalytic activities will be done on the basis of the peak and onset potentials. The obtained current densities strongly depend on the composition of the electrode surface. In general, it is likely that the different particle diameters of the  $Mn_xO_y$ catalysts result in different electrode compositions. This, however, has no influence on the potentials, as the presented CV curves exhibit similar behavior regarding the ORR as well as the OER processes (see Figure 4.20). The ORR peak potentials for all electrodes are observed in a potential range from 2.5 V to 2.8 V vs. Li/Li<sup>+</sup>. The OER peaks for all electrodes are observed at potentials ranging from 3.3 V to 3.5 V vs. Li/Li<sup>+</sup> (see Figure 4.20), which is within the potential range for  $\text{Li}_2\text{O}_2$  oxidation in aprotic media[10,43,136]. Considering the used electrolyte (LiTFSI/DMSO), a decomposition of DMSO can be excluded, as DMSO<sub>2</sub> formation is proposed to take place at potentials > 4 V vs. Li/Li<sup>+</sup>[45]. A summary of the ORR onset and peak potentials for the different electrode materials is given in Table 4.7.

**Table 4.7:** ORR peak and onset potentials for the different electrode materials obtained from the CV measurements recorded at  $\nu = 100 \text{ mV/s}$ . The increased ORR potentials for  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub>/C are highlighted yellow.

	$E_{ m peak}$	$E_{ m onset}$	
	$E{\rm vs.}~{\rm Li}/{\rm Li^+}$ / V		
$\mathbf{GC}$	$2.56\pm0.03$	$2.76\pm0.03$	
VC	$2.65\pm0.04$	$2.78\pm0.04$	
$Mn_{3}O_{4}/C$	$2.57\pm0.10$	$2.71\pm0.10$	
$Mn_5O_8/C$	$2.65\pm0.04$	$2.78\pm0.04$	
$\alpha\text{-}\mathrm{Mn_2O_3/C}$	$2.74 \pm 0.05$	$\frac{2.87 \pm 0.06}{2.00}$	

Table 4.7 shows the onset and peak potentials  $E_{\text{onset}}$  and  $E_{\text{peak}}$  for  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub>/C, which are significantly larger by  $\geq 0.09$  V compared to those obtained for the other electrode materials (highlighted yellow in Table 4.7). This indicates a considerably increased ORR activity. Whereas Mn<sub>5</sub>O<sub>8</sub>/C shows no change of the potentials with respect to pure VC, the presence of the Mn<sub>3</sub>O<sub>4</sub> catalyst seems to be counterproductive regarding the ORR activity, as the obtained potentials are lower by  $\geq 0.07$  V compared to those for VC.

The reversibility of the ORR process is discussed for the different electrode materials based on the values given in Table 4.8 (see next page).

The impact of carbon powder on the ORR/OER reversibility is observed from the decreased ORR/OER onset and peak potential gaps  $\Delta E_{\text{onset}}$  and  $\Delta E_{\text{peak}}$  in the presence of VC substrate (see Table 4.8). The potential gaps are smaller by  $\geq 100 \text{ mV}$  for the VC-based electrodes compared to those for GC (highlighted grey in Table 4.8). In addition, the re-oxidation efficiencies  $Q_{\text{OER}}/Q_{\text{ORR}}$  obtained from the electric charges of the ORR and OER peaks are increased from < 50% for GC (highlighted grey in Table 4.8) to significantly higher values of > 70% for all VC-containing electrodes. These results demonstrate the increased ORR/OER efficiency of carbon powder compared to the smooth GC surface, which is attributed to the larger active area of the VC substrate, whose porous character results in more active sites.

**Table 4.8:** ORR/OER potential differences and electric charge ratios for the different electrode materials obtained from the CV measurements recorded at  $\nu = 100 \text{ mV/s}$ . The values indicating a low ORR/OER reversibility for GC are highlighted grey.

	$\Delta E_{ m peak}$ / V	$\Delta E_{ m onset}$ / V	$Q_{ m OER}/Q_{ m ORR}$ / $\%$
GC	$0.88\pm0.04$	$0.43\pm0.02$	$47 \pm 24$
VC	$0.73\pm0.03$	$0.30\pm0.01$	$77 \pm 21$
${\rm Mn_{3}O_{4}/C}$	$0.78\pm0.04$	$0.31\pm0.02$	$74 \pm 13$
${\rm Mn}_{\rm 5}{\rm O}_{\rm 8}/{\rm C}$	$0.74 \pm 0.03$	$0.30\pm0.02$	$73 \pm 17$
$\alpha$ -Mn <sub>2</sub> O <sub>3</sub> /C	$0.73\pm0.04$	$0.30\pm0.01$	$79 \pm 11$

Compared to data from the literature, the charge efficiencies  $Q_{\text{ORR}}/Q_{\text{ORR}}$  for the GC (~ 47%) and VC electrodes (~ 77%) are very close to those obtained of a carbon substrate during galvanostatic recharges electrode in LiTFSI/DMSO (51%) VC and  $\mathbf{a}$ electrode in $\mathbf{a}$ DME-based electrolyte (78%)[12,20]. Furthermore, the charge efficiency of 69% for a VCsupported Au catalyst obtained during galvanostatic cycling in a DME-based electrolyte<sup>[20]</sup> is comparable to those for all VC-based electrodes investigated in this section (see Table 4.8).

For the investigation of the kinetic ORR activities the Tafel plots of the CV measurements were evaluated for the different electrode materials. Examples of the linear Tafel regions at overpotentials  $\eta = -0.10$  V ... -0.30 V are depicted in Figure 4.21.



Figure 4.21: Representative Tafel plots for different working electrodes. The diagram shows the overpotential range from -0.10 V to -0.30 V, to which the respective linear Tafel slopes were fitted (indicated by dashed lines); GC (light grey), VC (dark grey),  $Mn_3O_4/C$  (black),  $Mn_5O_8/C$  (red) and  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub>/C (blue).

The partial current densities  $j_0$  obtained from the intercept of the linear Tafel fit with  $\eta = 0$  V are listed in Table 4.9 for the different electrode materials (see next page). From  $j_0$  the apparent reaction rate constants  $k_{app}^0$ for the initial electron transfers of the ORR were determined by eq. (2.11); a value of  $C_{02} = 2.1 \cdot 10^{-6} \text{ mol/cm}^3$  (the solubility of O<sub>2</sub> in DMSO) was used according to the literature[10,55,137].

Table 4.9 shows the partial current densities  $j_0$  and the apparent rate constants  $k^0_{app}$  for the different electrode materials. All electrodes exhibit  $k^0_{app}$ values between 10<sup>-5</sup> cm/s and 10<sup>-3</sup> cm/s, which identifies the observed ORR processes as quasireversible[52]. Here, GC shows a  $k^0_{app}$  value (highlighted grey in Table 4.9) within the same order of magnitude as the one reported by Laoire *et al.* for the ORR in a  $\text{Li}^+/\text{MeCN}$  electrolyte[9].

**Table 4.9:** Partial current densities  $j_0$  and apparent reaction rate constants  $k^0_{app}$  for the different electrode materials obtained from the CV recorded at  $\nu = 100 \text{ mV/s}$ . Values concerning the discussion of the ORR activity are highlighted yellow (favorable) and grey (unfavorable).

	$j_0$ / $\mu { m A/cm^2}$	$k^{0}_{\rm app} \ / \ 10^{-5} \ {\rm cm/s}$
GC	$6.68 \pm 4.34$	$3.30 \pm 2.14$
VC	$160.5 \pm 81.6$	$79.2 \pm 40.2$
$Mn_{3}O_{4}/C$	$182.7\pm95.4$	$90.2 \pm 47.1$
${\rm Mn}_{\rm 5}{\rm O}_{\rm 8}/{\rm C}$	$192.0\pm56.4$	$94.8\pm27.8$
$\alpha$ -Mn <sub>2</sub> O <sub>3</sub> /C	<mark>373.3 ± 79.4</mark>	$\frac{184.2\pm39.2}{}$

Among the carbon powder-containing electrodes only  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub>/C shows a significant increase of  $k_{\rm app}^0$ , i.e., a larger activity for the initial electron transfer of the ORR (highlighted yellow in Table 4.9). Mn<sub>3</sub>O<sub>4</sub>/C and Mn<sub>5</sub>O<sub>8</sub>/C show activities, which are comparable to that obtained for pure VC. An increase of the ORR activity for  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub>/C was already deduced from the increased onset and peak potentials (see Table 4.7).

The investigation of the ORR mechanisms resulting in the different activities of the respective electrode materials is presented in the next section.

### 4.3.2. The ORR mechanisms at a scan rate of 100 mV/s

For the investigation of the ORR mechanism, the "cathodic quantities"  $\alpha n$ [47], which consist of the charge transfer coefficient  $\alpha$  and the number of electrons transferred during the rate-determining step n, were calculated from the Tafel slopes depicted in Figure 4.21 by eq. (2.10). The values of the Tafel slopes and  $\alpha n$  for the different electrode materials are given in Table 4.10. The  $\alpha n$  value obtained for GC is 0.57, which was already discussed in section 4.2.3. For the VC-based electrodes  $\alpha n$  values of about 0.3 were obtained (see Table 4.10), which is an indication for a comparable decrease of the energy barriers of the initial electron transfers by all VC-based materials.

**Table 4.10:** Tafel slopes and  $\alpha n$  values derived thereof for the different electrode materials obtained from the CV recorded at  $\nu = 100 \text{ mV/s}$ . The values closest to the ideal values for a one-electron transfer for GC are highlighted yellow; for a further discussion, see text.

	Tafel slope / mV/dec	αn
GC	<mark>103.8 ± 2.3</mark>	$0.57 \pm 0.02$
VC	$185.3 \pm 21.0$	$0.32\pm0.04$
$Mn_3O_4/C$	$204.3 \pm 16.0$	$0.29 \pm 0.02$
${\rm Mn}_{\rm 5}{\rm O}_{\rm 8}/{\rm C}$	$198.7 \pm 19.1$	$0.30\pm0.03$
$\alpha$ -Mn <sub>2</sub> O <sub>3</sub> /C	$223.2 \pm 51.9$	$0.28 \pm 0.06$

Table 4.10 shows the Tafel slope values for the different electrode materials. The Tafel slope obtained for GC (highlighted yellow) has already been discussed in section 4.2.3. For the VC-based electrodes Tafel slope values considerably larger compared to that for GC are observed. As mentioned before, these can be attributed to electron transfers (i) through an oxide layer, (ii) with preceding or (iii) with subsequent chemical steps. Hence, the increased Tafel slopes obtained for the VC-containing electrodes indicate a different mechanism of the rate-determining electron transfer. The increased ORR activity of the VC substrate discussed in the previous section will lead to a fast accumulation of  $\text{Li}_x O_y$  intermediates and/or final products on the electrode surface. Therefore, the considerably larger Tafel slopes are most probably the result of initial electron transfers through oxide layers.

Furthermore, the larger active surface of the porous VC and the presence of more reactive sites, e.g., kinks and edges, in contrast to the smooth GC surface have to be considered. Here, the model proposed by Dathar *et al.* can be applied[19]. GC with its relatively low oxygen adsorption strength and the absence of active adsorption sites will exhibit the one-electron reduction of  $O_2$ to LiO<sub>2</sub> as rate-determining step (rds) with a subsequent formation of Li<sub>2</sub>O<sub>2</sub> (eqs. (2.1)-(2.3)), as was already suggested in several studies[19,23,28]. The larger number of active sites on the VC-based electrode surfaces, on the other hand, might lead to the alternate reaction pathway, which results in the formation of [LiO]<sub>n,ads</sub> species (eqs. (2.5)-(2.7))[19,138].

Increasing Tafel slopes were found by Calegaro *et al.* for carbon electrodes with increasing catalyst loadings during an investigation of the ORR processes in aqueous media and attributed to the low conductivities of the  $Mn_xO_y$  catalysts[139]. Considering this aspect, an additional reason for the large Tafel slopes obtained for the VC-based electrodes might be the significantly lower electric conductivities  $\sigma$  of the materials used for powder electrode preparation in comparison to that of GC (180 ( $\Omega$ ·cm)<sup>-1</sup>[140]): Nafion<sup>®</sup> ( $\leq 0.1 (\Omega$ ·cm)<sup>-1</sup>[141]), VC (4.5 ( $\Omega$ ·cm)<sup>-1</sup>[142]) and especially the  $Mn_xO_y$  catalysts (10<sup>-7</sup> – 10<sup>-5</sup> ( $\Omega$ ·cm)<sup>-1</sup>, see Table 4.3 for the respective bulk conductivities of the Mn<sub>x</sub>O<sub>y</sub> compounds).

Furthermore, recent reports about catalysts for the ORR in aqueous media attribute small Tafel slopes to an increased participation of the VC support in the observed ORR processes [143,144]. The Tafel slopes of the VC-based electrodes given in Table 4.10 might be influenced to a certain degree by this effect, which is discussed below based on the idealized model of the powder electrodes depicted in Scheme 4.1.



Scheme 4.1: Idealized model for the investigated  $Mn_xO_y/C$  electrodes.

From Scheme 4.1 it becomes apparent, that for a discussion of the ORR mechanisms taking place on the electrode surfaces, the different catalyst and VC particle diameters have to be considered: In the  $Mn_xO_y/C$  electrodes the VC particles  $(d = 0.8 - 9 \ \mu m)[145]$  will have less contact to the  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub> particles of comparable sizes  $(d = 0.5 - 2 \ \mu m)$ , see Scheme 4.1.b) than to the nanometer-sized Mn<sub>3</sub>O<sub>4</sub> and Mn<sub>5</sub>O<sub>8</sub> catalysts (see Scheme 4.1.a). In good agreement to this assumption, the Tafel slope obtained for  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub>/C (~ 223 mV/dec) shows the largest difference with respect to that of VC (~ 185 mV/dec). The Tafel slopes for the Mn<sub>x</sub>O<sub>y</sub>/C electrodes with nanosized catalyst particles are considerably closer to that for VC (Mn<sub>3</sub>O<sub>4</sub>/C (~ 204 mV/dec) and Mn<sub>5</sub>O<sub>8</sub>/C (~ 199 mV/dec)). Here, the small diameters of the Mn<sub>3</sub>O<sub>4</sub> and Mn<sub>5</sub>O<sub>8</sub> nanoparticles result in a large

catalyst/carbon contact area and thus an increased contribution of the VC substrate to the ORR processes.

In addition, the conductivity of the  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub>/C electrode will be considerably influenced by the large  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub> particles due to less  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub> – VC particle contact, which additionally leads to a further increase of the Tafel slope for  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub>/C compared to that for pure VC (see Table 4.10).

As the  $k_{app}^{0}$  values given in Table 4.9 indicate quasireversible reactions for all electrode materials, eq. (2.12) could be applied, which describes the Nicholson/Shain relationship of current density and scan rate. The resulting plots (see Figure 4.22) exhibit the rate limitation of the ORR processes  $n^{*}[9,10,27,59]$ . For this evaluation, the  $\alpha n$  values calculated from the Tafel slopes were used; the diffusion coefficient of O<sub>2</sub> in Li<sup>+</sup>/DMSO ( $D_{O2} = 1.67 \cdot 10^{-5} \text{ cm}^{2}/\text{s}$ ) was taken from the literature[10].



Figure 4.22: Nicholson/Shain plots for the VC-based electrodes. VC (grey),  $Mn_3O_4/C$  (black),  $Mn_5O_8/C$  (red) and  $\alpha-Mn_2O_3/C$  (blue) with  $\alpha n = 0.3$  indicating the rate limitation of the ORR mechanism by the value of  $n^*$ .
The Nicholson/Shain plot for GC (presented in section 4.2.3) revealed a diffusion-controlled process with  $n^* = 1$  (see Figure 4.19). That result supported the assumption of LiO<sub>2</sub> formation by a fast initial electron transfer, which was already proposed by Calvo *et al.* based on RRDE measurements in a similar electrolyte[28].

The Nicholson/Shain plots of the VC-based electrodes with  $\alpha n = 0.3$ (obtained from the Tafel slopes) are depicted in Figure 4.22. An indication for the presence of chemical steps in the ORR processes is observed for all VC-containing electrodes. According to eq. (2.12) the peak current densities at the intercept  $v^{1/2} = 0$  (V/s)<sup>1/2</sup> should equal zero. Here, however, the linear regressions of all electrode materials show values of  $j_{\text{peak}} > 0$  mA/cm<sup>2</sup>, which indicates electron transfers including chemical processes[146].

For pure VC a value of  $n^* = 2$  is obtained, indicating an ORR process, which is not hindered by diffusion and/or preceded by a chemical reaction. Hence, the carbon reference materials GC and VC are active for different ORR pathways, which was already suggested for the initial electron transfers based on the Tafel slopes.

The Nicholson/Shain plots for the different  $Mn_xO_y/C$  electrodes (see Figure 4.22) exhibit  $n^* = 2$  in the presence of the  $Mn_3O_4$  and  $Mn_5O_8$ compounds. Both catalysts therefore do not influence the reaction mechanism observed for VC, which might be due to the large contribution of the VC substrate to the ORR process (resulting from large catalyst/carbon contact areas) as discussed previously.

For  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub>/C a value of  $n^* = 1$  is observed from the Nicholson/Shain plot (see Figure 4.22). This value corresponds to a diffusion-controlled ORR mechanism, i.e., a fast electron transfer limited by reactant diffusion to the active electrode surface. Here, the ORR mechanism observed for VC is changed in the presence of  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub>. The different ORR mechanisms suggested for the different electrode materials will be discussed in more detail below.

### 4.3.2.1. The ORR processes on the carbon electrode surfaces

The ORR mechanisms suggested for the two carbon reference materials based on the findings from the Tafel and Nicholson/Shain plots are depicted in Scheme 4.2.



Scheme 4.2: Different ORR mechanisms detected for different working electrodes at  $\nu = 100 \text{ mV/s}$ . Chemical reactions, which can be deduced from the CV measurements, are given in red; for a further discussion, see text.

The increased Tafel slopes obtained for the VC-based electrodes can be attributed to chemical steps preceding or following the one-electron transfer. As both, the Tafel slope and the Nicholson/Shain plot for VC indicate possible chemical reactions involved in the ORR, pure VC is suggested to promote the ORR mechanism *via* dissociative chemisorption of  $O_2$  with a subsequent reduction to  $[\text{LiO}]_{n,ads}$  species (rcts. 2a-2c in Scheme 4.2). Thus, VC shows a CE mechanism, i.e., a pathway of subsequent **c**hemical and **e**lectrochemical steps, which results in a kinetic limitation of the electron transfer by the reaction rate of the chemical  $O_2$  dissociation[48].

For the GC electrode, on the other hand, an ORR pathway resulting in the generation of  $\text{LiO}_2$  and  $\text{Li}_2\text{O}_2$  is proposed (rcts. 1a-1d in Scheme 4.2).

Further indications for different ORR mechanisms taking place on the active surfaces of the carbon reference materials are obtained by a comparison of the ORR potentials and kinetic parameters observed during CV measurements with  $\omega = 0$  rpm to those obtained with  $\omega = 200$  rpm (see Table 4.11). The parameters of the diffusion-controlled ORR processes proposed for GC should be considerably influenced by the absence of reactant transport ( $\omega = 0$  rpm). The ORR activity of VC for diffusionless processes, on the other hand, should be comparable with and without rotation of the electrode.

**Table 4.11:** Potentials and kinetic parameters for GC and VC at  $\nu = 100 \text{ mV/s}$  with  $\omega = 0 \text{ rpm}$  and  $\omega = 200 \text{ rpm}$ . Important values concerning the discussion of the ORR mechanism and activity are highlighted yellow and grey, respectively; for a further discussion, see text.

	GC		VC	
	$0 \mathrm{rpm}$	$200 \mathrm{rpm}$	$0 \; \mathrm{rpm}$	$200 \mathrm{rpm}$
$E_{\rm onset}$ vs. Li/Li^+ / V	$2.67\pm0.01$	$2.77\pm0.03$	$2.67\pm0.10$	$2.78 \pm 0.04$
Tafel slope / mV/dec	$115 \pm 1$	$104 \pm 2$	$185 \pm 24$	$185 \pm 21$
αn	$0.51 \pm 0.01$	$0.57 \pm 0.02$	$0.32\pm0.04$	$0.32\pm0.04$
$k^{\rm 0}_{\rm ~app}$ / $10^{\text{-4}}~{\rm cm/s}$	$0.05\pm0.00$	$0.33\pm0.21$	$3.1 \pm 2.3$	$7.9\pm4.0$

The  $\alpha n$  values obtained for VC and GC with  $\omega = 0$  rpm (highlighted yellow in Table 4.11) are comparable to those obtained with  $\omega = 200$  rpm, i.e., the decrease of the energy barriers for the initial electron transfers by both carbon materials is comparable with and without electrode rotation.

As expected, the onset potentials  $E_{\text{onset}}$  obtained for GC with  $\omega = 0$  rpm are significantly lower compared to those obtained with enhanced reactant transport. This is reflected in the by one order of magnitude lower apparent rate constant  $k_{\text{app}}^0$  with  $\omega = 0$  rpm (highlighted grey in Table 4.11). Here, the limited reactant transport shows a considerable negative influence on the kinetics of GC for the diffusion-controlled ORR processes.

Although a comparable decrease of  $E_{\text{onset}}$  without electrode rotation is observed for VC (highlighted grey in Table 4.11), the mean value of  $k_{\text{app}}^0$  for the diffusionless ORR processes is reduced only by about 60% compared to the value obtained with  $\omega = 200$  rpm.

### 4.3.2.2. The ORR processes in the presence of $\alpha$ -Mn<sub>2</sub>O<sub>3</sub>

For  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub>/C a diffusion-controlled ORR mechanism was deduced from  $n^* = 1$  observed in the Nicholson/Shain plot (see Figure 4.22), which indicates an ORR mechanism comparable to that taking place on the surface of GC rather than VC. This observation can be explained by the porous character of the catalyst and thus a large concentration of coordinatively unsaturated Mn<sup>3+</sup> surface ions. Several publications on Mn<sub>2</sub>O<sub>3</sub> and MnOOH catalysts for the ORR in aqueous media proposed that O<sub>2</sub> is associatively adsorbed on the Mn<sub>x</sub>O<sub>y</sub> catalyst surfaces. These O<sub>2,ads</sub> species are subsequently reduced accompanied by a simultaneous oxidation of surface Mn<sup>3+</sup> to Mn<sup>4+</sup>

ions[143,147,148], which is also proposed here to take place in aprotic media on the  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub> catalyst surface:

$$O_2 + Mn^{3+} \rightarrow Mn^{3+} \cdots O_{2,ads} \tag{4.1}$$

$$\mathrm{Mn}^{3+} \cdots \mathrm{O}_{2,\mathrm{ads}} \to \mathrm{Mn}^{4+} \cdots \mathrm{O}_{2,\mathrm{ads}}^{-} \tag{4.2}$$

In order to prevent a complete oxidation of the  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub> catalyst by rcts. (4.1) and (4.2) during the ORR, a re-reduction of the  $Mn^{4+}$  ions to  $Mn^{3+}$  has to take place. This is proposed to take place in a reaction step equivalent to that of rct. 1b in Scheme 4.2. Here, the adsorbed  $O_2^-$  reacts with Li<sup>+</sup> to form  $LiO_2$  and  $Mn^{4+}$  will be re-reduced to  $Mn^{3+}$  by an electron transfer. Therefore, the ORR processes should take place at active sites as close as possible to the comparably well-conducting carbon substrate in order to exclude a kinetic limitation by electronic conductivity. This was also suggested by Zhou et al. based on electrochemical and impedance measurements on carbon-supported  $Mn_2O_3$  electrocatalysts for ORR in aqueous media[148]. For the  $\alpha$ - $Mn_2O_3$ catalyst investigated here, the reactant transport to active catalyst sites close to the VC substrate might be facilitated by the mesopores of the relatively large  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub> particles. These provide efficient diffusion paths for O<sub>2</sub> and Li<sup>+</sup> ions inside the catalyst structure and thus minimize the distance between surface Mn<sup>3+</sup> ions and carbon. Hence, the diffusion limitation of the process is probably due to the diffusion of the educts through the pores to the active sites. For the electron transport between the carbon substrate and  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub>, the low conductivity of the catalyst might be circumvented by electron transfers via internal  $Mn^{3+}/Mn^{4+}$  redox reactions.

Scheme 4.3 depicts the ORR pathways suggested for the  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub> electrode (see next page): After the formation of the surface species Mn<sup>4+</sup> … O<sup>-</sup><sub>2,ads</sub> (rct. 2 in Scheme 4.3), LiO<sub>2</sub> is generated by rct. 3 and a simultaneous reduction of Mn<sup>4+</sup> by an electron transfer through the electrode structure (rct. 3a in Scheme 4.3). In a subsequent reaction, LiO<sub>2</sub> either disproportionates chemically (rct. 4) or is reduced by a further one-electron transfer to  $\text{Li}_2\text{O}_2$  (rct. 5 in Scheme 4.3). Based on the results of the Nicholson/Shain plots, which indicated the detection of chemical reactions also for  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub>/C (see Figure 4.22), and the comparably low ORR current densities observed in the CV measurements (see Figure 4.20),  $\text{Li}_2\text{O}_2$  is suggested to be mainly formed by the chemical disproportionation of  $\text{LiO}_2$ . During this reaction O<sub>2</sub> is formed (rct. 4 in Scheme 4.3), so that the educt for a further reduction is generated.



Scheme 4.3: ORR mechanisms suggested to take place on the surface of  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub>/C.

Hence, the dissociative  $O_2$  adsorption pathway *via* the critical  $O_{ads}$ , which is observed not only for  $Mn_3O_4/C$  and  $Mn_5O_8/C$  but also for pure VC, is at least partially circumvented by the presence of the  $\alpha$ -Mn\_2O\_3 catalyst. Because this is proposed to be due to the large catalyst particles as well as active  $O_2$ adsorption and redox sites on the  $\alpha$ -Mn\_2O\_3 surface, the ORR will only partially proceed on the VC substrate. This is in good agreement to the discussion of the large Tafel slopes, where the small  $\alpha$ -Mn\_2O\_3 – VC contact area was suggested to result in less participation of the substrate in the ORR processes. A comparison of the ORR potentials and kinetic parameters obtained from CV measurements with  $\omega = 0$  rpm compared to those with  $\omega = 200$  rpm should theoretically show a kinetic limitation of the diffusion-controlled ORR processes by less reactant transport to the active surface electrocatalytic (see Table 4.12).

**Table 4.12:** Potentials and kinetic parameters for  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub>/C at  $\nu = 100$  mV/s with  $\omega = 0$  rpm and  $\omega = 200$  rpm. Important values concerning the discussion of the ORR mechanism and activity are highlighted yellow and grey, respectively; for a further discussion, see text.

	$0 \mathrm{rpm}$	200 rpm
$E_{\rm onset}$ vs. Li/Li^+ / V	$2.72\pm0.04$	$2.87 \pm 0.06$
Tafel slope / mV/dec	$236\pm11$	$223\pm52$
αn	$0.25 \pm 0.01$	<mark>0.28 ± 0.06</mark>
$k^{0}_{ m ~app}~/~10^{-4}~{ m cm/s}$	$7.4 \pm 2.7$	$18.4\pm3.9$

The  $\alpha n$  value with  $\omega = 0$  rpm given in Table 4.12 is comparable to that obtained with  $\omega = 200$  rpm, i.e., the decrease of the energy barrier for the initial electron transfer by  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub>/C is comparable for both experimental conditions.

The onset potential  $E_{\text{onset}}$  obtained without electrode rotation is decreased by about 0.15 V compared to that observed with  $\omega = 200$  rpm. Furthermore, a limited kinetic activity is indicated by the significantly lower apparent rate constant  $k^{0}_{app}$  obtained with  $\omega = 0$  rpm compared to that obtained with electrode rotation. Hence, the proposal that in the presence of the  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub> catalyst the ORR proceeds *via* a diffusion-controlled mechanism is validated by a limitation of the ORR processes caused by a restriction of the O<sub>2</sub> transport toward the electrode surface.

The assumption that the ORR mechanism taking place on the VC surface is changed in the presence of  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub> due to active redox centers in the catalyst structure is supported by a recent report on Zr-doped ceria catalysts in a TEGDME-based electrolyte [149]. In that study surface  $\mathrm{Ce}^{3+}$  ions were proposed to act as active centers for  $O_2$  adsorption and  $O_2^-$  formation similar to the reactions shown in Scheme 4.3 for  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub>/C. The positive impact of different oxidation states in catalyst structures is further supported by X-ray absorption spectroscopy (XAS) and *in situ*-infrared (IR) spectroscopy studies. Here, the presence of different oxidation states of transition metals in Cr,  $Cr_2O_3$  and  $LaCrO_3$  as well as  $IrO_2$  catalysts has been shown to positively influence catalytic activities their inether-based and aqueous electrolytes[68,76].

# 4.3.2.3. The ORR processes in the presence of $Mn_3O_4$ or $Mn_5O_8$

 $O_2$  adsorption and reduction sites provided by  $Mn^{z+}$  ions are also assumed to play a considerable role for the ORR mechanism observed in the presence of the  $Mn_3O_4$  and  $Mn_5O_8$  catalysts. For these compounds diffusionless ORR processes were indicated by  $n^* = 2$  obtained from the Nicholson/Shain plots (see Figure 4.22). This means, that the ORR processes are limited by the electron transfers and/or include chemical reactions, which has also been suggested for pure VC (see rcts. 2a-2c in Scheme 4.2).

The  $Mn_3O_4$  catalyst exhibits  $Mn^{3+}$  ions in its structure, which were already proposed to be the reason for the higher activity of the  $\alpha$ - $Mn_2O_3$  catalyst. Furthermore, oxygen vacancies are suggested to be present in the  $Mn_3O_4$ structure, which is based on the observation of decreased lattice constants as discussed in section 4.1.2.3. The conclusions drawn for the ORR pathways taking place on the electrode surface of  $Mn_3O_4/C$  by considering the structural features of  $Mn_3O_4$  and the results from the electrochemical measurements are shown in Scheme 4.4.



Scheme 4.4: ORR mechanisms suggested to take place on the surface of  $Mn_3O_4/C$ . [v] in denotes an oxygen vacancy in the structure of the  $Mn_3O_4$  nanoparticles.

The ORR mechanism for  $Mn_3O_4$  depicted in Scheme 4.4.a is based on suggestions for TiO<sub>2</sub> catalysts and TiO<sub>2</sub>-supported Au catalysts for CO oxidation[87,150]. The oxygen vacancies [v] in the  $Mn_3O_4$  structure increase the activity for a dissociative  $O_2$  adsorption resulting in 2  $O_{ads}$ . This reaction proceeds by an uptake of an O atom into the structure of the  $Mn_3O_4$  catalyst and the adsorption of another O atom at adjacent carbon sites (rct. 1 in Scheme 4.4.a).  $O_{ads}$  is subsequently reduced and reacts with Li<sup>+</sup> to LiO<sub>ads</sub> (rct. steps 2 and 3), thus generating [LiO]<sub>n,ads</sub> compounds by further reduction *via* rct. 4 according to the reaction mechanism proposed by Dathar *et al.* for noble metals like Pd and Pt[19]. This ORR pathway proceeds *via* the generation of the undesired highly active  $O_{ads}$  intermediates.

Because structural and catalytic studies on the metastable  $Mn_5O_8$  phase are rare, a specific ORR mechanism, which might take place in the presence of this compound, can hardly be suggested. An explanation, however, could be the presence of  $Mn^{4+}$  ions in the catalyst structure[151]. Here, the energy input resulting from the ORR processes might coincide with  $Mn^{4+}/Mn^{3+}$  redox reactions inside the catalyst structure and lead to an irreversible change of the metastable  $Mn_5O_8$  phase.

However, a further indication for the ORR processes discussed for  $Mn_3O_4/C$ and  $Mn_5O_8/C$  are the comparably large current densities observed during the CV measurements (see Figure 4.20). Here, the product of  $O_{ads}$  reduction ([LiO]<sub>n,ads</sub>, rct. 2b in Scheme 4.2) will only result in a stoichiometric equivalent of Li<sub>2</sub>O<sub>2</sub> by another one-electron reduction (rct. 2c in Scheme 4.2), hence the increase of the detected current.

A comparison of the potentials and kinetic parameters obtained from CV measurements with  $\omega = 0$  rpm and with  $\omega = 200$  rpm for Mn<sub>3</sub>O<sub>4</sub>/C and Mn<sub>5</sub>O<sub>8</sub>/C should result in comparable activities for the diffusionless ORR processes with and without electrode rotation (see Table 4.13).

**Table 4.13:** Potentials and kinetic parameters for  $Mn_3O_4/C$  and  $Mn_5O_8/C$  at  $\nu = 100 \text{ mV/s}$  with  $\omega = 0 \text{ rpm}$  and  $\omega = 200 \text{ rpm}$ . Important values concerning the discussion of the ORR activity and mechanism are highlighted yellow and grey; for a further discussion, see text.

	$Mn_3O_4/C$		$Mn_5O_8/C$	
	$0 \mathrm{rpm}$	$200 \mathrm{rpm}$	$0 \mathrm{rpm}$	$200 \mathrm{rpm}$
$E_{\rm onset}$ vs. Li/Li^+ / V	$2.73 \pm 0.04$	$\frac{2.71\pm0.10}{}$	$2.76 \pm 0.02$	$2.78\pm0.04$
Tafel slope / mV/dec	$246 \pm 49$	$204 \pm 16$	$202 \pm 14$	$199 \pm 19$
αn	$0.24\pm0.05$	$0.29 \pm 0.02$	<mark>0.29 ± 0.02</mark>	$0.30\pm0.03$
$k^{0}_{\rm ~app} \ / \ 10^{-4} \ {\rm cm/s}$	8.7 ± 1.8	$9.0 \pm 4.7$	$9.7 \pm 1.5$	$9.5 \pm 2.8$

The  $\alpha n$  values for both catalysts show no significant differences (highlighted yellow in Table 4.13), i.e., the initial electron transfers are comparable for both experimental conditions. However, the mean value of the Tafel slope obtained for Mn<sub>3</sub>O<sub>4</sub>/C without electrode rotation indicates an increased

build-up of an oxide layer on the electrode surface compared to that indicated by the Tafel slope obtained with  $\omega = 200$  rpm (highlighted grey in Table 4.13).

For both electrode materials the mean values of  $E_{\text{onset}}$  and  $k_{\text{app}}^{0}$  for the diffusionless ORR processes obtained without electrode rotation differ by only 0.02 V to 0.03 V and 3% to 4%, respectively, to those obtained with  $\omega = 200$  rpm (highlighted yellow in Table 4.13). The fact that the decreased mass transport does not result in decreased ORR kinetics – contrary to the results obtained for pure VC (see Table 4.11) – indicates catalytic activities of the Mn<sub>3</sub>O<sub>4</sub> and Mn<sub>5</sub>O<sub>8</sub> for the formation of [LiO]<sub>n,ads</sub>. As the diffusionless processes obtained for Mn<sub>3</sub>O<sub>4</sub>/C and Mn<sub>5</sub>O<sub>8</sub>/C proceed *via* a CE (chemical/electrochemical) pathway, for which the kinetics are controlled by the initial chemical step, these Mn<sub>x</sub>O<sub>y</sub> compounds are proposed to be (slightly) active *chemical* catalysts.

### 4.3.3. <u>Conclusion</u>

The catalytic activities of the  $Mn_xO_y$  compounds were compared to those of the carbon reference materials glassy carbon (GC) and carbon powder (VC) by CV measurements recorded at  $\nu = 100 \text{ mV/s}$ . One of the main findings is that the carbon reference materials are active for two different ORR mechanisms. The CV measurements confirmed the formation of LiO<sub>2</sub> and Li<sub>2</sub>O<sub>2</sub> on the GC surface, which has previously been suggested in the literature. The porous VC (also used as support material for the catalysts), on the other hand, was found to enhance the ORR processes *via* a formation of the undesired adsorbed oxygen atoms (O<sub>ads</sub>). Recent literature suggested these intermediate species to be one reason for the decomposition of etherbased electrolytes. The  $Mn_5O_8$  and especially the  $Mn_3O_4$  catalyst show activity for the chemical step of this chemical-electrochemical (CE) ORR pathway resulting in the formation of  $[LiO]_{ads}$  via highly reactive  $O_{ads}$  species. Catalytic activities for the dissociative  $O_2$  adsorption pathway (comparable to that observed for VC,  $Mn_3O_4/C$  and  $Mn_5O_8/C$ ) was also reported for several noble metals with large oxygen adsorption enthalpies, such as Ir, Ru, Pt and Pd[19,61–65].

The  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub> compound, on the other hand, was shown to catalyze the oneelectron reduction of molecularly adsorbed O<sub>2</sub> even in the presence of VC substrate. From the LiO<sub>2</sub> species formed during this reaction the desired discharge product Li<sub>2</sub>O<sub>2</sub> is generated. Because the ORR observed for  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub>/C proceeds *via* a different reaction pathway, the apparent rate constant  $k^{0}_{app}$  indicating the kinetic activity is hardly comparable to those for noble metal catalysts reported in the literature as well as those for the pure VC and Mn<sub>x</sub>O<sub>y</sub>/C electrodes discussed in this work.

However, as the presence of  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub> results in the desired ORR pathway (and decreases the amount of O<sub>ads</sub> intermediates), this compound is proposed to be the most promising catalyst investigated in this work.

### 4.4. The scan rate dependence of the observed ORR processes

In the previous section the assumption was made that the ORR mechanisms observed for the different electrode materials at a scan rate of 100 mV/s result from the respective material properties, such as the Mn<sup>z+</sup> oxidation states and particle diameters. In order to confirm this suggestion, CV measurements recorded at scan rates between 1 mV/s and 1000 mV/s are discussed in this section with respect to the scan rate dependence of the detected ORR processes for the different electrode materials. This section contains results already published elsewhere[105,135].

### 4.4.1. The scan rate dependence of the ORR mechanisms

Scheme 4.5 depicts the ORR pathways, which have been suggested in the previous section to take place in the presence of the different electrode materials (see next page). These ORR mechanisms were derived from the Tafel slopes (giving information about the reaction steps preceding and/or during the initial electron transfer) as well as the  $n^*$  values obtained from the Nicholson/Shain relationship (indicating the rate limitation of the overall ORR process). The interpretation of the Tafel slopes and  $n^*$  values is done as mentioned for the general case in section 2.4.1. A short summary with respect to the ORR processes given in Scheme 4.5 is discussed below.

A value of  $n^* = 1$ , which was obtained for  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub>/C and GC in section 4.3.2 (see Scheme 4.5) indicates a diffusion-controlled ORR mechanism (with fast electron transfers). Here, O<sub>2</sub> is molecularly adsorbed (rct. 1a) and reduced to LiO<sub>2</sub> (rct. 1b). Subsequently, Li<sub>2</sub>O<sub>2</sub> is generated by either a chemical disproportionation of LiO<sub>2</sub> (rct. 1c) or a further electron transfer (rct. 1d).  $n^* = 2$ , which was observed for VC, Mn<sub>3</sub>O<sub>4</sub>/C and  $Mn_5O_8/C$  at  $\nu = 100 \text{ mV/s}$ , indicates a diffusionless process (with either a slow electron transfer or a preceding chemical step). In the case of the ORR mechanism depicted in Scheme 4.5 the slow electron transfer is suggested to derive from the kinetic control by the initial dissociation of  $O_2$ , by which adsorbed O atoms are formed on the electrode surface (rct. 2a). A subsequent reduction leads to the generation of [LiO]<sub>ads</sub> (rct. 2b), which can either be further reduced to [Li<sub>2</sub>O] (rct. 2d) or form [LiO]<sub>n,ads</sub> species by [LiO] layer growth (rct. 2c)[19,138].



Scheme 4.5: ORR mechanisms detected at various scan rates. Chemical reactions, which can be deduced from the CV measurements are given in red; the Tafel slopes at the right side indicate the electrochemical and chemical reactions taking place prior to and/or during the rate-determining steps (rds); for further information, see text.

For these mechanisms, Tafel slopes below 118 mV/dec are attributed to two consecutive electron transfers, of which the last electron transfer is the rate-determining step[49,53,54], i.e., for  $n^* = 1$  the reduction of LiO<sub>2</sub> to Li<sub>2</sub>O<sub>2</sub> (rct. 1d) and for  $n^* = 2$  the reduction of [LiO] to [Li<sub>2</sub>O] (rct. 2d). (Ideal) Tafel slopes of 118 mV/dec indicate rate-determining one-electron transfers without preceding electron transfers, i.e., for  $n^* = 1$  the reduction of  $O_{2,ads}$  to LiO<sub>2</sub> (rct. 1a) and for  $n^* = 2$  the reduction of  $O_{ads}$  to [LiO]<sub>ads</sub>. Tafel slopes larger than 118 mV/dec, on the other hand, can be attributed to an electrode transfer through an oxide layer as well as electron transfers with either a preceding chemical dissociation or a subsequent chemical combination. For the ORR mechanisms depicted in Scheme 4.5, this means that for  $n^* = 1$  the chemical disproportionation of LiO<sub>2</sub> to Li<sub>2</sub>O<sub>2</sub> (rct. 1c) and/or an oxide layer formed from the ORR products could be detected. For  $n^* = 2$  the reason for large Tafel slopes could be the chemical adsorption of O<sub>2</sub> (rct. 2a) and/or an oxide layer formation.

In order to ascribe the ORR pathways given in Scheme 4.5 to the different electrode materials, the results from the Nicholson/Shain plots revealing the rate limitation of the overall ORR processes are discussed prior to the Tafel slopes.

Figure 4.23 (see next page) shows the detection of a diffusion-controlled ORR mechanism  $(n^* \approx 1)$  for GC at all scan rates (light grey squares in Figure 4.23), which was also suggested in the literature at  $\nu = 100 \text{ mV/s}[10]$ . The same ORR pathway resulting in LiO<sub>2</sub> and Li<sub>2</sub>O<sub>2</sub> species (rcts. 1a-d in Scheme 4.5) is also observed for  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub>/C over the complete range of scan rates (blue circles in Figure 4.23). For these electrode materials, the diffusion control of the ORR mechanism does not change with the applied scan rate. This observation supports the assumption of the large impact of the electrode surface properties on the ORR mechanism, i.e., a smooth surface for GC and large particle sizes in combination with surface Mn<sup>3+</sup> ions for  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub>/C.

More complex observations, however, are made in Figure 4.23 for the electrode materials, for which diffusionless ORR mechanisms  $(n^*=2)$  were obtained at  $\nu = 100$  mV/s. At low scan rates from 1 mV/s to 50 mV/s

 $n^* \approx 1.5$  is obtained for VC (dark grey circles in Figure 4.23). This is assumed to be due to the detection of parallel diffusionless and diffusioncontrolled ORR mechanisms. In this range the scan rates are slow enough to allow a simultaneous detection of the kinetically favored  $[\text{LiO}]_{n,\text{ads}}$  formation as well as the generation of  $\text{LiO}_2$  and  $\text{Li}_2\text{O}_2$ , which was not observed for VC at  $\nu = 100 \text{ mV/s}$  for VC. At  $\nu \ge 100 \text{ mV/s}$ , however, only diffusionless ORR processes leading to the generation of  $[\text{LiO}]_{n,\text{ads}}$  species are observed.



Figure 4.23: Variation of the  $n^*$  values with the applied scan rate. GC (light grey), VC (dark grey), Mn<sub>3</sub>O<sub>4</sub>/C (black), Mn<sub>5</sub>O<sub>8</sub>/C (red) and  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub>/C (blue); the green and orange lines denote values of  $n^* = 1$  and  $n^* = 2$ , respectively.

In Figure 4.23, the catalytic activities of  $Mn_5O_8$  and especially  $Mn_3O_4$  for the diffusionless ORR processes become apparent at  $\nu \leq 50 \text{ mV/s}$ . For pure VC mixed ORR processes were detected at these scan rates, whereas purely diffusionless ORR mechanisms ( $n^*=2$ ) are observed for  $Mn_3O_4/C$  (black circles) and  $Mn_5O_8/C$  (red circles). For both catalysts  $n^*$  increases to values > 2 with increasing scan rates. An explanation for the observation of such high  $n^*$  values can be found by considering the Nicholson/Shain relationship (eq. (2.12)). All parameters in this equation are either constant or measured – with the exception of the  $O_2$  concentration, which was replaced by the solubility of  $O_2$  in DMSO, i.e., the maximum  $O_2$  concentration. For highly active surfaces this might result in an estimation of too large  $n^*$ values, as these will increase with decreasing  $O_2$  concentration  $c_{O2}$  in front of the electrode surface by:

$$n^* \propto \frac{1}{C_{02}} \tag{4.3}$$

Therefore, the  $n^*$  values > 2 observed in Figure 4.23 are suggested here to be due to a low O<sub>2</sub> concentration close to the electrode surface resulting from the catalytic activities of the  $Mn_xO_y$  species. The fact that an increase of  $n^*$  to values > 1 is not observed for the active  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub> catalyst might be explained by the generation of O<sub>2</sub> during the chemical disproportionation of LiO<sub>2</sub> to Li<sub>2</sub>O<sub>2</sub> (rct. 1c in Scheme 4.5). This would result in a more stable O<sub>2</sub> concentration in front of the active  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub>/C surface in comparison to the O<sub>2</sub> concentrations in the presence of Mn<sub>3</sub>O<sub>4</sub> and Mn<sub>5</sub>O<sub>8</sub>.



Figure 4.24: Variation of the Tafel slopes with the applied scan rate. GC (light grey), VC (dark grey),  $Mn_3O_4/C$  (black),  $Mn_5O_8/C$  (red) and  $\alpha$ - $Mn_2O_3/C$  (blue); the dashed grey line indicates the theoretical Tafel slope of 118 mV/dec for a rate-determining one-electron transfer.

For the investigation of the initial electron transfers corresponding to the respective ORR mechanisms the Tafel slopes obtained at the different scan rates are depicted in Figure 4.24 (see previous page). The following discussion of the suggested ORR mechanisms for each electrode material at the different scan rates is based on the observed  $n^*$  values in combination with the respective Tafel slopes (see Figure 4.24).

### 4.4.1.1. The ORR processes on GC and $\alpha$ -Mn<sub>2</sub>O<sub>3</sub>/C

An overview of the diffusion-controlled ORR processes and the final ORR products generated on the surfaces of GC and  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub>/C, which are concluded from the Tafel slopes (see Figure 4.24) and  $n^* \approx 1$ , obtained from the Nicholson/Shain relationship (see Figure 4.23), at the different scan rates is given in Table 4.14 and discussed below.

**Table 4.14:** Overview of the final products proposed to be generated during the diffusion-controlled ORR processes observed for GC and  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub>/C. The formation of the desired product Li<sub>2</sub>O<sub>2</sub> (highlighted yellow) is proposed for both electrode materials at all scan rates.

v/	GC		$lpha$ - $Mn_2O_3/C$	
$\mathrm{mV/s}$	${\rm initial} \ {\rm e}^{\rm -} {\rm transfer}$	final products	initial $e^{-}$ transfer	final products
1 –			$1 e^{-} (+ 2 e^{-})$	
10	$1 \circ (\pm 2 \circ)$		10(+20)	
50 –	16 (+26)		$1 e^{-}$ through	
100		Li <sub>2</sub> O2	oxide layer	
500	1 of through		$1 e^{-}$ through	Li <sub>2</sub> O <sub>2</sub> (mostly
1000 -	i e through		oxide layer	by chemical
1000	oxide layer		(decreasing $)$	rct. from $LiO_2$ )

GC. The Tafel slope obtained at  $\nu = 100 \text{ mV/s}$  is about 104 mV/dec (see Figure 4.24), whereas the theoretical value for a single one-electron transfer is 118 mV/dec at this scan rate[10]. This observation was already discussed in section 4.2.3 to be due to the influence of the relatively low electrode rotation of  $\omega = 200$  rpm, i.e., limited reactant transport. The Tafel slope of 104 mV/dec observed for GC is therefore proposed to be due to the simultaneous detection of one-electron transfers and a small amount of consecutive two-electron transfers. Tafel slopes < 118 mV/dec indicating these parallel electron transfer processes observed are  $\mathbf{at}$  $\operatorname{scan}$ rates  $\leq 100 \text{ mV/s}$  (see Figure 4.24), which – in combination with the detection of diffusion-controlled ORR processes  $(n^* \approx 1, \text{ see Figure 4.23})$  – indicates the formation of  $LiO_2$  and  $Li_2O_2$  (rcts. 1a-d in Scheme 4.5). At  $\nu \ge 500 \text{ mV/s}$  Tafel slopes > 118 mV/dec point to electron transfers through oxide layers on the electrode surface consisting of  $\text{LiO}_2$  and  $\text{Li}_2\text{O}_2$  species.

 $\alpha$ -Mn<sub>2</sub>O<sub>3</sub>. Mixed two-electronand one-electron transfers (Tafel slopes < 118 mV/dec) are observed at scan rates from 1 mV/s to 10 mV/s(see Figure 4.24). As  $n^* \approx 1$  was obtained at all scan rates (see Figure 4.23), the detected electron transfers are attributed to the reduction of  $O_2$  to  $LiO_2$ and  $\text{Li}_2\text{O}_2$  via rcts. 1a-b and 1d in Scheme 4.5. At  $\nu \ge 50 \text{ mV/s}$  Tafel slopes > 118 mV/dec are obtained (see Figure 4.24), which is proposed to be due to electron transfers through a  $\text{LiO}_2/\text{Li}_2\text{O}_2$  oxide layer on the electrode surface. At  $\nu > 100 \text{ mV/s}$  the Tafel slope values decrease (see Figure 4.24), which is attributed to an increasing amount of  $Li_2O_2$  generated by a chemical disproportionation of  $LiO_2$  (rct. 1c in Scheme 4.5). As discussed previously, the evolution of  $O_2$  as a product of this reaction leads to a decrease of the oxide layer on the catalyst/substrate surface, which results in lower Tafel slopes.

# 4.4.1.2. The ORR processes on VC and $Mn_5O_8/C$

An overview of the ORR processes and the final ORR products for VC and  $Mn_5O_8/C$ , which are concluded from the Tafel slopes (see Figure 4.24) and the  $n^*$  values obtained from the Nicholson/Shain relationship (see Figure 4.23) at the different scan rates is given in Table 4.15 and discussed in the following.

**Table 4.15:** Overview of the final products proposed to be generated during the ORR processes observed for VC and  $Mn_5O_8/C$ . The desired product  $Li_2O_2$  is highlighted yellow; the undesired product  $[Li_2O]$  is highlighted grey.

v/	VC		$Mn_5O_8/C$	
$\mathrm{mV/s}$	${\rm initial} \ {\rm e}^{\rm -} \ {\rm transfer}$	final products	initial $e^{-}$ transfer	final products
1 – 10	$1 e^{-} (+ 2 e^{-})$	Li2O2         /           [LiO]n,ads         /           [Li2O]         (100)	$1 e^{-} (+ 2 e^{-})$	$\frac{\rm [LiO]_{n,ads}}{\rm [Li_2O]}$
50 100 - 1000	1 e <sup>-</sup> through oxide layer	Li <sub>2</sub> O <sub>2</sub> / [LiO] <sub>n,ads</sub> [LiO] <sub>n,ads</sub>	1 e <sup>-</sup> through oxide layer	$[{ m LiO}]_{ m n,ads}$

VC. Mixed diffusion-controlled and diffusionless processes are indicated by  $n^* \approx 1.5$  at low scan rates of  $\nu \leq 50 \text{ mV/s}$  (see Figure 4.23). The Tafel slopes < 118 mV/dec obtained at  $\nu \leq 10 \text{ mV/s}$  (see Figure 4.24) additionally show the detection of parallel one- and two-electron transfers with a decreasing amount of two-electron transfer being observed with increasing scan rate. A specific attribution of the one- and two-electron transfers to one of the ORR processes (rcts. 1a-d or 2a-d in Scheme 4.5) can therefore not be made with certainty. Hence, at these low scan rates, the possible ORR

products generated on the electrode surface of pure VC are  $\text{LiO}_2$  and  $\text{Li}_2\text{O}_2$ (highlighted yellow in Table 4.15) as well as the undesired  $[\text{LiO}]_{n,\text{ads}}$  and probably even the  $[\text{Li}_2\text{O}]$  species (highlighted grey in Table 4.15). Tafel slopes > 118 mV/dec indicate one-electron transfers through an oxide layer are observed at  $\nu \geq 50$  mV/s (see Figure 4.24). Furthermore, an increase of the scan rate beyond  $\nu = 100$  mV/s does not result in a change of the obtained Tafel slope values contrary to the observations made for  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub>/C. As at  $\nu = 50$  mV/s a value of  $n^* \approx 1.5$  was obtained for VC (i.e., parallel diffusionless and diffusion-controlled ORR processes, see Figure 4.23), the oxide layer might consist of  $\text{Li}_2\text{O}_2$  and  $[\text{LiO}]_{n,\text{ads}}$  species (produced during rcts. 1a-d and 2a-c in Scheme 4.5). At  $\nu \geq 100$  mV/s, however, values of  $n^* = 2$  indicate purely diffusionless ORR processes (see Figure 4.23), so that the oxide layer on VC will only consist of  $[\text{LiO}]_{n,\text{ads}}$ species generated by rcts. 2a-c (see Scheme 4.5).

 $Mn_5O_8$ . Comparable to the results obtained for pure VC, Tafel slopes < 118 mV/dec indicate the detection of parallel one- and two-electron transfer processes at low scan rates of  $\nu \leq 10 \text{ mV/s}$  (see Figure 4.24). The contribution of the initial two-electron transfers decreases with increasing scan rate. Because  $Mn_5O_8/C$  is active for the diffusionless ORR processes  $(n^*=2)$  at all scan rates (see Figure 4.23), the final products are suggested to be  $[\text{LiO}]_{n,\text{ads}}$  (by an initial one-electron transfer, rcts. 2a-c in Scheme 4.5) and  $[\text{Li}_2O]$  (by an initial two-electron transfer, rcts. 2a-b and 2d in Scheme 4.5). At  $\nu \geq 50 \text{ mV/s}$  Tafel slopes > 118 mV/dec indicate one-electron transfers through an oxide layer (see Figure 4.24), which most probably consists of  $[\text{LiO}]_{n,\text{ads}}$  species generated by rcts. 2a-c depicted in Scheme 4.5.

# 4.4.1.3. The ORR processes on $Mn_3O_4/C$

An overview of the diffusionless ORR processes and the final products generated on the electrode surface of  $Mn_3O_4/C$ , which are concluded from the Tafel slopes (see Figure 4.24) and  $n^* \geq 2$  obtained from the Nicholson/Shain relationship (see Figure 4.23) at the different scan rates is given in Table 4.16 and discussed in the following.

**Table 4.16:** Overview of the final products proposed to be generated during the diffusionless ORR processes observed for  $Mn_3O_4/C$ . The undesired product [Li<sub>2</sub>O] is highlighted grey.

$\nu/{\rm mV/s}$	initial $e^{-}$ transfer	final products
1	1 e (+ 2 e)	$[\text{LiO}]_{n,ads} \ / \ [\text{Li}_2\text{O}]$
5 –	$1 e^{-}$ through oxide	
50	layer	
100 -	$1 e^{-}$ through oxide	[LIO] <sub>n,ads</sub>
1000	layer (growing)	

A Tafel slope < 118 mV/dec, i.e., the detection of parallel one- and twoelectron transfer processes, is only observed at  $\nu = 1$  mV/s (see Figure 4.24). At this scan rate [LiO]<sub>n,ads</sub> and [Li<sub>2</sub>O] (rcts. 2a-d in Scheme 4.5) are generated by the diffusionless ORR processes ( $n^* = 2$ , see Figure 4.23). One-electron transfers through an oxide layer of [LiO]<sub>n,ads</sub> species are indicated by Tafel slopes > 118 mV/dec at  $\nu > 5$  mV/s (see Figure 4.24). Whereas the Tafel slopes for VC and Mn<sub>5</sub>O<sub>8</sub>/C (for which diffusionless ORR processes are also observed) stay about constant for  $\nu > 100$  mV/s, those obtained for Mn<sub>3</sub>O<sub>4</sub>/C increase up to a value of ~ 335 mV/dec at  $\nu = 1000$  mV/s (see Figure 4.24). This observation indicates a considerable build-up of oxide species on the electrode surface, which is in good agreement with the reaction mechanism proposed to take place in the presence of  $Mn_3O_4$  in section 4.3.2.3 (see Scheme 4.4). Here, the dissociative adsorption of  $O_2$  generates two O atoms: one is built into the structure of the catalyst and another O atom is adsorbed on adjacent carbon atoms of the VC substrate (rct. 1 in Scheme 4.4). Due to the catalytic activity of  $Mn_3O_4$  for the chemical  $O_2$  dissociation step, it is suggested here, that the subsequent reduction of the O atoms adsorbed on the VC substrate might not be fast enough. Hence, the kinetics of the ORR process is limited by the electron transfer. These reactions will ultimately lead to a high intermediate coverage of  $O_{ads}$  and  $[LiO]_{ads}$  species on the VC substrate surrounding the  $Mn_3O_4$  catalyst particles.

This assumption is supported by the results depicted in Figure 4.25 (see next page), where the variation of the Tafel slopes and the change of onset potentials with the applied scan rate observed for  $Mn_3O_4/C$  are compared to those obtained for all other VC-based electrodes. Here, an independent development of the Tafel slope values and  $E_{onset}$  with increasing scan rate indicates a high coverage of the active electrode area with adsorbed intermediates generated during the rate-determining step[49]. A too high coverage of intermediate species will have a negative impact on subsequent reactions (e.g., electron transfers) by blocking the active electrode surface.

For pure VC the onset potential  $(E_{\text{onset},\nu} - E_{\text{onset},1 \text{ mV/s}})$  depicted Figure 4.25.b has a relative maximum of about 0.5 V at  $\nu = 100 \text{ mV/s}$ . At this scan rate  $Mn_5O_8/C$  and  $\alpha$ -Mn\_2O\_3/C exhibit absolute maxima of about 0.9 V and 0.15 V, respectively (see Figure 4.25.b), i.e., the *overpotentials* are smallest at  $\nu = 100 \text{ mV/s}$  for these VC-based electrodes (see dotted green circle in Figure 4.25.b). This is in good agreement with the observation of a considerable oxide layer formation indicated by the strong increase in Tafel slopes observed for VC,  $Mn_5O_8/C$  and  $\alpha$ -Mn\_2O<sub>3</sub>/C at  $\nu = 100 \text{ mV/s}$  (see Figure 4.25.a) and indicates the most favorable ORR kinetics for these electrode materials at  $\nu = 100 \text{ mV/s}$ .



Figure 4.25: Variation of the (a) Tafel slopes and (b) onset potentials with the applied scan rate. VC (grey),  $Mn_3O_4/C$  (black),  $Mn_5O_8/C$  (red) and  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub>/C (blue); the dashed grey line in (a) indicates the theoretical Tafel slope of 118 mV/dec for a rate-determining one-electron transfer; the change of  $E_{\text{onset}}$  in (b) is given with respect to  $E_{\text{onset}}$  at  $\nu = 1 \text{ mV/s}$ ; the dashed black lines in (b) show the maximum and minimum values of the relative onset potentials of  $Mn_3O_4/C$ ; the dotted green circle in (b) highlights the maximum of the relative onset potentials at  $\nu = 100 \text{ mV/s}$ .

An independent development of  $E_{\text{onset}}$  and the Tafel slope is only observed for Mn<sub>3</sub>O<sub>4</sub>/C: whereas  $E_{\text{onset}}$  is located at comparable potentials at all scan rates (see dashed black lines in Figure 4.25.b), the Tafel slope values increase considerably with increasing scan rate (see Figure 4.25.a). As mentioned previously, this indicates a high coverage of the electrode surface with adsorbed intermediate species generated during the rate-determining step. Furthermore, (i) the almost constant  $E_{\text{onset}}$  (within a potential range of 0.04 V) over the whole range of scan rates indicates scan rate-independent ORR processes, i.e., probably electron transfers following chemical reactions and (ii) the steady increase of the Tafel slopes points to a build-up of an oxide layer. Hence, in the presence of  $Mn_3O_4$ , intermediate species are generated subsequent to or by a chemical reaction and form an oxide layer on the electrode surface. This confirms the assumption of  $O_{ads}$  and/or  $[LiO]_{ads}$ species formed by dissociative  $O_2$  adsorption and subsequent reduction processes (rcts. 2a-b in Scheme 4.5) and supports the findings from section 4.3.2.3, that  $Mn_3O_4$  is an active catalyst for the initial chemical reaction of the CE mechanism.

The peak potentials  $E_{\text{peak}}$  (see Figure 4.26 on the next page) give further indications to support these argumentations. Figure 4.26 depicts the peak potentials  $E_{\text{peak}}$  obtained for all electrode materials at scan rates in the range of 1 mV/s to 1000 mV/s. For VC, Mn<sub>5</sub>O<sub>8</sub>/C and  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub>/C the maximum values of  $E_{\text{peak}}$ , i.e., the lowest overpotentials, at  $\nu = 100$  mV/s coincide with the strongest Tafel slope increase (proposed to be due to oxide layer formation, see Figure 4.25.a). Because  $E_{\text{peak}}$  indicates the end of the kinetically controlled reactions, the oxide layers on the electrode surfaces do not seem to limit the progress of the ORR processes.



Figure 4.26: Variation of the peak potentials with the scan rate. VC (grey),  $Mn_3O_4/C$  (black),  $Mn_5O_8/C$  (red) and  $\alpha$ - $Mn_2O_3/C$  (blue).

For  $Mn_3O_4/C$  a different observation is made: despite increasing Tafel slopes (see Figure 4.25.a), i.e., an increasing chemical activity resulting in oxide layer formation,  $E_{\text{peak}}$  is comparable at all applied scan rates (see Figure 4.26). Here, the kinetic activity for a further reduction of the adsorbed intermediate species is *not* increasing with increasing oxide layer formation. This supports the assumption that the oxide layer on the  $Mn_3O_4/C$  surface consists of intermediate species, which limit the electron transfer (most probably by blocking the active electrode surface).

Therefore it seems, that the  $Mn_3O_4$  catalyst might be too active for efficient ORR processes to take place: due to the catalysis of the dissociative  $O_2$ adsorption, the subsequent electron transfer will not be fast enough at large scan rates. This results in an increasing blocking of carbon sites adjacent to the  $Mn_3O_4$  particles and thus a kinetic limitation of the ORR processes by the electron transfer.

### 4.4.2. The scan rate dependence of the ORR activities

For an investigation of the impact of the different ORR mechanisms on the ORR activities the apparent rate constants  $k^{0}_{app}$  obtained for the respective electrode materials at the different scan rates are depicted in Figure 4.27.



Figure 4.27: Variation of the (a) apparent rate constants  $k_{app}^{0}$  and (b) scan ratenormalized  $k_{app}^{0}$  with the scan rate. GC (light grey), VC (dark grey),  $Mn_{3}O_{4}/C$  (black),  $Mn_{5}O_{8}/C$  (red) and  $\alpha$ - $Mn_{2}O_{3}/C$  (blue).

Figure 4.27.a shows that for VC as well as  $Mn_5O_8/C$  apparent rate constants  $k_{app}^0 < 1.10^{-5}$  cm/s are obtained at  $\nu = 1$  mV/s. This indicates irreversible ORR processes and therefore probably the formation of the undesired discharge product  $\text{Li}_2\text{O}$ . This was already proposed to be generated at low scan rates on  $\text{Mn}_5\text{O}_8/\text{C}$  and pure VC based on the observations of  $n^* = 2$  (the inclusion of chemical steps in the ORR) in combination with Tafel slopes < 118 mV/dec (two consecutive one-electron transfers, see Table 4.15). For GC irreversible processes are observed at  $\nu \leq 10$  mV/s. This, however, might be due to its considerably smaller active area compared to those of VC-based electrodes.

Scan rate-normalized  $k^{0}_{app}$  values are given in Figure 4.27.b for all electrode materials for a better insight into the kinetic ORR activities of the different electrode materials at the respective scan rates. The normalization was carried out to eliminate the linear dependence of  $k^{0}_{app}$  on the scan rate observed in Figure 4.27.a for a better comparison of the ORR activities obtained at the respective scan rates.

The normalized rate constants  $k_{app}^{0}/\nu$  obtained for GC show the ideal values of uncatalyzed reactions. Here, the kinetic activities are comparable for all applied scan rates.

Consistent with the results obtained from the ORR mechanisms in section 4.4.1 all VC-based electrodes show relative maxima of the scan-rate normalized  $k_{app}^{0}$  values at  $\nu = 100 \text{ mV/s}$ . Mn<sub>3</sub>O<sub>4</sub>/C seems to be the most active catalyst at  $\nu \leq 10 \text{ mV/s}$ , at which the reduction processes subsequent to the formation of the O<sub>ads</sub> intermediates are fast enough, i.e., enough time is provided by the long scanning time, to generate [LiO]<sub>n,ads</sub> species. At larger scan rates, however, the chemically catalyzed formation of the O<sub>ads</sub> species is faster than the subsequent reduction processes, which results in an accumulation of intermediates on the electrode surface and thus a blocking of the active sites, as discussed in the previous section.

Regarding VC and  $Mn_5O_8/C$ , for which ORR mechanisms comparable to that discussed for  $Mn_3O_4/C$  were observed, the  $Mn_5O_8$  catalyst does not seem to have any influence on the overall kinetic activity. Considering the ORR mechanism discussed in section 4.4.1, its presence even seems to have a negative effect, as it is active for the formation of  $[\text{LiO}]_{n,\text{ads}}$  and  $[\text{Li}_2\text{O}]$  species at low scan rates, which are only partially generated on pure VC (see Table 4.15).

The largest kinetic activity is observed for the  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub> catalyst at  $\nu = 50 \text{ mV/s}$  and  $\nu = 100 \text{ mV/s}$  (at which for all electrode materials the largest ORR potentials, i.e., the highest activity, were found in the previous section). Here, the catalysis of the diffusion-controlled ORR mechanism by  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub> seems to be of considerable advantage by comparison to the normalized rate constants  $k_{\text{app}}^{0}/\nu$  obtained for the other electrode materials.

At scan rates > 100 mV/s no significantly different  $k_{app}^{0}/\nu$  values are obtained for any of the VC-based electrodes, which might be due to either (i) too small kinetic activities of the Mn<sub>x</sub>O<sub>y</sub> catalysts or (ii) a non-significant kinetic impact of catalysts at large scan rates in general.

#### 4.4.3. <u>Conclusion</u>

The investigation of the ORR mechanisms detected at scan rates between  $\nu = 1 \text{ mV/s}$  and  $\nu = 1000 \text{ mV/s}$  for the different electrode materials generally confirmed the suggestion, that the ORR mechanisms discussed for a scan rate of 100 mV/s depend on the respective material properties.

The diffusion-controlled ORR pathways resulting in the formation of  $\text{LiO}_2$ and  $\text{Li}_2\text{O}_2$  were observed for GC at all scan rates.

Interestingly, the ORR processes on the VC surface were found to be diffusionless at large scan rates (i.e., result in  $[\text{LiO}]_{n,ads}$  species), whereas at low scan rates the ORR proceeds *via* mixed diffusionless and diffusion-

controlled processes (resulting in the generation of  $[\text{LiO}]_{n,\text{ads}}$  and the desired  $\text{Li}_2\text{O}_2$  species). Here, at least to a certain degree, the measurement conditions, i.e., the applied scan rate, – and not only the material properties – influence the species generated during the ORR processes.

The presence of the  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub> catalyst results in a suppression of the diffusionless ORR pathways in favor of diffusion-controlled ORR processes and thus the generation of LiO<sub>2</sub> and Li<sub>2</sub>O<sub>2</sub> species at all scan rates. The catalysis of this mechanism leads to the highest kinetic ORR activities of  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub>/C compared to the other electrode materials at scan rates of 50 mV/s and 100 mV/s.

In the presence of the  $Mn_5O_8$  and  $Mn_3O_4$  compounds, on the other hand, the diffusion-controlled ORR pathways are suppressed, so that the purely diffusionless ORR processes lead to the undesired generation of adsorbed oxygen atoms ( $O_{ads}$ ) and [LiO]<sub>n,ads</sub> formation at all scan rates. The initial dissociative  $O_2$  adsorption leading to the formation of  $O_{ads}$  intermediates is chemically catalyzed by  $Mn_3O_4$ , which results in the largest ORR activity at low scan rates between 1 mV/s and 10 mV/s. The catalytic activity of  $Mn_3O_4$ , however, has a negative effect at increased scan rates, as the reduction processes subsequent to the chemical generation of the  $O_{ads}$  species are not fast enough. This leads to a blocking of active reaction sites by  $O_{ads}$ and [LiO]<sub>ads</sub> accumulation on the electrode surface and hence decreased ORR kinetics.

### 5. Conclusion & Outlook

In this study, three nanostructured  $Mn_xO_y$  compounds were synthesized and investigated for their electrocatalytic ORR activities in aprotic media.

 $Mn_3O_4$ ,  $Mn_5O_8$  and  $\alpha$ - $Mn_2O_3$  particles with surface areas of 302 m<sup>2</sup>/g, 30 m<sup>2</sup>/g and 20 m<sup>2</sup>/g, respectively, were obtained by calcination of nanocrystalline Mn(II) glycolate. The precursor was synthesized by a polyol process yielding nanoparticles with diameters of about 17 nm. The small precursor particle sizes are suggested to be the reason for the nanostructured character of the  $Mn_xO_y$  compounds. As the catalytic activity can be enhanced by larger active areas resulting from small particle diameters and mesoporosity,  $Mn_3O_4$  nanoparticles and  $\alpha$ - $Mn_2O_3$  were investigated as electrocatalysts. Here, the structural and morphological characterization revealed the presence of oxygen vacancies in  $Mn_3O_4$  and the mesoporous character of  $\alpha$ - $Mn_2O_3$ . Additionally,  $Mn_5O_8$  was investigated for the first time as an ORR electrocatalyst in aprotic media.

For the electrochemical measurements LiTFSI/DMSO was used as electrolyte, as it exhibits the best ORR/OER reversibility and ORR kinetics in comparison to other electrolytes.

The catalytic activities of the  $Mn_xO_y$  compounds were obtained by comparison to two carbon reference materials glassy carbon (GC) and carbon powder (VC). These carbon materials show different ORR mechanisms. The CV measurements presented in this study support the assumption of  $LiO_2$ and  $Li_2O_2$  formation on the smooth GC surface made in previous reports. The porous VC (which was also used as support material for the catalysts) shows an undesired generation of adsorbed oxygen atoms ( $O_{ads}$ ), which have previously been suggested to be one reason for the decomposition of etherbased electrolytes. An only small catalytic activity for the latter ORR pathway is observed for  $Mn_5O_8$ . The  $Mn_3O_4$  nanoparticles, however, show considerable catalytic activity for the formation of  $O_{ads}$  species by chemical  $O_2$ adsorption. This effect is proposed to be due to the presence of oxygen vacancies (derived from structural characterization for this catalyst). Subsequent to the dissociation of  $O_2$ , one of the O atoms might be built into the  $Mn_3O_4$  structure, whereas the other O atom is adsorbed on adjacent carbon sites. The  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub> catalyst, on the other hand, is active for the formation of  $LiO_2/Li_2O_2$ , which has also been observed for GC. As the pure VC substrate generates  $O_{ads}$  species, the ORR mechanism in the presence of  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub> is proposed to take place to a considerable part on the surface of the catalyst particles. The surface  $Mn^{3+}$  ions provide sites, where  $O_2$  can be associatively adsorbed and subsequently be reduced to adsorbed  $O_2^-$  species, from which the desired discharge product  $\text{Li}_2\text{O}_2$  is generated. The  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub> compound presented in this work is therefore proposed to be an ORR catalyst, which is also suitable for the use in combination with ether-based electrolytes.

Regarding the application in Li/air systems and the effect on galvanostatic cyclability of Li/air batteries, the formation of  $\text{Li}_2\text{O}$  at low ORR potentials, i.e., large ORR overpotentials, has to be considered. The discharge currents of 100  $\mu$ A/cm<sup>2</sup> presently used in Li/air research result in large discharge capacities, but are not sufficient for an industrial application. Larger current densities, however, result in very low capacities and increased discharge overpotentials, at which – subsequent to the generation of LiO<sub>2</sub>/Li<sub>2</sub>O<sub>2</sub> – the electrochemically irreversible formation of Li<sub>2</sub>O will take place.

Here, a catalyst is needed, which (i) reduces the overpotential during discharge by (ii) being active only for the formation of  $\text{LiO}_2$ . Thus, the constantly applied current, i.e., the constant electron flow, will lead to the

formation of further LiO<sub>2</sub>, which is followed by (the uncatalyzed) chemical or electrochemical generation of Li<sub>2</sub>O<sub>2</sub>. Such an activity was observed for the  $\alpha$ -Mn<sub>2</sub>O<sub>3</sub> catalyst in this work. The change of the ORR pathway by this catalyst is mainly attributed to the particle morphology and the presence of surface Mn<sup>3+</sup> ions. An ideal catalyst for reversible ORR processes could therefore be any transition metal oxide, which (i) consists of large and mesoporous particles, thus decreasing the influence of the carbon substrate on the ORR mechanism, (ii) provides O<sub>2</sub> adsorption and redox centers (Me<sup>z+</sup>/Me<sup>(1+z)+</sup>) on the particle surface and (iii) exhibits a low concentration of oxygen vacancies in its structure to prevent dissociative O<sub>2</sub> adsorption.

The proposals made in this work concerning the influence of surface redox centers of transition metal oxide catalysts for the ORR could be further investigated by surface analysis characterization methods, such as *in situ*-X-ray photoelectron spectroscopy (XPS). In addition, electrochemical analysis (e.g., CV, LSV and impedance spectroscopy) should be carried out in combination with *in situ* techniques, such as Raman and IR spectroscopy, to identify the ORR products and exclude possible electrolyte decomposition. Here, the commonly used method of galvanostatic cycling does not give sufficient insight into the reaction processes occurring during discharge and charge of the Li/air system.

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### Erklärung

Hiermit erkläre ich, dass ich die Dissertation selbstständig verfasst habe und dass die benutzten Hilfsmittel vollständig angegeben sind. Die vorliegende Arbeit wurde in Teilen bereits veröffentlicht. Die jeweiligen Textabschnitte sind entsprechend gekennzeichnet und eine Publikationsliste ist beigefügt.

Des Weiteren erkläre ich, dass die Dissertation weder in ihrer Gesamtheit noch in Teilen einer anderen Hochschule zur Begutachtung in einem Promotionsverfahren vorliegt oder vorgelegen hat. Die Leitlinien guter wissenschaftlicher Praxis der Carl von Ossietzky Universität Oldenburg wurden bei der Erstellung dieser Arbeit befolgt. Es wurden im Zusammenhang mit dem Promotionsvorhaben keine kommerziellen Vermittlungs- oder Beratungsdienste in Anspruch genommen.

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Matthias Augustin